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1 **Presence of the KPC Carbapenemase Gene in *Enterobacteriaceae***
2 **Causing Bacteremia, and the Correlation with *in vitro* Carbapenem**
3 **Susceptibility**

4

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24 **Abstract**

25

26 During six months, we obtained *Enterobacteriaceae* isolates from patients with Gram-
27 negative bacteremia at a 1250-bed teaching hospital in St. Louis, Missouri, and compared
28 carbapenem susceptibility with the presence of *bla*_{KPC}, a transferable carbapenemase
29 gene. Three (1.2%) out of 243 isolates were *bla*_{KPC}-positive. Ertapenem non-
30 susceptibility had a low positive predictive value.

31 **Note**

32

33 The serine carbapenemase KPC (*Klebsiella pneumoniae* carbapenemase) has emerged as
34 a beta-lactamase capable of inactivating carbapenem antibiotics. First identified in
35 *Klebsiella pneumoniae* (21), KPC since has been detected in other *Enterobacteriaceae*
36 (7). The gene encoding KPC, *bla*_{KPC}, is plasmid-transmissible among
37 *Enterobacteriaceae*, which has implications for infection control (20, 3). The presence of
38 *bla*_{KPC} may not always result in carbapenem resistance *in vitro* (19), thereby impeding
39 detection during routine work-up. KPC-producing bacteria have primarily been reported
40 from the New York City area, however, *bla*_{KPC} is present among *Enterobacteriaceae*
41 isolates as far west as Arkansas (7). The aim of this study was to systematically screen
42 *Enterobacteriaceae* bacteremia isolates for reduced susceptibility to carbapenems and to
43 correlate results with the presence of *bla*_{KPC}.

44 Microbiological and molecular analyses were performed on bacterial isolates
45 from inpatients with *Enterobacteriaceae* bacteremia at Barnes-Jewish Hospital (BJH) in
46 St. Louis, Missouri. We included patients with bacteremia occurring between August 1,
47 2006 and January 31, 2007. Isolates were tested for susceptibility to the three carbapenem
48 antibiotics (ertapenem, imipenem, and meropenem) and non-carbapenem antibiotics,
49 using the disk diffusion method (6) (Sensi-disc™ antibiotic disks; Becton, Dickinson and
50 Co., Sparks, MD).

51 Total DNA was extracted using the QIAamp DNA mini kit (QIAGEN, Valencia,
52 CA). A real-time PCR assay of all available isolates (n=243) was developed for initial
53 screening for the presence of *bla*_{KPC} using primers and cycle parameters as described

54 previously (17). All isolates that were positive for the *bla*_{KPC} gene by real-time PCR were
55 confirmed with a conventional PCR assay as described previously (5). The three positive
56 isolates were further characterized by DNA sequencing of the *bla*_{KPC} PCR product using
57 primers (F-5'-ATGTCACTGTATCGCCGTC-3'; R-5'-
58 CTCAGTGCTCTACAGAAAACC-3') and thermocycling parameters described by Yigit
59 et al. (21), with a BigDye® Terminator Cycle Sequencing Kit v3.1 (Applied Biosystems
60 Inc., Foster City, CA) in a MJ Research PTC-200 DNA Engine thermal cycler (Bio-Rad
61 Laboratories, Waltham, MA). Sequencing reactions were purified by ethanol
62 precipitation, separated and analyzed using an ABI PRISM® 3100 Genetic Analyzer
63 (ABI, Foster City, CA) following the manufacturers protocols. Forward and reverse
64 strands of two independent PCR products from each isolate were sequenced. Sequences
65 were aligned and compared to published sequences for the *bla*_{KPC-2} gene using Vector
66 NTI v10.3.0 software (Invitrogen, Carlsbad, CA) and found to be identical to the *bla*_{KPC-2}
67 published sequence.

68 Patient data on demographics, comorbidities, treatment, and in-hospital mortality
69 were abstracted from medical records. The Washington University Human Research
70 Protection Office approved this study.

