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Michael R Grunwald  
*Levine Cancer Institute*

David J Kuter  
*Massachusetts General Hospital*

Ivy Altomare  
*Duke University*

John M Burke  
*Rocky Mountain Cancer Centers*

Aaron T Gerds  
*Rocky Mountain Cancer Centers*

*See next page for additional authors*

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## Authors

Michael R Grunwald, David J Kuter, Ivy Altomare, John M Burke, Aaron T Gerds, Mark A Walshauser, Michael R Savona, Brady Stein, Stephen T Oh, Philomena Colucci, Shreekant Parasuraman, Dilan Paranagama, and Ruben Mesa



# Treatment Patterns and Blood Counts in Patients With Polycythemia Vera Treated With Hydroxyurea in the United States: An Analysis From the REVEAL Study

Michael R. Grunwald,<sup>1</sup> David J. Kuter,<sup>2</sup> Ivy Altomare,<sup>3</sup> John M. Burke,<sup>4</sup> Aaron T. Gerds,<sup>5</sup> Mark A. Walshauser,<sup>6</sup> Michael R. Savona,<sup>7</sup> Brady Stein,<sup>8</sup> Stephen T. Oh,<sup>9</sup> Philomena Colucci,<sup>10</sup> Shreekanth Parasuraman,<sup>10</sup> Dilan Paranagama,<sup>10</sup> Ruben Mesa<sup>11</sup>

## Abstract

**National guidelines support the use of hydroxyurea (HU) in high-risk patients with polycythemia vera (PV). In this study, we investigated HU treatment patterns in patients with PV. Of patients who received HU for  $\geq 3$  months, 32.3% had dose adjustments, 23.7% had dose interruptions, and 18.6% discontinued HU. These results emphasize the need for active management of patients with PV.**

**Background:** Polycythemia vera (PV) is associated with increased blood cell counts, risk of thrombosis, and symptoms including fatigue and pruritus. National guidelines support the use of hydroxyurea (HU) in high-risk patients or those with some other clinical indication for cytoreduction. **Patients and Methods:** REVEAL is a prospective, observational study designed to collect data pertaining to demographics, disease burden, clinical management, patient-reported outcomes, and health care resource utilization of patients with PV in the United States. In this analysis, HU treatment patterns and outcomes were assessed from 6 months prior to enrollment to the time of discontinuation, death, or data cutoff. **Results:** Of the 1381 patients who received HU for  $\geq 3$  months, the median HU exposure was 23.6 months (range, 3.1–38.5 months). The most common maximum daily HU doses were 1000 mg (30.6%) and 500 mg (30.1%); only 6.4% received  $\geq 2$  g/d HU. Approximately one-third (32.3%) of patients had dose adjustments, 23.8% had dose interruptions, and 257 (18.6%) discontinued HU. The most common reasons for HU discontinuations and interruptions were adverse events/intolerance (37.1% and 54.5%, respectively) and lack of efficacy (35.5% and 22.1%, respectively). Of those who received HU for  $\geq 3$  months, 57.1% had hematocrit values  $> 45\%$  on  $\geq 1$  occasion, 33.1% continued to receive phlebotomies, and 27.4% had uncontrolled myeloproliferation.

**Conclusion:** The results of this analysis emphasize the need for active management of patients with PV with appropriate HU dose titration to maintain blood count control while monitoring for signs and symptoms of HU intolerance.

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**Keywords:** Cytopenia, Hematocrit, Myeloproliferative neoplasm, Observational study, Prospective

M.R.G. and D.J.K. contributed equally to this article as first authors.

<sup>1</sup>Department of Hematologic Oncology and Blood Disorders, Levine Cancer Institute, Atrium Health, Charlotte, NC

<sup>2</sup>Center for Hematology, Massachusetts General Hospital, Boston, MA

<sup>3</sup>Duke Cancer Network, Duke University Medical Center, Durham, NC

<sup>4</sup>US Oncology Hematology Research Program, Rocky Mountain Cancer Centers, Aurora, CO

<sup>5</sup>Department of Hematology and Medical Oncology, Cleveland Clinic Taussig Cancer Institute, Cleveland, OH

<sup>6</sup>Department of Medical Oncology and Hematology, Cancer Care Specialists of Illinois, Swansea, IL

<sup>7</sup>Department of Medicine, Vanderbilt-Ingram Cancer Center, Vanderbilt University School of Medicine, Nashville, TN

<sup>8</sup>Department of Hematology and Oncology, Northwestern University, Chicago, IL

<sup>9</sup>Department of Medicine, Washington University School of Medicine, St. Louis, MO

<sup>10</sup>Incyte Corporation, Wilmington, DE

<sup>11</sup>Department of Hematology and Oncology, The University of Texas Health Science Center, San Antonio, TX

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Address for correspondence: Michael R. Grunwald, MD, Levine Cancer Institute, 1021 Morehead Medical Dr, LCI Building, Ste 60100, Charlotte, NC 28204.

