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Blocking airway mucous cell metaplasia by inhibiting EGFR antiapoptosis and IL-13 transdifferentiation signals

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Epithelial hyperplasia and metaplasia are common features of inflammatory and neoplastic disease, but the basis for the altered epithelial phenotype is often uncertain. Here we show that long-term ciliated cell hyperplasia coincides with mucous (goblet) cell metaplasia after respiratory viral clearance in mouse airways. This chronic switch in epithelial behavior exhibits genetic susceptibility and depends on persistent activation of EGFR signaling to PI3K that prevents apoptosis of ciliated cells and on IL-13 signaling that promotes transdifferentiation of ciliated to goblet cells. Thus, EGFR blockade (using an irreversible EGFR kinase inhibitor designated EKB-569) prevents virus-induced increases in ciliated and goblet cells whereas IL-13 blockade (using s-IL-13Rα2-Fc) exacerbates ciliated cell hyperplasia but still inhibits goblet cell metaplasia. The distinct effects of EGFR and IL-13 inhibitors after viral reprogramming suggest that these combined therapeutic strategies may also correct epithelial architecture in the setting of airway inflammatory disorders characterized by a similar pattern of chronic EGFR activation, IL-13 expression, and ciliated-to-goblet cell metaplasia.

Introduction

Epithelial cell hyperplasia and metaplasia are common consequences of inflammation and may be associated with protective as well as pathogenic outcomes. In the lung, airway epithelial remodeling can be life threatening, since mucous cell metaplasia is the foundation for hypersecretion that can obstruct the airway lumen. Despite the critical nature of this process, little is known about how mucous cell metaplasia develops in the setting of acute or chronic inflammatory disease. Particularly, little is known about the mechanism for what is likely the most common cause of mucous cell metaplasia in the lung, i.e., respiratory viral infection, since previous work has focused on bacterial, allergic, and carcinogenic stimuli. Perhaps because of the paucity of mechanistic information, no effective and specific pharmacologic treatment is currently available to treat epithelial cell metaplasia in general or mucous cell metaplasia in particular.

In this context, recent work on mucous cell metaplasia has often focused on signaling pathways initiated by activation of the IL-13 receptor (IL-13R) and EGFR (also designated ErbB1 and HER1). The experimental role of IL-13R was established when a decoy receptor (IL-13R) and EGFR (also designated ErbB1 and HER1). The similarity to the case for IL-13, animal models often rely on allergen challenge that appears to drive mucin gene expression predominantly in cells that resemble Clara cells by morphology (22–24). These cells express Clara cell secretory protein (CCSP), but tracking cell lineage is complicated by EGFR and IL-13-dependent stimulation of CCSP expression, perhaps in multiple cell types (25). Third, extensions of these studies to signaling mechanisms have often been performed in transformed cell lines (17, 19, 26–31), and even when primary airway epithelial cells were used, cultures were not fully differentiated under physiologic conditions to a respiratory epithelium (18, 32–34). Thus, 1 biochemical scheme from this work suggests that IL-13 stimulation of EGFR signaling leads to

Nonstandard abbreviations used: CCSP, Clara cell secretory protein; CLCA, calcium-activated chloride channel; COPD, chronic obstructive pulmonary disease; IL-13R, IL-13 receptor; mTEC, mouse tracheal epithelial cell; PCNA, proliferating cell nuclear antigen; SeV, Sendai virus; SeV-UV, UV-inactivated SeV; z-VAD-fmk, z-Val-Ala-Asp fluoromethylketone.

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mucin gene expression (21), but studies of airway epithelial cells under physiologic conditions show that IL-13 fully stimulates goblet cell metaplasia despite EGFR blockade (5). Thus, there is likely a fundamental requirement for EGFR activation in mucociliary differentiation (5, 35), but how this requirement may be linked to the modification of epithelial cell growth or differentiation during cytokine stimulation, inflammation, and/or infection still needed to be defined. Fourth, the whole subject of EGFR regulation of cell survival, which appears so critical for neoplasia, has not yet been taken into account in the process of epithelial cell metaplasia during inflammatory disease. Finally, previous approaches concentrate predominantly on the acute phase of epithelial remodeling without addressing chronic goblet cell metaplasia that may be found in hypersecretory airway diseases such as asthma and COPD.

To address these issues, we studied a mouse model of airway epithelial remodeling that is inducible by viral infection and features a delayed but permanent switch to goblet cell metaplasia (36). The observed patterns of acute infection and chronic remodeling were characterized in detail, in particular the dissociation between the acute antiviral response that allows for viral clearance versus the subsequent postviral response manifested by chronic airway hyperreactivity and goblet cell metaplasia (36, 37). When we examined the behavior of EGFR signaling in this model, we detected acute activation of EGFR during the epithelial repair phase that was replaced by chronic activation of EGFR localized to ciliated epithelial cells. This chronic activation coincides with ciliated cell hyperplasia without a requirement for ongoing epithelial proliferation. Both ciliated cell hyperplasia and goblet cell metaplasia could be prevented by treatment with a new irreversible inhibitor of EGFR tyrosine kinase. These findings suggested a role for EGFR-dependent signaling pathways in ciliated cell survival, and we subsequently detected and defined such a mechanism that proceeds via PI3K/Akt signaling to selectively protect ciliated epithelial cells from apoptosis. However, this mechanism did not readily explain a requirement for EGFR signaling in goblet cell metaplasia until we next detected ciliated cells that appeared to transdifferentiate to goblet cells under pressure from IL-13 stimulation. Thus, in vivo inhibition of IL-13 signaling blocks goblet cell formation but also, by preventing transdifferentiation, further increases the level of ciliated cell hyperplasia. The results thereby provide a new paradigm for chronic goblet cell metaplasia that depends on persistent activation of 2 complementary pathways: EGFR-PI3K signals that protect against ciliated cell apoptosis and IL-13 signals that promote ciliated to goblet cell transdifferentiation. This scheme is consistent with EGFR and IL-13 effects on epithelial cells as well as evidence of ciliated cell EGFR activation, IL-13 production, and goblet cell metaplasia in the epithelium of asthmatic subjects. Therefore, treatment to fully restore normal epithelial behavior in asthma and related hypersecretory conditions such as COPD may need to be directed at combined correction of EGFR- and IL-13–dependent abnormalities in airway epithelial cell survival and differentiation. In that regard, we establish the efficacy of an orally active and irreversible EGFR inhibitor and a soluble IL-13 decoy to prevent these abnormalities in epithelial architecture.