71 During the study period, 247 *Enterobacteriaceae* isolates were recovered from
72 blood cultures at BJH. Four isolates were unavailable for testing, leaving 243
73 *Enterobacteriaceae* isolates from 223 patients. Ninety isolates were (37.0%) *Escherichia*
74 *coli*, 79 (32.5%) *Klebsiella pneumoniae*, 25 (10.3%) *Enterobacter spp.*, 13 (5.3%)
75 *Proteus mirabilis*, 11 (4.5%) *Klebsiella oxytoca*, 7 (2.9%) *Citrobacter spp.*, 6 (2.5%)
76 *Serratia marcescens*, and 12 others (4.9%). Seven (2.9%) isolates had reduced

77 susceptibility to ≥ 1 carbapenem (Table 1). Two isolates were resistant to all carbapenems
78 tested; both were *bla*_{KPC}-positive. Three isolates were only non-susceptible to ertapenem;
79 none of these were *bla*_{KPC}-positive.

80 Three (1.2%) isolates carried the *bla*_{KPC} gene. These isolates infected three
81 patients (Table 2) and included one *K. pneumoniae*, one *E. cloacae*, and one *P. mirabilis*.
82 *In vitro* ertapenem non-susceptibility detected *bla*_{KPC} with high sensitivity [100% (3/3)]
83 and high specificity [98.3% (236/240)], similar to imipenem [100% (3/3) and 100%
84 (240/240), respectively] and meropenem [66.6% (2/3) and 99.6% (239/240)] (Table 1).
85 The positive predictive value (PPV) of ertapenem non-susceptibility for detecting *bla*_{KPC}
86 was 43% (3/7) versus 100% (3/3) for imipenem, and 66.6% (2/3) for meropenem. The
87 PPV of ertapenem as sole carbapenem showing resistance was 0% (0/3); the PPV of
88 resistance to all three carbapenems for detecting *bla*_{KPC} was 100% (2/2). One (33%) of
89 the patients infected with a *bla*_{KPC}(+) isolate and 41(18.6%) infected with a *bla*_{KPC}(-)
90 isolate died.

91 KPC-positive bacteria were present in 1.3% (3/223) of bacteremia episodes in our
92 study, which is relatively low. However, plasmid transfer and subsequent dissemination
93 can occur (21, 3). In a study by Landman et al., susceptibility of *K. pneumoniae* isolates
94 to carbapenems decreased from 97% to 76% within 5 years, probably due to *bla*_{KPC} (11).
95 In a U.S.-wide surveillance study, the prevalence of *bla*_{KPC} among various
96 *Enterobacteriaceae* was 0.5% (7), whereas a study of Brooklyn hospitals reported 38%
97 prevalence in *K. pneumoniae* (11). Our data confirm that *bla*_{KPC} is not restricted to the
98 northeastern U.S. and warrant surveillance of carbapenem susceptibilities among
99 *Enterobacteriaceae*.

100 Ertapenem has been proposed as the carbapenem that most accurately detects the
101 presence of *bla*_{KPC} by disk diffusion (12, 4). This may be because diameter cutoffs for
102 inhibition zones were set more stringently for ertapenem than other carbapenems (6).
103 Ertapenem was the most frequently non-susceptible carbapenem in our study; however,
104 the positive predictive value of ertapenem non-susceptibility for identifying *bla*_{KPC} was
105 low (43%). This is possibly due to carbapenem resistance mediated by mechanisms other
106 than *bla*_{KPC} (15). Other studies (19, 16) have found that carbapenem susceptibility testing
107 by the disk diffusion method is unreliable at predicting the presence of *bla*_{KPC}. Possible
108 explanations for undetected *bla*_{KPC}-carriage are an unexpressed *bla*_{KPC} gene, the inoculum
109 effect (4), and misinterpretation of the resistance pattern to signify an ESBL-producer
110 (16). A minimal inhibitory concentration (MIC) that is in the upper range of susceptibility
111 may be the only indication of *bla*_{KPC}. Lowering the imipenem MIC breakpoints (13) or
112 PCR-based screening (9, 2) might increase the chance of detecting resistance.