E-mail contact: [michael.grunwald@atriumhealth.org](mailto:michael.grunwald@atriumhealth.org)

## Introduction

Polycythemia vera (PV) is a myeloproliferative neoplasm associated with erythrocytosis, increased risk of thrombosis, and symptoms including fatigue, early satiety, abdominal discomfort, and pruritus.<sup>1,2</sup> The primary goals of risk-adapted therapy for patients with PV include prevention of thromboembolism, reduction of symptom burden, and reduction of bleeding risk.<sup>3-5</sup>

The European LeukemiaNet (ELN) response criteria for PV include hematocrit (HCT) < 45%.<sup>6,7</sup> Hydroxyurea (HU) is the most commonly used first-line cytoreductive medication for patients with high-risk PV. The CYTO-PV study was a prospective, randomized study that demonstrated that an HCT target < 45% was associated with a reduced rate of thrombotic complications.<sup>8</sup>

An elevated white blood cell (WBC) count has also been implicated in the increased risk of thrombosis in patients with PV. A multivariate, time-dependent sub-analysis of CYTO-PV showed increased risk of thrombosis in patients with WBC count >  $11 \times 10^9/L$ , which has been confirmed by several similar analyses.<sup>9-11</sup> However, unlike HCT control, control of leukocytosis has not been shown to reduce thrombosis risk in a prospective study.

The ELN and National Comprehensive Cancer Network (NCCN) Guidelines support the use of HU or interferons in patients with an indication for cytoreductive therapy to achieve and maintain HCT < 45%.<sup>4,5</sup> Although in the United States, HU is more commonly used, HU or interferon can lead to HCT control, and both may be supplemented with phlebotomy to achieve HCT < 45%.

A proportion of patients who receive HU fail to achieve HCT and other blood count control.<sup>12</sup> Moreover, approximately one-quarter of patients will develop resistance and/or intolerance to HU. The ELN convened a group of experts to develop a consensus definition of clinical resistance and intolerance to HU in patients with PV (Table 1).<sup>13</sup> Of note, this consensus definition was developed for use in clinical research and was not entirely based on rigorously designed and controlled trials. A modified version of the definition has been used in clinical trials to identify patients with PV who are resistant/intolerant to HU.<sup>14</sup> In addition, the definition has been included in NCCN Guidelines, where it is intended to help health care providers identify high-risk patients who have a potential indication for a change in cytoreductive therapy. Although this definition may not have been universally incorporated into clinical practice, the criteria contained within the definition can provide a useful framework to examine and discuss the treatment patterns of HU in patients with PV.

REVEAL (ClinicalTrials.gov, NCT02252159) is a prospective, observational study of patients with PV in the United States, designed to collect data pertaining to the demographics, disease burden, clinical management, patient-reported outcomes, and health care resource utilization of these patients. This analysis of REVEAL characterized HU treatment patterns, including dosing, blood count control, and HU intolerance.

## Patients and Methods

This is an exploratory, longitudinal sub-analysis of data from REVEAL. Central (Sterling) and investigative site institutional review board approvals were obtained as applicable. The current

analysis includes data collected from July 22, 2014, to May 18, 2017. This study was conducted in accordance with ethical principles that have their origin in the Declaration of Helsinki, and all patients provided written informed consent.

## Patients

Patients were aged  $\geq 18$  years, had a clinical diagnosis of PV established by their treating physician, and were willing and able to complete questionnaires, either alone or with minimal assistance. Patients must have been under physician supervision for management of their PV. Patients who were participating in an active, blinded clinical trial; had a life expectancy of < 6 months; had a diagnosis of myelofibrosis, acute myeloid leukemia, or myelodysplastic syndrome; had a history of, or active plan to proceed to, allogeneic hematopoietic stem cell transplant within 3 months of enrollment; or had a splenectomy were excluded. All decisions regarding patient care were made by the treating physician.