**Results**

**Persistent activation of EGFR on ciliated epithelial cells.** Common human paramyxoviruses often replicate poorly in mice, but mouse parainfluenza virus (Sendai virus; SeV) replicates with high efficiency in the bronchiolar mucosa, with consequent induction of immune-response gene expression, immune cell infiltration, and damage of the epithelium (37). This host response allows for complete clearance of SeV by 10–12 days after inoculation (36, 38). The injury is followed by epithelial repair and restoration of normal airway architecture in some mouse strains (see below) but can be followed by long-term (likely permanent) goblet cell metaplasia in C57BL/6J mice that appears at 21 days after inoculation (36). Since EGFR signaling is broadly implicated in epithelial remodeling, we assessed EGFR

![Figure 1](image_url)
behavior in mouse airway epithelium in this model. Western blot analysis indicated that anti-EGFR and anti–phospho-EGFR (anti–p-EGFR) antibodies specifically recognized the receptor in airway tissue samples (data not shown). Immunostaining of airway tissue with anti-EGFR antibody indicated that EGFR expression was predominantly localized to the apical membrane of ciliated epithelial cells, although other cell types (e.g., basal cells and airway smooth muscle cells) were also weakly immunostained (Figure 1A). No significant difference was observed in the pattern or the level of anti-EGFR immunostaining between mice infected with SeV and control mice that were inoculated with UV-inactivated SeV (SeV-UV). In contrast, immunostaining for p-EGFR (using an anti–p-EGFR antibody that recognizes phosphorylated Tyr845) indicated that levels of activated EGFR were persistently increased at 21 days after inoculation with SeV compared with uninoculated or SeV-UV–inoculated control mice. Similar to the pattern for EGFR expression, p-EGFR was also localized mainly to the apical surface of ciliated epithelial cells, but in this case, apical cell staining was also accompanied by corresponding nuclear staining in this same ciliated cell population (Figure 1A).

Other cell types (e.g., basal cells) were also weakly immunostained in nuclear and cytosolic locations. These findings are consistent with reports of nuclear translocation of activated EGFR (39). This pattern of immunostaining indicated that the initial EGFR antibody recognizes predominantly the unphosphorylated receptor. For both antibodies, immunostaining was completely abolished by preabsorption with corresponding antigen. In addition, normal rabbit IgG was used as a negative isotype control and showed no significant signal above background. In further support of EGFR expression localized predominantly to ciliated epithelial cells, double labeling and immunofluorescence detected by laser scanning confocal microscopy indicated that EGFR colocalized with a marker for ciliated epithelial cells (i.e., β-tubulin) but not with markers for Clara cells (i.e., CCSP) or goblet cells (i.e., MUC5AC) in mouse airways (Figure 1B).

This pattern of EGFR immunostaining found in mice was similar to the one in human subjects. In particular, EGFR expression was also localized to the apical cell membrane of ciliated epithelial cells in normal and asthmatic subjects, and p-EGFR was increased in asthmatic subjects that also manifested goblet cell metaplasia (Supplemental Figure 1; supplemental material available online with this article; doi:10.1172/JCI25167DS1). Expression of p-EGFR was similarly localized to the apical portion of ciliated epithelial cells, and expression was accompanied by corresponding nuclear staining in the same ciliated cells. Additional, albeit weaker, p-EGFR immunostaining was also present on basal cells in both normal and asthmatic subjects. This finding was also consistent with previous reports of EGFR expression in this basal cell population (12, 15, 18, 19). The present pattern of EGFR localization to the apical and ciliary regions of ciliated epithelial cells is consistent with observations in developing lung and other sites (40–43). Others report basolateral localization of EGFR in some epithelial tissues (e.g., gut and kidney) (44, 45) and in airways (46); we find expression at this location as well but without any predilection for ciliated cells. In either case, the consistent finding of EGFR and p-EGFR expression on ciliated epithelial cells in mouse and human airways led us to continue these studies in a mouse model of asthma, where experimental conditions and genetic background are more completely controlled and more extensive lung sampling is possible.

EGFR as a critical component in epithelial remodeling. To define a functional role for persistent EGFR signaling on ciliated epithelial cells, lung sections were subjected to immunostaining with markers for ciliated epithelial cells, Clara cells, and goblet cells. Quantitative analysis of cell types found in the airway epithelium indicated that SeV-injected mice developed increases in ciliated and goblet cells and concomitant decreases in Clara cells at day 21 (but not by day 12) after inoculation compared with control mice inoculated with SeV-UV (Figure 2). We next determined whether EGFR signaling was necessary for these observed changes in epithelial architecture. For these studies, we used a new irreversible EGFR inhibitor (EKB-569) that selectively inhibits EGFR signaling in airway epithelial cells in vitro (Supplemental Figure 2). To achieve blockade in vivo, we administered EKB-569 orally each day from postinoculation day 10 (so as not to interfere with viral clearance or epithelial repair) through day 21 (when the remodeling response developed). Under these treatment conditions, EKB-569 also blocked EGFR signaling in vivo (Supplemental Figure 2). Moreover, when provided in this way, EGFR inhibitor treatment also helped to correct all 3 aspects of epithelial remodeling. Specifically, we observed complete blockade of ciliated cell increases and Clara cell decreases and partial but significant inhibition of goblet cell metaplasia (Figure 2B). EKB-569 treatment had...
no effect on the total number of airway epithelial cells (cytokeratin-staining cells were 133 ± 3 after vehicle and 137 ± 5/mm basement membrane after drug treatment on postinoculation day 21), consistent with compensatory changes in other epithelial cell (e.g., basal and Clara cell) populations. Based on immunohistochemical data showing localization of EGFR and β-tubulin expression together in the same ciliated cell population, we expected that EGFR blockade might influence ciliated cell hyperplasia. However, the effect of interrupting EGFR signals on goblet cell (or Clara cell) levels was unexpected based on the relative absence of activated EGFR expression on either of these cell types. Further experiments were therefore designed to better understand the mechanisms underlying the role of EGFR in chronic epithelial remodeling.