113 A limitation of our study is that we did not assess isolates for additional beta-
114 lactamases other than *bla*_{KPC}, which is a constellation increasingly encountered (14, 12).
115 We also had a relatively small sample size, a single-center design, and restricted analysis
116 to bacteremia isolates. We did not test Gram-negative bacteria outside the
117 *Enterobacteriaceae* family for *bla*_{KPC} (18). In conclusion, our study is among the first
118 prospective investigations into the endemic epidemiology of *bla*_{KPC}-positive bacteria,
119 demonstrating that *bla*_{KPC} is currently present at a low level in a major midwestern city.
120 Disk diffusion tests currently remain the simplest screening tests to detect *bla*_{KPC}-positive
121 bacteria in clinical microbiology laboratories.

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139

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220 hydrolyzing beta-lactamase, KPC-1, from a carbapenem-resistant strain of
221 *Klebsiella pneumoniae*. *Antimicrob. Agents Chemother.* **45**:1151-1161.
222

223 **Table 1. Characteristics of *Enterobacteriaceae* strains exhibiting *in vitro* carbapenem non-susceptibility and/or harboring the**
 224 ***bla*_{KPC} gene**
 225

Source patient	Organism	Disk diffusion test results – Carbapenem antibiotics			Disk diffusion test results – Non-carbapenem antibiotics			<i>bla</i> _{KPC} genotype
		Ertapenem	Imipenem	Meropenem	Cefepime	Ciprofloxacin	Gentamicin	
		1	<i>K. pneumoniae</i>	R	R	R	R	
-	<i>K. pneumoniae</i>	R	S	R				-
2	<i>E. cloacae</i> *	R	R	R	R	S	S	+
-	<i>E. cloacae</i>	R	S	S				-
3	<i>P. mirabilis</i>	I	R	S	S	S	S	+
-	<i>E. coli</i>	R	S	S				-
-	<i>C. freundii</i>	I	S	S				-

226

227 Note: S = susceptible, I = intermediate, R = resistant. In additional susceptibility testing, the *K. pneumoniae* recovered from Patient 1
228 was intermediately susceptible to tigecycline and susceptible to colistin. Also, the *E. cloacae* from Patient 2 was intermediately
229 susceptible to tigecycline and susceptible to colistin.
230 * recovered from polymicrobial *Enterobacteriaceae* bacteremia.

Table 2. Characteristics of patients with bacteremias caused by *bla*_{KPC}-positive *Enterobacteriaceae*

Source patient	Age	Underlying disease	Admitted from	Location at time of blood culture	Source of infection	Type of bacteremia	Organism	Adequate empirical antibiotic treatment	Outcome
1	61	Primary biliary cirrhosis with hepatorenal syndrome	Home (central Illinois)	ICU	Respiratory tract	Hospital-acquired	<i>K. pneumoniae</i>	No (cefepime + ciprofloxacin)	Died
2	79	Enterocutaneous fistula post-hernia repair	Long-term care facility (St. Louis, Missouri)	Non-ICU	Central venous catheter	Community-acquired, healthcare-associated	<i>E. cloacae</i> *	No (piperacillin/tazobactam)	Recovered
3	53	PVD/DM-associated gangrene	Long-term care facility (St. Louis, Missouri)	Non-ICU	Skin/soft tissue	Community-acquired, healthcare-associated	<i>P. mirabilis</i>	Yes (piperacillin/tazobactam)	Recovered

Note: ICU, intensive care unit; PVD, peripheral vascular disease; DM, diabetes mellitus. A bacteremia was considered hospital-acquired if it occurred >48 hours after admission. Community-acquired infections were defined as healthcare-associated using

published criteria (8). Inadequate empirical antibiotic treatment was defined as no antibiotic given to which the bacteria were susceptible within 24 hours of obtaining the positive blood culture (10)

* recovered from polymicrobial *Enterobacteriaceae* bacteremia.