## Data Collection

At the time of enrollment, data pertaining to demographics, PV diagnosis, disease course, medical history, and family history were obtained from patient charts and entered into an electronic clinical research form. Retrospective data were collected up to 6 months prior to enrollment, whereas prospective data on PV disease course, phlebotomy procedures, laboratory results, and medications were collected from the time of enrollment.

To ensure maximum data capture for enrolled patients, an index date was defined as 6 months prior to the date of enrollment for each patient. A post-index period was defined as the time from index to patient discontinuation from the study, death, or data cutoff, whichever came first. Patients who had received HU continuously for  $\geq 3$  months during the post-index period were included in the analyses. All assessments were done after the first 3 months of continuous HU, and while receiving HU during the post index period.

## HU Treatment Patterns

During the post-index period, HU treatment patterns, including duration of exposure, maximum daily dose, duration of maximum daily dose, dose changes, dose interruptions (defined as interruption > 14 days), and permanent discontinuations (defined as HU dose discontinued and not restarted during the post index period) were compiled. The reasons for dose changes, interruptions, and discontinuations were also summarized. Physicians were asked to choose from 6 options to describe the reasons for treatment discontinuation: adverse events, disease progression, lack of efficacy, physician decision, patient decision, or other.

## Assessments During HU Treatment

During HU treatment, blood counts were examined relative to the ELN definition of response and resistance/intolerance criteria (Table 1) irrespective of HU dose; health care providers were not required to use the ELN definition and may or may not have been aware of the ELN definition when making treatment decisions. The following assessments were made after 3 months of HU exposure during the post-index period: proportion of patients with elevated HCT values (HCT > 45%) with or without phlebotomies;

**Table 1** Definition of Resistance/Intolerance to HU in Patients With PV<sup>13</sup>

1. Need for phlebotomy to keep HCT < 45% after 3 months of $\geq 2$ g/d HU, OR
2. Uncontrolled myeloproliferation (ie, PLT count > $400 \times 10^9/L$ AND WBC count > $10 \times 10^9/L$ ) after 3 months of $\geq 2$ g/d HU, OR
3. Failure to reduce massive splenomegaly <sup>a</sup> by > 50% as measured by palpation OR failure to completely relieve symptoms related to splenomegaly after 3 months of $\geq 2$ g/d HU, OR
4. ANC < $1.0 \times 10^9/L$ OR PLT count < $100 \times 10^9/L$ OR HGB < 10 g/dL at the lowest dose of HU required to achieve a complete or partial clinicohematologic response, <sup>b</sup> OR
5. Presence of leg ulcers or other unacceptable HU-related nonhematologic toxicities, such as mucocutaneous manifestations, gastrointestinal symptoms, pneumonitis, or fever at any dose of HU

Abbreviations: ANC = absolute neutrophil count; HCT = hematocrit; HGB = hemoglobin; HU = hydroxyurea; PLT = platelet; PV = polycythemia vera; WBC = white blood cell.

<sup>a</sup>Organ extending > 10 cm below the costal margin.

<sup>b</sup>Complete response defined as HCT < 45% without phlebotomy, PLT count  $\leq 400 \times 10^9/L$ , WBC count  $\leq 10 \times 10^9/L$ , and no disease-related symptoms. Partial response defined as HCT < 45% without phlebotomy or response in  $\geq 3$  other criteria.

proportion of patients continuing to require phlebotomies; proportion of patients with uncontrolled myeloproliferation (ie, platelet count >  $400 \times 10^9/L$  and WBC count >  $10 \times 10^9/L$ ); and presence of splenomegaly as assessed by palpation. The proportion of patients with HCT < 45% and cytopenias, defined as leukopenia (WBC count <  $4 \times 10^9/L$ ), thrombocytopenia (platelet count <  $100 \times 10^9/L$ ), anemia (hemoglobin < 10 g/dL), and neutropenia (absolute neutrophil count <  $1 \times 10^9/L$ ), was evaluated in patients who had a minimum of 2 HCT results. All patients with laboratory values during the post index period while on HU were included in the analyses. Non-hematologic adverse events attributed to HU were also summarized.

All analyses were exploratory in nature and were evaluated with descriptive statistics. Statistical analyses were performed using SAS statistical software (SAS Institute, Cary, NC).