We reasoned that epithelial hyperplasia could either be a result of increased proliferation or decreased cell death. We therefore initially looked for evidence of increased proliferation in mice with epithelial remodeling. As noted previously, there was transient epithelial proliferation (marked by BrdU labeling) during days 5–12 after infection (Figure 3, A and B, and ref. 47). The same pattern of immunostaining was found for Ki-67 and proliferating cell nuclear antigen (PCNA) proliferation markers (data not shown). This proliferative response likely allows for replacement of host cells that suffer direct cytopathic effects and immune-mediated cell death in the wake of viral replication (38). Not surprisingly, this repair phase is accompanied by EGFR activation in epithelial cells (generally basal cells) as well as subepithelial (likely immune) cells (Figure 3C). However, by postinoculation day 21, there was no longer evidence of an ongoing proliferative response, since cellular proliferation was no different than in noninoculated control mice (Figure 3, A and B). Moreover, this replacement phase (marked by BrdU uptake and EGFR activation in the basal cell compartment) was the same in a strain of mice (Balb/cJ) that does not develop long-term epithelial remodeling (Figure 3, A–E). Thus, this transient proliferative response could not account for the subsequent long-term remodeling that was found only in genetically susceptible (C57BL/6J) mice. Moreover, the lack of an ongoing epithelial proliferative response suggested that ciliated cell hyperplasia might reflect a selective increase in EGFR-dependent cell survival based on suppression of cell death in this subpopulation of epithelial cells.

**EGFR signaling and ciliated cell survival in culture.** To determine whether EGFR provides necessary survival signals to ciliated epithelial cells, we next analyzed EGFR blockade in tissue culture where macrophage clearance would not obscure detection of apoptotic cells and where signaling events could be better defined. Initial experiments aimed to determine whether EGFR was localized to ciliated epithelial cells in culture as was found in vivo. We therefore reconstituted the epithelial system in vitro using air-liquid interface cultures of airway epithelial cells harvested from mouse trachea. In this system, ciliated (β-tubulin–positive) cells represented 45% ± 1% of the total cell population, a level that was similar to normal mouse airways (36% for large-sized airways) and to values for mouse tracheal specimens reported previously (48). As was the case in vivo, the ciliated epithelial cells in culture exhibited constitutive expression of EGFR and p-EGFR along the apical cell membrane; p-EGFR was found in this location as well as a nuclear one following activation by ligand (Figure 4A and data not shown). Others reported...
that EGFR may also be localized to the basolateral cell membrane in cultured airway epithelial cells (46), but any differences could depend on culture conditions, receptor heterogeneity that influences recognition by different antibodies, or receptor abundance that may influence apical versus basolateral localization (49).

We next defined the role of EGFR signaling in ciliated epithelial cell growth and survival using treatment with selective inhibitors. Initial experiments to validate inhibitor specificity used cultures that were first removed from complete medium and then stimulated with EGF to maximize EGFR-dependent signals. Under these conditions, we found that EGFR tyrosine kinase inhibition with PD153035 blocked all downstream signals, while PI3K inhibition with LY294002 blocked phosphorylation of Akt, and MEK1/2 inhibition with PD98059 blocked phosphorylation of ERK1/2 (Figure 4B). The next experiments determined the effect of these EGFR signals on ciliated cell survival. We found that treatment with PD153035 caused a dose-dependent loss of ciliated cells out of proportion to the consequent decrease in total epithelial cells (Figure 4C). Similar results were obtained with another EGFR-specific inhibitor, AG1478 (data not shown). Recognizing that EGFR activation triggers several downstream signaling pathways, we next treated mouse tracheal epithelial cell (mTEC) cultures with inhibitors of PI3K and MEK1/2 and found that only treatment with LY294002 caused a similar loss of ciliated epithelial cells (Figure 4D). Since this culture system does not exhibit significant cell growth at high density, it appeared likely that the loss of ciliated epithelial cells was due to decreased cell survival.

Accordingly, we next tested whether blockade of EGFR signaling led to concordant changes in the level of apoptosis. In parallel with loss of ciliated epithelial cells, we also observed rapid activation of caspase-3 and TUNEL-positive cells (within 6 hours) in the same pattern that we had observed for cell loss, i.e., when EGFR or PI3K but not MEK1/2 signaling was blocked (Figure 5A). In this setting, TUNEL-positive cells were undergoing apoptosis, since this process was blocked by treatment with the caspase-inhibitor z-Val-Ala-Asp fluoromethylketone (z-VAD-fmk) (Figure 5B) and was associated with caspase-3 and caspase-9 cleavage/activation (Figure 5C) and loss of mitochondrial membrane potential (Figure 4D). These results therefore define an EGFR signaling pathway that protects against ciliated cell apoptosis via selective PI3K signaling to downstream factors that prohibit mitochondrial dysfunction and consequent programmed cell death. As discussed below, the findings stand in some contrast to reports of EGFR and other receptor signals to ERK1/2 that prevent cell death under other circumstances (38, 50, 51).