## Results

In total, 2510 patients were enrolled from 227 sites; 1432 received HU, of whom 1381 received HU for  $\geq 3$  months and were evaluable in the post-index period (Table 2). The median age was 69 years (range, 26-94 years), 49.7% were male, and 89.4% were white. The median disease duration was 4.3 years (range, 0-36.5 years). At the time of enrollment, 84.4% of all patients treated with HU for  $\geq 3$  months had high-risk PV, defined as age  $\geq 60$  years and/or prior history of thrombosis.

### HU Treatment Patterns

The median exposure to HU during the post-index period was 23.6 months (range, 3.1-38.5 months). Most (72.1%) patients received between 500 and 1000 mg/d HU; the most common maximum daily HU doses were 1000 mg (30.6%) and 500 mg (30.1%), and the median duration of the continuous maximum daily dose was 19.6 months (range, 0-38.5 months) (Table 3). A small proportion (6.4%) of patients received  $\geq 2$  g/d HU.

Approximately one-third (32.3%) of patients had HU dose adjustments during the post-index period; 26.1% had dose increases, 19.6% had dose decreases, and 14.1% had both increases and decreases. The most common reasons for dose increases or decreases were lack of efficacy (72.0%) and adverse events (54.5%), respectively (Figure 1). Dose changes were more common among patients who recently started HU (<1 year from enrollment) (24.4% and 17.6%, respectively) within the 6-month post-index period, compared with patients who had the disease for a longer duration ( $\geq 5$  years; 5.4% and 4.9%, respectively) (Figure 2).

A total of 257 (18.6%) patients discontinued HU treatment by the end of the post-index period. Approximately one-quarter (23.8%;  $n = 329$ ) of patients had a dose interruption; only 72 (21.9%) of these patients restarted HU during the index period. The most common reasons for HU dose discontinuation were adverse events (37.1%) and lack of efficacy (35.5%); the most common reasons for HU dose interruption were adverse events (54.5%) and lack of efficacy (22.1%). The majority (81.4%;  $n = 1124$ ) of patients who received HU for at least 3 months continued with HU treatment at the time of data cutoff.

**Table 2** Baseline Demographics and Disease Characteristics at Time of Enrollment

Variable	All Patients (N = 2510)	Received HU for $\geq 3$ Months (n = 1381)
Median age (range), y	67.0 (22.0-95.0)	69.0 (26.0-94.0)
Sex, n (%)		
Female	1150 (45.8)	695 (50.3)
Male	1360 (54.2)	686 (49.7)
Race, n (%)		
White	2237 (89.1)	1235 (89.4)
African American	143 (5.7)	81 (5.9)
Asian	37 (1.5)	19 (1.4)
Other/no information	93 (3.7)	46 (3.3)
Median disease duration (range), y	4.0 (0.0-56.3)	4.3 (0.0-36.5)
Disease duration, y, n (%)		
< 1	543 (21.6)	270 (19.6)
1 to < 3	500 (19.9)	270 (19.6)
3 to < 5	391 (15.6)	230 (16.7)
$\geq 5$	1051 (41.9)	597 (43.2)
Missing	25 (1.0)	14 (1.0)
Risk category at enrollment, n (%)		
High	1939 (77.3)	1165 (84.4)
Low	571 (22.7)	216 (15.6)

Abbreviation: HU = hydroxyurea.

## HU Treatment Patterns and Blood Counts in PV

**Table 3** HU Dose Intensity and Exposure

	Received HU for ≥ 3 Months (n = 1381)
Median maximum daily dose (range), mg/d	1000.0 (71.4-5571.4)
Maximum daily dose, mg/d, n (%)	
< 400	91 (6.6)
500	415 (30.1)
750	159 (11.5)
1000	423 (30.6)
1500	204 (14.8)
2000	61 (4.4)
> 2000	28 (2.0)
Median duration of maximum daily dose, (range), mos	19.6 (0.0-38.5)
Median HU exposure post-index (range), mos	23.6 (3.1-38.5)

Abbreviation: HU = hydroxyurea.

### Laboratory Values and Spleen Assessments

Of the 1381 patients, 57.1% of patients had  $\geq 1$  HCT value  $> 45\%$  after receiving HU for 3 months (45.2% of patients had  $\geq 2$  HCT values  $> 45\%$ , 37.6% of patients had  $\geq 3$  HCT values  $> 45\%$ , and 32.1% of patients had  $\geq 4$  HCT values  $> 45\%$ ). Approximately one-third (33.1%; n = 457) of patients continued to receive phlebotomies; 82.9% of these patients requiring phlebotomies continued to report HCT values  $> 45\%$ . Over one-quarter (27.4%) of patients had uncontrolled myeloproliferation after being on HU for  $\geq 3$  months, including 44.9% with  $\geq 1$  WBC count  $> 10 \times 10^9/L$  and 49.2% with  $\geq 1$  platelet count  $> 400 \times 10^9/L$ .

(Figure 3). Of the 1381 patients who received HU for  $\geq 3$  months, spleen assessment by physical examination was performed in 973 (70.5%) patients. Of these, 181 (18.6%) had palpable splenomegaly. Spleen length measurements were available for 66 patients, 27.3% of whom had spleen length  $\geq 10$  cm from the left costal margin to the point of greatest splenic extension.

### Adverse Events

Of the 1381 patients who received HU for  $\geq 3$  months during the post-index period, HU-related non-hematologic adverse events occurred in 7.2% of patients after initiating HU (Table 4). Cytopenias were reported for 14.6% of patients while on HU; 7.6% had  $\geq 1$  hemoglobin value  $< 10$  g/dL, 7.4% had  $\geq 1$  platelet count  $< 100 \times 10^9/L$ , and 1.9% had  $\geq 1$  absolute neutrophil count  $< 1 \times 10^9/L$ .

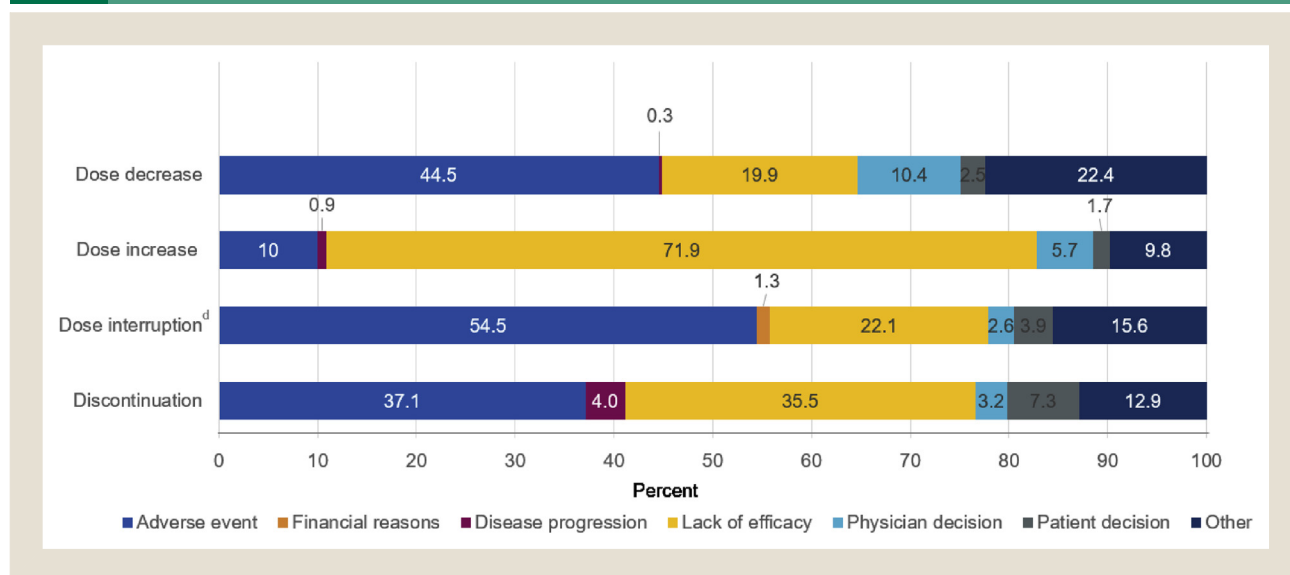
### Hematocrit Control and Cytopenias

Of the 1154 evaluable patients with HCT values  $< 45\%$  while on HU, 14.0% had cytopenias at the same time of HCT  $< 45\%$ ; 6.2% had thrombocytopenia (platelet count  $< 100 \times 10^9/L$ ), 8.3% had anemia (hemoglobin  $< 10$  g/L), and 1.9% had neutropenia (absolute neutrophil count  $< 1 \times 10^9/L$ ) (Table 5). A similar number of cytopenias was observed for patients with  $\geq 2$  HCT values below 45%.