EGFR signaling and goblet cell metaplasia in vitro and in vivo. As noted above, the actions of EGFR signaling on ciliated cells did not readily explain the effect of EGFR blockade on goblet cell metaplasia in vivo. In particular, selective EGFR expression and consequent survival function on ciliated cells could account for inhibition of ciliated cell hyperplasia but could not account directly for blockade of goblet cell metaplasia as well. Despite the low levels of EGFR expression on goblet cells, we next questioned whether EGFR might still have a similar functional effect on goblet cell survival. To test this possibility, we took advantage of concomitant studies that defined IL-13 dependence of goblet cell metaplasia after viral infection (E.Y. Kim and M.J. Holtzman, unpublished observations). This effector pathway therefore overlaps with that established in studies of mucin production after allergen challenge (1, 2). In addition, we recognized the capacity of IL-13 treatment to stimulate goblet cell formation in
airway epithelial cells cultured from guinea pigs and humans (3–5) as well as mice (J.D. Morton and M.J. Holtzman, unpublished observations). Cultured mTECs were therefore treated with IL-13, and the subsequent development of goblet cells was marked by expression of MUC5AC. Cell death was tracked with activation of caspase-3, since the procedure for the TUNEL reaction appears to decrease mucin content. In contrast to ciliated cells, we found that goblet cells did not exhibit increased rates of cell death in response to EGFR inhibition (Figure 6A). Quantifying the level of active caspase-3 staining in MUC5AC-positive versus MUC5AC-negative populations indicated that the level of apoptosis was similar in goblet cells with or without EGFR blockade, whereas the nongoblet cells (i.e., ciliated epithelial cells) exhibited significant caspase-positive staining under these treatment conditions (Figure 6B). Moreover, the level of nongoblet cell death was similar with or without IL-13 treatment, indicating that the death pathway in ciliated cells is not influenced by IL-13-dependent actions on goblet cell formation.

Since EGFR blockade caused no effect on goblet cell survival in vitro, we reasoned that the potent effects of EGFR blockade on goblet cell metaplasia in vivo might be downstream of EGFR blockade of ciliated cell hyperplasia. Support for that possibility was obtained when we found that IL-13 treatment led to the development of cells that transiently shared characteristics of ciliated and goblet cells. Thus, electron microscopy of mTEC cultures provided evidence of a subset of cilia-goblet cells with preservation of cilia and the gradual development of mucous granules under the influence of IL-13 (Figure 7). These transitional cells were most prominent early (1–2 days) after initiation of IL-13 treatment, while mature goblet cells without cilia were most abundant at later times (5 days) after treatment. The morphologic characteristics of cilia-goblet cells under these conditions appear quite similar to ciliated cells containing mucous granules found by electron microscopy in airways of allergen-challenged mice (23).

Since airway epithelial cultures were established under conditions that required IL-13 treatment to promote goblet cell formation in an environment that would otherwise produce ciliated cells, these cilia-goblet cells were likely being redirected by IL-13 to transdifferentiate from a ciliated to goblet cell phenotype. The possibility that similar transdifferentiation also developed in vivo was next confirmed in sections taken from mice exhibiting goblet cell metaplasia after inoculation with SeV. Confocal microscopy indicated that, while the majority of ciliated or goblet cells expressed either β-tubulin or...
MUC5AC, respectively, there was a subpopulation of epithelial cells that expressed both β-tubulin and MUC5AC (Figure 8A). Similarly, confocal images also indicated that, in general, ciliated but not goblet cells expressed EGFR, but there was an additional subpopulation of cells that expressed both EGFR and MUC5AC (Figure 8B). In each case, multiple confocal sections along the z axis and 3D reconstruction were used to confirm colocalization within a single cell. The subpopulation expressing MUC5AC and β-tubulin or MUC5AC and EGFR appeared to be in transition, since they did not often reach their characteristic shape and position at the lumenal surface of the mucosal epithelial layer as was found for mature goblet cells. In addition, in these transitioning cells, the mucous granules were often localized in a more basal compartment of the cells versus a more apical location for fully differentiated goblet cells. This morphologic behavior also suggests that these cilia-goblet cells represent goblet cell precursors. As noted previously for allergen-induced goblet cell metaplasia, we also detected a subpopulation of epithelial cells with coexpression of CCSP and MUC5AC (Figure 8C) at levels comparable to detection of cilia-goblet cells (Figure 8E).

We next aimed to establish whether IL-13 also promotes cilia to goblet cell formation in vivo as we had observed in vitro. For these experiments, we took advantage of a recombinant soluble IL-13Rα2 Fc fusion protein (designated sIL-13Rα2-Fc) that acts as a decoy receptor to specifically block IL-13 action when delivered to mice (1, 2). Treatment conditions were chosen to be similar to those used for EGFR blockade, so treatment extended from day 12 to 21 after viral inoculation. This time frame also coincides with the development of goblet cell metaplasia (Figure 8D). Under these conditions, we found that sIL-13Rα2-Fc treatment was highly effective in preventing virus-induced goblet cell metaplasia (Figure 8E). However, in some contrast to EGFR blockade, sIL-13Rα2 treatment also caused a further increase in the level of ciliated cell hyperplasia (consistent with a block in their movement to goblet cells) and no change in Clara cell levels (consistent with the possibility that goblet cell formation derives at least in part from ciliated cell rather than Clara cell populations). We cannot fully exclude the possibility that other cellular sources (e.g., Clara cells or basal cells) may also contribute to goblet cell metaplasia in this setting, but the close match of ciliated cell increase to goblet cell decrease after IL-13 blockade suggests that transdifferentiation of the ciliated cell population is a significant pathway for goblet cell metaplasia under these conditions. Indeed, together with previous and present evidence of Clara cell expression of mucin genes, the present results may simply provide evidence of additional plasticity of epithelial cell differentiation.