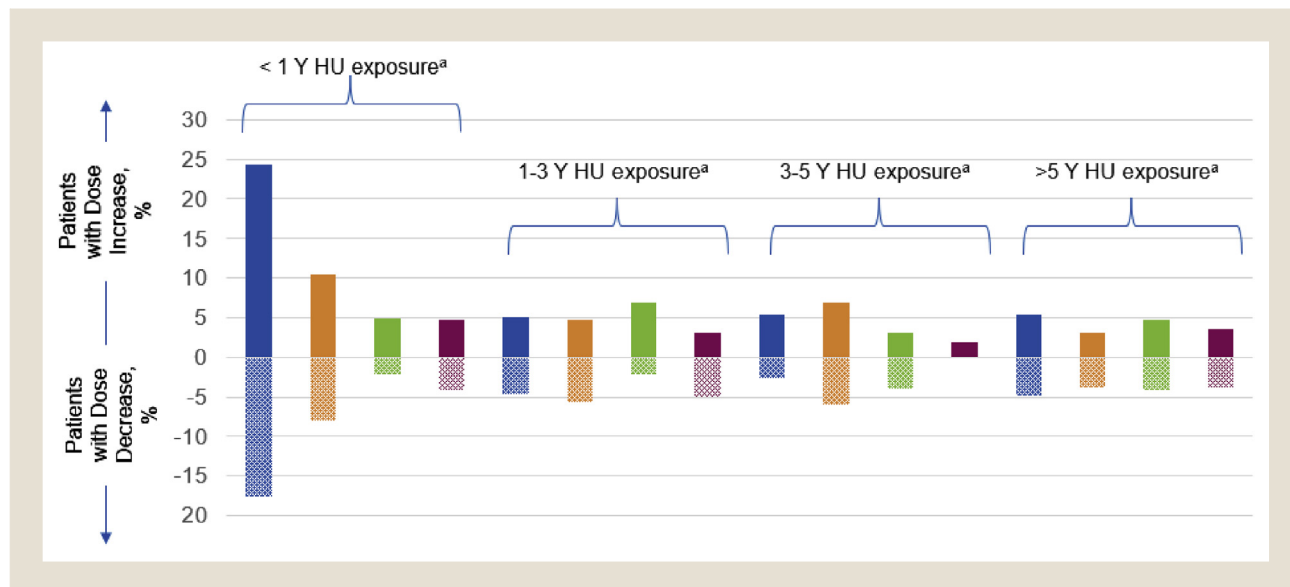
## Discussion

This analysis of REVEAL provides the largest prospective analysis reported to date of HU treatment patterns in patients with PV. Results of this analysis showed that in the post-index period, patients who received HU for  $\geq 3$  months were exposed to HU for a median of 23.6 months, and that the majority (72.1%) of patients who received HU received 500 to 1000 mg/d; few (6.4%) patients received the ELN-referenced dose of  $\geq 2$  g/d.<sup>13</sup> Approximately

**Figure 1** Reasons for HU Dose Adjustment or Discontinuation.<sup>a-c</sup> <sup>a</sup>All Dose Changes, Interruptions, and Discontinuations Were Summarized Across All Patients During Their Complete HU Exposure. <sup>b</sup>Cohorts Were Not Mutually Exclusive. <sup>c</sup>Any Occurrence of Dose Change Was Used as the Denominator; the Same Patient Could Contribute to Multiple Dose Adjustments. <sup>d</sup>Interrupted for  $\geq 14$  Days



Abbreviation: HU = hydroxyurea.