In a final 2 sets of experiments, we again extended our findings from mice to studies of human subjects. In the first set of experiments, we took advantage of a recombinant soluble IL-13Rα2 Fc fusion protein (designated sIL-13Rα2-Fc) that acts as a decoy receptor to specifically block IL-13 action when delivered to mice (1, 2). Treatment conditions were chosen to be similar to those used for EGFR blockade, so treatment extended from day 12 to 21 after viral inoculation. This time frame also coincides with the induction of IL-13, mCLCA3, and MUC5AC gene expression in concert with the development of goblet cell metaplasia (Figure 8D). Under these conditions, we found that sIL-13Rα2-Fc treatment was highly effective in preventing virus-induced goblet cell metaplasia (Figure 8E). However, in some contrast to EGFR blockade, sIL-13Rα2 treatment also caused a further increase in the level of ciliated cell hyperplasia (consistent with a block in their movement to goblet cells) and no change in Clara cell levels (consistent with the possibility that goblet cell formation derives at least in part from ciliated cell rather than Clara cell populations). We cannot fully exclude the possibility that other cellular sources (e.g., Clara cells or basal cells) may also contribute to goblet cell metaplasia in this setting, but the close match of ciliated cell increase to goblet cell decrease after IL-13 blockade suggests that transdifferentiation of the ciliated cell population is a significant pathway for goblet cell metaplasia under these conditions. Indeed, together with previous and present evidence of Clara cell expression of mucin genes, the present results may simply provide evidence of additional plasticity of epithelial cell differentiation.

In a final 2 sets of experiments, we again extended our findings from mice to studies of human subjects. In the first set of experi-
ments, we analyzed airway tissue from a group of COPD patients that exhibited markedly increased levels of goblet cells in the airway and provided adequate lung tissue for analysis at the time of lung transplantation. By applying the same immunostaining protocol for immunofluorescence and confocal microscopy as for the mouse model, we found that sections of lung explants from COPD patients also exhibited evidence of β-tubulin–MUC5AC coexpression in a subset of airway epithelial cells (Figure 9A). We did not detect lumenal staining for β-tubulin in human (or mouse) airways, consistent with the proposal that cilia may be processed by endosomal degradation rather than shedding. As noted previously (52), CCSP-MUC5AC coexpression was also found in a subset of epithelial cells. In the second set of experiments, we again applied the strategy that we used for mouse studies and analyzed the behavior of human airway epithelial cells in air-liquid interface culture conditions without or with IL-13. In this case, we found that airway epithelial cells cultured from COPD patients led to the development of a subset of cells that coexpressed β-tubulin and MUC5AC under the influence of IL-13. As noted previously, β-tubulin is localized within the basal bodies of ciliated cells (53) and so provides even closer colocalization with MUC5AC found in mucous granules. The same pattern of IL-13–induced coexpression of ciliated and goblet cell markers was found in airway epithelial cells cultured from otherwise healthy lung transplant donors in response to IL-13, even within the first day of IL-13 treatment (Figure 9C). As we did for the studies of mouse and human tissue, we examined multiple confocal images along the z axis and 3D reconstruction to establish colocalization of ciliated and goblet cell markers within a single cell. Thus, similar to our experience for EGFR activation in human subjects, we found that the downstream mechanism for goblet cell metaplasia found in the mouse model, i.e., IL-13–driven ciliated-to-goblet cell transdifferentiation, appears to have a counterpart in hypersecretory human disease.

Discussion

The present report provides initial evidence that transient viral bronchiolitis causes a long-term switch to ciliated cell hyperplasia as well as goblet cell metaplasia and that the hyperplastic ciliated cell population exhibits persistent EGFR activation without proliferation. This finding came in some contrast to the fate of ciliated cells in other lung injury models where this cell population is
also primarily responsible for airway repair in concert with EGFR expression but depends on a marked proliferative response (14, 54). The present findings therefore raised the unexpected possibility that prolonged EGFR-dependent cell survival (not proliferation) is critical for remodeling of epithelial structure toward a chronic asthma/bronchitis phenotype. Support for this possibility was obtained when we showed that EGFR blockade prevented ciliated cell hyperplasia in vivo and caused ciliated epithelial cell apoptosis in vitro. Additional study of airway epithelial cells cultured under physiologic conditions indicated that ciliated epithelial cell survival depends on uninterrupted EGFR signaling to PI3K. Otherwise, the ciliated cells proceed toward programmed cell death (via caspase activation) in a manner that appears analogous to virus-inducible apoptosis (38). The fidelity of the present model to human disease is supported by initial experiments that detect ciliated epithelial cells with activated EGFR in airway sections from subjects with asthma, but further studies will be needed to verify this finding and extend it to other chronic airway diseases. Nonetheless, the present findings indicate that the plasticity and responsiveness of ciliated cells in the setting of airway damage and inflammation is an under-appreciated but seminal feature of airway epithelial remodeling.

The second major set of findings of the present study was focused on IL-13 signaling and the capacity of ciliated cells to transdifferentiate to goblet cells under IL-13 stimulation. This finding was also unexpected, since previous work had suggested that IL-13 may cause a decrease in ciliated cells and an increase in goblet cells, but no apparent connection was drawn between the 2 phenomena (3, 5). In the present work, we were able to capture snapshots of epithelial cells in vitro and in vivo that appear to be transitioning from a ciliated to a goblet cell phenotype under pressure from IL-13. In addition, we detected reciprocal increases in ciliated cell levels during IL-13 blockade in vivo. Additional cell lineage studies of this process are needed to fully define the mechanism of transdifferentiation, but the evidence points to a program that carefully coordinates ciliated and goblet cell formation to achieve proper mucosal immunity. Indeed, epithelial EGFR activation, ciliated cell hyperplasia, IL-13 production, and goblet cell metaplasia appear to develop together in time. This type of coordination is likely required for efficient mucociliary function. The present results suggest that abnormally prolonged IL-13 production may lead to goblet cell metaplasia beyond the initial repair phase and so explain how goblet cell metaplasia may develop in this setting. Thus, genetic susceptibility to the development of persistent EGFR activation as well as IL-13 production after viral infection may allow for the consequent development of goblet cell metaplasia. Whether a similar paradigm applies to other asthmagenic stimuli (e.g., allergen exposure) will need further study in models that mimic the human condition. Nonetheless, the fidelity of the present model to human disease is again supported by initial experiments that detect ciliated-to-goblet cell transdifferentiation in airway sections and cultured cells from patients with COPD likely under the influence of IL-13.