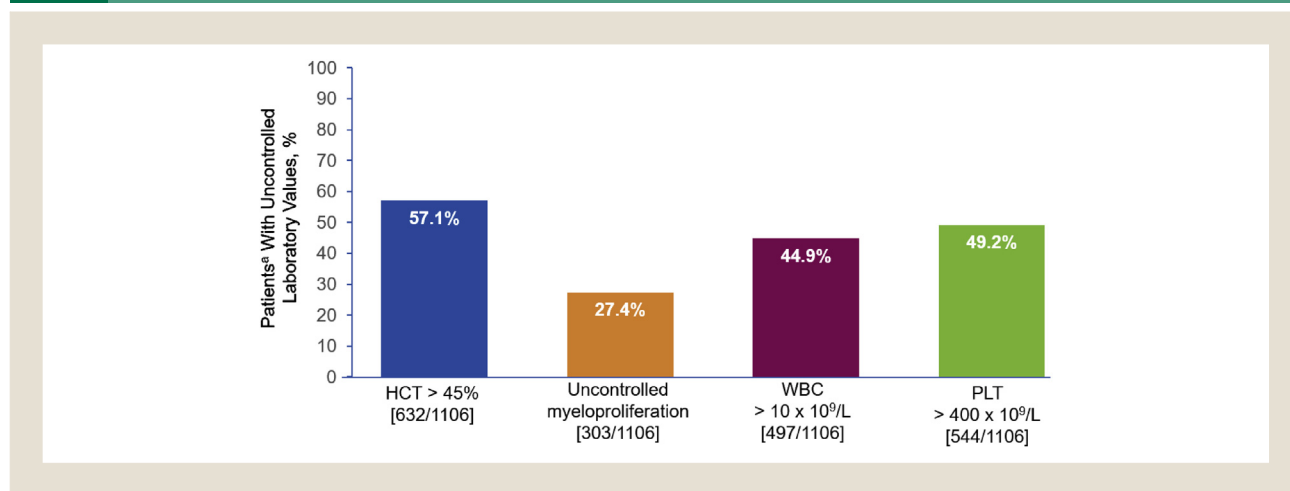
**Figure 2** Proportion of HU Dose Adjustments by Time of HU Start and Exposure

Abbreviation: HU = hydroxyurea. <sup>a</sup>Pre-enrollment; <sup>b</sup>post-enrollment.

one-third of patients needed dose increases and/or decreases, and one-quarter needed dose interruptions, with only 21.9% of patients restarting after an interruption. Adverse events and lack of efficacy were the most common reasons for permanent discontinuation of HU. Over one-half of patients who received HU for  $\geq 3$  months continued to have elevated HCT values ( $>45\%$ ), and approximately one-third continued to receive phlebotomies or experience uncontrolled myeloproliferation.

With respect to HU intolerance, when HCT was controlled ( $<45\%$ ) with HU, 14.0% of patients experienced cytopenias, most commonly anemia. In addition, a small proportion (7.2%) of patients had nonhematologic adverse events after starting HU. The adverse events associated with HU in patients enrolled in REVEAL

were consistent with those described in previous publications.<sup>15-17</sup> An early report of 100 patients with PV who were treated with phlebotomy and HU (mean HU dose, 720 mg/d; mean HU duration, 64.9 months) described 1 patient with skin toxicity (allergic rash); no clinically significant GI toxicity, infection, or cytopenias, were observed.<sup>15</sup> A later prospective study randomized patients with PV to treatment with HU ( $n = 150$ ; initiated at 25 mg/kg/d and maintained at 10-15 mg/kg/d) or pipobroman ( $n = 142$ ).<sup>16</sup> Of the patients who received HU, 133 had  $> 2$  years of follow-up. Stomatitis was reported in 13/133 (10%), leg ulcers in 12/133 (9%), dry skin/acne in 10/133 (7%), gastric pain/diarrhea in 9/133 (7%), and cystitis in 3/133 (2%) of these patients. A more recent retrospective study of 129 patients with PV ( $n = 54$ ) or

**Figure 3** Uncontrolled Laboratory Values in Patients With PV Who Received HU for  $\geq 3$  Months

Abbreviations: HCT = hematocrit; HU = hydroxyurea; PLT = platelet; PV = polycythemia vera; WBC = white blood cell. <sup>a</sup>Of patients with  $> 1$  corresponding laboratory value during the post-enrollment period while on HU. Analysis included patients with all 3 laboratory values.



# HU Treatment Patterns and Blood Counts in PV

**Table 4** Summary of Toxicities<sup>a</sup> in Patients Treated With HU for ≥ 3 Months

Adverse Event	n (%) (n = 1381)
Nonhematologic	100 (7.2)
Gastrointestinal disorders	89 (6.4)
Aphthous stomatitis	2 (0.1)
Colitis ulcerative	1 (0.1)
Constipation	24 (1.7)
Diarrhea	30 (2.2)
Duodenal ulcer	1 (0.1)
Intestinal perforation	1 (0.1)
Nausea	29 (2.1)
Stomatitis	9 (0.7)
Vomiting	13 (0.9)
Skin and subcutaneous tissue disorders	14 (1.0)
Decubitus ulcer	1 (0.1)
Skin ulcer	13 (0.9)

Abbreviation: HU = hydroxyurea.