Together, our results on EGFR- and IL-13–dependent signaling provide a new paradigm for epithelial host defense and remodeling (summarized in Figure 10) that should be useful for developing a rational basis for therapies aimed at downregulating hypersecretory conditions. Thus, tyrosine kinase inhibitors in general and EGFR tyrosine kinase inhibitors in particular are being broadly developed for use in conditions exhibiting abnormal epithelial architecture, including asthma and COPD, but the cellular signaling context for their application to airway disease was uncertain. The present strategy utilized an irreversible inhibitor of EGFR tyrosine kinase activity that showed efficacy in preventing epithelial hyperplasia in a model of intestinal neoplasia (53). In that setting, the pharmacologic strategy was aimed at inhibiting epithelial proliferation, but our findings indicate that interrupting antiapoptotic signals may be the primary target in inflammatory airway disease. Further development of approaches for targeting EGFR (as well as those directed at IL-13–dependent events) will also benefit from further defining
the signaling events that regulate airway epithelial cell apoptosis and transdifferentiation. In that regard, we provide evidence that downstream signaling to prevent epithelial cell apoptosis proceeds through EGFR-dependent PI3K but not MEK/ERK activation based on selective inhibition. This finding implies that the targeted pathway extends from EGFR homo- or heterodimerization and activation of the receptor tyrosine kinase cytosolic domain to autophosphorylation of tyrosine residues within the cytoplasmic domain, docking of Gab2/PI3K, and subsequent activation of Akt signaling (56).

As noted above, the present results for EGFR-PI3K survival signaling stand in some contrast to reports of EGFR and other signals to ERK1/2 to promote cell survival under other circumstances (51). In particular, respiratory syncytial virus may trigger EGFR and ERK1/2 activation (and IL-8 release) in cultured epithelial cells during the acute infection, but these studies were performed using transformed (A549) cells with necessarily altered death pathways or in submerged cell cultures that do not differentiate into ciliated epithelial cells (50). Nonetheless, acute infection with respiratory syncytial virus (as well as SeV and influenza virus) may promote epithelial cell survival in the acute setting based on chemokine-dependent activation of either PI3K/Akt or MEK/ERK signaling both in vitro (using well-differentiated mouse and human cells) and in vivo (using a mouse model of acute infection) (38). In contrast to the situation for acute infection, the present findings therefore highlight the change in epithelial survival signals in the chronic setting and are again remarkable for using high-fidelity models in vitro and in vivo to establish that EGFR signals via PI3K/Akt selectively regulate ciliated cell survival.

The selective effect of PI3K but not MEK1/2 inhibition in the present system may also indicate that there is little contribution from the interaction of downstream signaling pathway through positive- or negative-feedback mechanisms. There is, however, significant overlap between EGFR- and IL-13–signaling events despite distinct outcomes. EGFR activation of a PI3K/Akt signal is directed at preventing apoptosis, whereas IL-13 signaling to PI3K as well as ERK1/2 and Stat6 are all directed toward increasing CLCA and MUC gene expression to promote goblet cell formation (ref. 5 and A.C. Patel, J.D. Morton, and M.J. Holtzman, unpublished observations). The distinct outcomes of EGFR and IL-13 activation of PI3K and ERK1/2 are likely related to further diversity in downstream signaling. For example, EGFR survival signals may be mediated by Akt-dependent phosphorylation and inactivation of the proapoptotic factor BAD whereas other downstream targets of Akt may contribute to mucin gene expression (57, 58). The present results help to lay the biologic basis for defining these downstream events.

The present results complement previous results suggesting that Clara cells also give rise to mucus cells. Thus, allergen-induced goblet cell metaplasia is accompanied by morphologic and biochemical evidence of CCSP-positive Clara cells containing mucus granules in mice (22–24). Inhibitor effects were not reported in those studies, but at least 1 report showed a decrease in Clara cell level concomitant with an increase in goblet cell level consistent with Clara-to-goblet cell transdifferentiation (22). Similarly, others used the rat CCSP promoter to delete endogenous IL-13R signaling and downregulate mucous cell metaplasia in allergen-challenged mice (6). Given the present results, residual metaplasia in that model may have been
derived from ciliated cells. However, comparison to the present results is complicated by the change in stimulus (from allergen to virus) and genetic background (from Balb/cj to C57BL/6j). Moreover, IL-13 may regulate CCSP expression (Y. You and S. Brody, unpublished observations, and ref 25), and the rat CCSP promoter system may not be specific for mouse Clara cell expression. In fact, significant levels of CCSP-driven recombination can be found in ciliated airway epithelial cells (59). Thus, it may be necessary to track other markers of Clara cell lineage to fully define the relative contribution of Clara versus ciliated cell populations to goblet cell metaplasia. We found virus-induced decreases in Clara cell levels that were reversed by EGFR blockade but unchanged after IL-13 inhibition, so the significance of any Clara cell contribution to mucous cell metaplasia after viral infection remains uncertain. Nonetheless, the present observations of CCSP and β-tubulin colocalization with MUC5AC in mice and in humans suggests that ciliated and Clara cells may each demonstrate sufficient plasticity to contribute to mucous cell metaplasia.

Taken together, the present report extends the line of reasoning that airway epithelial cells may be specially programmed for normal immune defense (especially against respiratory viruses) and abnormally programmed in airway disease. Previous work focused on other epithelial immune responses (notably interferon signaling) and how the epithelium clears infection in the short term (60, 61), whereas the present work focuses on EGFR and IL-13 and how the epithelium responds in the long term. Similar to other epithelial responses, the EGFR and IL-13 signals were likely developed to protect host epithelial cells from the lethal effects of viruses and to optimize mucosal immunity. As noted above (Figure 10), the epithelial EGFR antiapoptotic system may protect the host cell (often the ciliated cell) from cytopathic effects that allow spread of infection. In parallel, the IL-13 goblet cell system may directly increases in mucus formation to aid mucociliary clearance of cellular and microbial debris from the airway. Both of these strategies are likely achieved in concert with epithelial interferon signaling that also protects the host cell by inhibiting viral replication. The present work adds the critical piece that demonstrates how, in some genetic settings, this normally protective response may be skewed toward a persistent response that results in a chronic asthma/bronchitis phenotype.

Further study is needed to determine whether this genetic susceptibility is linked to EGFR mutations that confer antiapoptotic signals and inhibitor sensitivity in lung cancer cells (62) or whether persistent EGFR activation is connected to IFN signaling and chronic Stat1 activation found in asthma (63), as may be the case in other epithelial barriers (64). Nonetheless, the present results demonstrate that the abnormality in epithelial immune response programming can be corrected by targeted inhibition of critical signaling steps. Treatment with EGFR tyrosine kinase inhibitors allows for the ciliated cells to proceed toward programmed cell death in a manner that appears analogous to virus-inducible apoptosis while IL-13 blockade appears to prevent the transition from ciliated to goblet cells. This new scheme thereby indicates that epithelial remodeling toward an asthma/bronchitis phenotype depends on both EGFR survival and IL-13 transdifferentiation signals such that treatment to block both of these signals would be required to fully restore the airway epithelium to its original architecture.

Methods

Mice and viral inoculation. C57BL/6j and Balb/cj mice were obtained from Jackson Laboratory at 5 weeks of age and were maintained for study at 6–7 weeks of age as described previously (36–38) under protocols approved by the Washington University Animal Studies Committee. SeV was grown in embryonated hen eggs and harvested to provide a viral stock solution such that 5000 EID50 (50% egg infectious dose) was equivalent to 2 × 104 PFUs. This inoculum or an equivalent amount of UV-inactivated SeV was delivered intranasally in 30 μl PBS under ketamine/xylazine anesthesia. Under these conditions, viral tissue levels are maximal at 3–5 days after inoculation, and viral clearance is complete by day 12 (36–38). Sentinel mice and experimental control mice were handled identically to inoculated mice and exhibited no serologic or histologic evidence of exposure to 11 rodent pathogens (including SeV). For EGFR blockade, mice were treated with EKB-569 (obtained from L.M. Greenberger, Wyeth Pharmaceuticals, Pearl River, New York, USA; 20 mg/kg in pH 2.0 water given by gavage) or vehicle control given daily for postinfection days 10–21. For IL-13 blockade, mice were given subcutaneous injections of sIL-13Rα2-Fc (obtained from D.D. Donaldson, Wyeth Ayerst, Cambridge, Massachusetts, USA; 200 μg/mouse in PBS) or control Fc at 12, 14, 17, and 20 days after inoculation.

Histology. Mouse lung was fixed by intratracheal instillation of 4% paraformaldehyde at 25-cm H2O pressure, embedded in paraffin, and cut into 3-μm thick sections for H&E and immunostaining. For immunostaining, tissue sections were paraffinized, rehydrated in graded alcohol, and encircled with a hydrophobic film (ImmEdge PEN; Vector Laboratories). For antigen retrieval, sections were digested with proteinase K (Sigma-Aldrich) at a final concentration of 40 μg/ml in PBS for 5 minutes and then treated in 3% hydrogen peroxide distilled water for 10 minutes to quench endogenous peroxidase activity. Nonspecific protein binding was blocked with 3% BSA and 2% goat serum in Tris-buffered saline (pH 8.0) with 0.2% Tween 20 (TBST) for 1 hour. Primary antibodies were diluted in blocking buffer and incubated overnight at 4°C at a final concentration of 0.05 or 0.1 μg/ml for human and mouse tissue sections, respectively. EGFR was detected using rabbit anti-human EGFR antibody SC-03 (Santa Cruz Biotechnology Inc.) directed against amino acid residues 1005–1016, which are identical to corresponding sequences in murine EGFR. Phosphorylated EGFR (p-EGFR) was detected using rabbit anti–p-EGFR (Tyr1068) antibody 2231 (Cell Signaling Technology) directed against phosphorylated Tyr1068. For this antibody, final concentrations of 0.16 and 0.32 μg/ml were used for human and mouse tissues, respectively. Ciliated, Clara, and goblet cells were identified using mouse anti-β-tubulin IV mAb (Sigma-Aldrich), goat anti-CCSP antibody (Santa Cruz Biotechnology), and mouse anti-human MUC5AC mAb 45SM1 (Lab Vision Corp.), respectively. To verify specificity, sections were also incubated with primary antibodies that were preabsorbed with 10-fold excess of peptide antigen or with nonimmune rabbit IgG (Santa Cruz). After primary antibody binding, sections were washed with TBST and then incubated with biotinylated goat anti-rabbit IgG (2 μg/ml). Signals were amplified with the Elite ABC method and 3,3′-diaminobenzidine chromogen according to the manufacturer’s protocols (Vector Laboratories). Sections were counterstained with hematoxylin, dehydrated, and mounted with Cytoseal 60 (Stephens Scientific).

Immunofluorescence was performed in the same manner as immunostaining for light microscopy except that tissues were frozen in Tissue-Tek OCT (Sakura Finetek Co.), sections were blocked with 2% donkey serum (Jackson ImmunoResearch Laboratories Inc.), primary antibody binding was detected using CY-3– or FITC-conjugated antibodies (Jackson ImmunoResearch Laboratories Inc.) for 30 minutes at 25°C, and sections were counterstained with Hoechst dye 33422 (Invitrogen Corp.). Sections were imaged with light or immunofluorescent microscope (BX-51; Olympus) interfaced to a digital photomicroscopy system (CCD Camera and MagnaFire software, version 2; Optronix). Reporter was quantified by counting ciliated cells in pulmonary airways per mm of basement membrane with analysis performed using the NIH Image program (http://rsb.info.nih.gov/nih-image), as described previously (36, 37, 63). Confocal microscopy was performed using a Zeiss laser scanning system with LSM-S10 software, version 3.2.
Human tissue procurement and analysis. Asthmatic patients with and without glucocorticoid treatment and healthy control subjects were recruited, characterized, and subjected to endobronchial biopsy as described previously (37, 63). Endobronchial biopsies were washed with PBS and incubated with 10% neutral buffered formalin for 18 hours at 25°C followed by histochemistry as described above. In addition, we obtained lung tissue samples from COPD patients who were undergoing lung resection or transplantation and processed them as described above. All human studies were approved by the Washington University Human Studies Committee, and all subjects gave informed consent.