<sup>a</sup>These are treatment-emergent adverse events and were not necessarily attributed to HU.

essential thrombocythemia (n = 75) treated with a mean dose of 620 mg/d HU described major events necessitating discontinuation in 12 patients, including leg ulcers (n = 4), acute leukemias (n = 3), fever (n = 2), and allergies (n = 2).<sup>18</sup> In addition, the drug was withdrawn for symptomatic anemia in 2 patients receiving > 20 mg/kg/d HU.

Similar to findings in this analysis of REVEAL data, doses of HU reported in the above studies were lower than the current ELN-endorsed minimum dose of 2 g/d.<sup>13</sup> It is suggested that cumulative exposure to > 1 g/d HU over extended periods (>1 year) may result in HU-related skin toxicity and may require HU discontinuation,<sup>18</sup> potentially contributing to the lower doses of HU observed. Indeed, adverse events were the predominant reason for HU dose discontinuation or interruption in REVEAL, although information on the specific AEs leading to discontinuation in REVEAL are not available.

**Table 5** HCT Control and Cytopenias in Patients Treated With HU for ≥ 3 Months<sup>a</sup>

Variable	Received HU, n/N (%) (n = 1300)
HCT evaluable	1299/1300 (99.9)
≥ 1 HCT value < 45%	1154/1299 (88.8)
Cytopenias at same time of HCT < 45%	162/1154 (14.0)
PLT count < 100 × 10 <sup>9</sup> /L	71/1151 (6.2)
HGB < 10 g/L	96/1153 (8.3)
ANC < 1 × 10 <sup>9</sup> /L	20/1042 (1.9)

Abbreviations: ANC = absolute neutrophil count; HCT = hematocrit; HGB = hemoglobin; HU = hydroxyurea; PLT = platelet.

<sup>a</sup>Denominator includes patients with ≥ 1 corresponding laboratory value during the post-enrollment period while on HU.

This analysis showed that over one-half of the patients treated with HU failed to achieve HCT values < 45%. Potential reasons for the high proportion of patients with HCT > 45% despite treatment with HU may include development of a more advanced, proliferative disease in a subset of patients, imminent phlebotomy, a lack of appropriate upward titration of HU owing to infrequent follow-up, suboptimal titration of HU, and/or a lack of comfort on the part of the physician or patient with titrating up to 2 g/d. The lack of upward titration may be related to lingering concerns about HU's role in the risk of transformation into myelodysplastic syndrome (MDS) and acute myeloid leukemia (AML).<sup>19</sup> Patients with PV are at increased risk of developing acute leukemia, partly as a complication of their disease and partly as a result of the cumulative effects of treatments for PV.<sup>20-22</sup> A recent propensity-matched analysis of patients with PV who received phlebotomy (n = 342; median follow-up = 29.9 months) or HU (n = 681; median follow-up 34.7 months) reported 2 patients receiving phlebotomy and 1 patient receiving HU transformed to acute leukemia.<sup>12</sup> Similarly, in a nested case-control study of 162 patients with MPNs (110/162 [68%] PV) who developed AML or myelodysplastic syndrome and 242 matched control patients with MPNs (176/242 [73%] PV), 25% of patients with MPNs who developed AML/MDS were not exposed to cytotoxic therapy, which supports a role for nontreatment-related factors. A larger analysis of patients with PV (n = 1545) likewise found no association between HU use and transformation to AML.<sup>23</sup> In addition, the risk of AML/MDS development was associated with high exposures of radioactive phosphorus and alkylators but not with HU treatment, even when administered at high doses.<sup>24</sup> Despite this evidence, the lingering concern surrounding the leukemogenicity of HU may continue to lead to less aggressive upward titration, even in the context of uncontrolled HTC.

Limitations of this analysis include that it is an exploratory subgroup analysis, with a relatively short observation period, variable durations of disease and/or treatment, variance in the doses of HU used, and the potential confounding effect of age-related adverse events. In addition, reported proportions of patients with increased/decreased laboratory values may be underestimated, as the analyses were performed on patients with ≥ 1 laboratory value, and not all patients had the same number of laboratory value assessments.

## Conclusions

In conclusion, this analysis emphasizes the need for active management of patients with PV with appropriate HU dose titration to maintain blood count control while monitoring for signs and symptoms of HU intolerance.

## Clinical Practice Points

- National guidelines support the use of HU in high-risk patients with PV.
- In this study, we investigated HU treatment patterns in patients with PV.
- Of patients who received HU for ≥ 3 months, 32.3% had dose adjustments, 23.7% had dose interruptions, and 18.6% discontinued HU.
- These results emphasize the need for active management of patients with PV.



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