Proliferation markers. For BrdU immunostaining, mice received BrdU (100 mg/kg) intraperitoneally at 48 hours, 24 hours, and 4 hours prior to euthanasia. BrdU was detected with an anti-BrdU staining kit (Zymed Laboratories Inc.) according to the manufacturer’s protocol. Ki67 immunostaining, was performed with anti-Ki67 Ab (Novocasta) using the same protocol as for EGFR immunostaining except for pretreatment of heat-induced antigen retrieval using Antigen Unmasking Solution (Vector Laboratories). PCNA staining was performed with biotinylated anti-mouse PCNA Ab (DakoCytomation) using the ABC method (Vector Laboratories).

Airway epithelial cell culture and treatment. Primary air-liquid interface cultures of mTECs were established as described previously (53). Human airway epithelial cell cultures were established from tracheobronchial specimens harvested from lung explants of COPD patients undergoing transplantation and from lung transplant donors without lung disease using the same culture conditions. In all cases, cells were grown in basic medium (DMEM/Ham’s F-12 with 30 mM HEPES, 4 mM L-glutamine, 3.5 mM NaHCO3, 0.01% Fungizone, and penicillin/streptomycin) supplemented with 10% FBS and antibiotics (100 units/ml penicillin, 100 μg/ml streptomycin, 0.1 μg/ml amphotericin B, 25 μg/ml gentamicin, 1 μg/ml fungizone, and 5 μg/ml insulin, 2.5 μg/ml bovine pituitary extract, and 5% PBS in the upper and lower compartments. After the cells developed transmembrane electrical resistance greater than 1000 Ohm/cm², the air-liquid interface condition was established by washing the membrane with PBS and changing the medium in the lower compartment to basic medium supplemented with 2% NuSerum (BD Biosciences). For EGFR stimulation, cells were incubated in basic medium for 24 hours and then in basic medium containing EGF (1–100 ng/ml; Upstate Biotechnology) added to the upper and/or lower compartments for 10 minutes at 37°C. EGFR signaling inhibitors or vehicle control (0.1% DMSO) were added to the lower compartments on a daily basis for long-term experiments or for 1.5–6 hours to the upper and lower compartments for short-term experiments. EGFR tyrosine kinase inhibitor PD153035, MEK1/2 inhibitor PD98059, EGFR tyrosine kinase inhibitor AG1478, and PI3K inhibitor LY294002 were from Calbiochem, and z-VAD-fmk was from Enzyme Systems Products. Recombinant human or mouse IL-13 from Preprotech was added to upper and lower compartments at 24 hours before air-liquid interface conditions and was maintained in the lower compartment throughout the experiment.

Western blotting. For whole lung analysis, the left lobe of mouse lung was homogenized in RIPA buffer (1% NP-40, 0.5% sodium deoxycholate, 0.1% SDS in PBS) containing phosphatase inhibitor cocktail (Sigma-Aldrich). Tissue and mTECs were collected in cell lysis buffer containing 20 mM Tris-HCl, 150 mM NaCl, 1 mM EDTA, 1 mM EGTA, 1% Triton-X 100, 1.0 μg/ml leupeptin, 10 μg/ml aprotinin, 0.2 mM phenylmethylsulfonyl fluoride, 1 mM sodium orthovanadate, 0.1 mM sodium fluoride, 2.5 mM sodium pyrophosphate, and 1 mM β-glycerophosphate. Cell lysates were cleared by centrifugation, and supernatant proteins were separated on 4–15% gradient SDS-PAGE and transferred to PVDF membranes (Millipore). The membranes were blotted against antibodies to EGFR, p-EGFR, p-ERK1/2, activated caspase-3, p-Stat6 (Cell Signaling Technology), p-Akt (BD Biosciences), caspase-9 (Stressgen Biotechnologies), and β-actin (Chemicon International). Primary antibody binding was detected with secondary antibodies conjugated to horseradish peroxidase and enhanced chemiluminescence (Amersham Pharmacia Biotech).

Immunocytochemistry. Cultured cells were washed twice with PBS at 4°C, fixed in 4% paraformaldehyde for 10 minutes at 25°C, washed with PBS, and permeabilized with ethanol/acetic acid (2:1, vol/vol) for 5 minutes at 20°C for TUNEL reaction or with 0.2% Triton-X for 5 minutes at 25°C for immunostaining. Permeabilized cells were then washed with PBS and subjected to the TUNEL reaction (Intergen Co.) or blocked with 2% fish gel for 1 hour at 25°C and incubated with rabbit anti-active caspase-3 (BD Biosciences), rabbit anti-EGFR (Santa Cruz Biotechnology Inc.), rabbit anti-p-EGFR (Cell Signaling Technology), mouse anti-β-tubulin IV, or rabbit anti-γ-tubulin (Sigma-Aldrich) antibodies overnight at 4°C. Primary antibody binding was detected with goat anti-mouse or donkey anti-rabbit FITC or CY3 secondary antibody. Cells were counterstained with 4 μg/ml Hoechst 33258 (Invitrogen Corp.) to check nuclear morphology, and then imaged as described above.

Electron microscopy. Cells on membranes were prepared for transmission electron microscopy (TEM) as previously described (53). In brief, samples were fixed with 2.5% glutaraldehyde and stained with 1.25% osmium tetroxide. Cells were counterstained with 2.0% tannic acid, blocked for sectioning, and imaged on a Zeiss 902 model microscope.

Statistics. Values for histochemistry of mouse tissues were analyzed using a 1-way analysis of variance (ANOVA) for a factorial experimental design. If significance was achieved by 1-way analysis, post-ANOVA comparison of means was performed using Scheffe’s F test. P < 0.05 was considered statistically significant.

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