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Recommended Citation

Lawrance, Christopher P.; Henn, Matthew C.; Miller, Jacob R.; Sinn, Laurie A.; Schuessler, Richard B.; and Damiano, Ralph J. Jr., "Comparison of the stand-alone Cox-Maze IV procedure to the concomitant Cox-Maze IV and mitral valve procedure for atrial fibrillation." *Annals of Cardiothoracic Surgery*. 3, 1. 55-61. (2014).

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Comparison of the stand-alone Cox-Maze IV procedure to the concomitant Cox-Maze IV and mitral valve procedure for atrial fibrillation

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Background: The majority of patients undergoing surgical ablation for atrial fibrillation (AF) worldwide receive a concomitant mitral valve (MV) procedure. This study compared outcomes of the Cox-Maze IV (CMIV) in patients with lone AF to those with AF and MV disease.

Methods: A retrospective review of 335 patients receiving either a stand-alone CMIV for AF (n=151) or a CMIV with a MV procedure (n=184) was performed from January 2002 through December of 2012. Data were obtained at 3, 6, 12, 24, and 48 months and patients were evaluated for recurrence of AF. Twenty-four preoperative and perioperative variables were evaluated to identify predictors of AF recurrence at one year.

Results: The two groups differed in that stand-alone CMIV patients were younger, had AF of longer duration and had more failed catheter ablations, while patients with AF and MV disease had larger left atria and worse New York Heart Association class ($P \leq 0.001$). Operative mortality was higher in the concomitant MV group (1% vs. 5%, $P=0.015$). Freedom from AF and antiarrhythmic drugs at 12 and 24 months were similar between the two groups (73% and 76% at 12 months; 77% vs. 78% at 24 months). Predictors of recurrence included failure to use a box-lesion to isolate the pulmonary veins and posterior left atria, early recurrence of atrial tachyarrhythmias (ATAs) and the presence of a preoperative pacemaker ($P=0.001$).

Conclusions: The efficacy of the CMIV procedure was similar in patients with and without co-existent MV pathology. Patients receiving a concomitant CMIV and MV procedure represented an older and sicker patient population and had higher mortality rates than those receiving a stand-alone CMIV procedure.

Keywords: Cox-Maze (CM) procedure; mitral valve (MV); atrial fibrillation (AF); surgical ablation



Submitted Dec 09, 2013. Accepted for publication Dec 26, 2013.

doi: 10.3978/j.issn.2225-319X.2013.12.09

Scan to your mobile device or view this article at: <http://www.annalscts.com/article/view/3237/4112>

Introduction

The Cox Maze (CM) procedure was originally developed by Dr. James Cox in 1987. After several revisions to its original lesion set, the Cox-Maze III (CMIII) became the gold standard in the surgical treatment of atrial fibrillation (AF). Initially, it was commonly performed only as a stand-alone procedure because of its technical complexity and long cross clamp time (1,2). As experience grew with the procedure, its

use was more broadly applied and began to be performed in patients with concomitant mitral disease and other cardiac pathology. The overall freedom from symptomatic AF in patients who underwent CMIII has been reported to exceed 90% in series with more than five years of follow-up (1,2).

To simplify the CMIII, different ablation technologies were studied in an attempt to reproduce the transmural lesions of the “cut-and-sew” method of the CMIII. This resulted in the development of the Cox-Maze

IV (CMIV) in 2002. The CMIV utilized a bipolar radiofrequency energy clamp to achieve continuous transmural ablations and replaced the majority of the “cut-and-sew” lesions of the CMIII (3). This method has proved to be as effective as the CMIII in long-term follow-up while decreasing cross clamp times. The CMIV has become the current standard procedure for the surgical treatment of AF at our institution (4).

AF is present in up to a third of patients undergoing mitral valve (MV) procedures (5). While common, the mechanistic relationship between mitral regurgitation and AF is poorly understood, compared to patients with lone AF. Moreover, many of the early case series, including our own, concentrated on results in patients with lone AF (4). With the advent of the CMIV and its decreased operative times, over half of patients currently receiving a CMIV procedure are undergoing concomitant MV surgery, highlighting the significant role of AF procedures (5). Given that the CMIV operation is performed in a concomitant fashion the majority of the time, it is critical to evaluate outcomes in this population. The purpose of this study was to compare outcomes of the stand-alone CMIV procedure to the CMIV procedure with concomitant mitral procedure and identify risk factors for recurrence of AF.

Methods

This study was approved by the Washington University School of Medicine Institutional Review Board. Informed consent and permission for release of information was obtained from each participant. All data were entered prospectively into a longitudinal database maintained at our institution.

Patients

A total of 335 patients, from January 2002 to December of 2012, who received a CMIV procedure for AF as a stand-alone CMIV (n=151) or concomitantly with a MV procedure (n=184) were reviewed. The CMIV procedure has previously been described (3). All patients received pulmonary vein isolation (PVI), confirmed by exit block from each pulmonary vein, unless patients had a left atrial (LA) thrombus which precluded cardioversion or cardioversion was unsuccessful. The lesion set was similar among all patients except for the addition in 2005 of a superior LA lesion which connected the right and left superior pulmonary veins. This lesion effectively electrically

isolated the entire posterior left atrium and created a “box-lesion” set. A small set of patients (n=19) underwent a LA lesion set only.

Patients were discharged on class I or III antiarrhythmic drugs and warfarin, unless contraindicated; antiarrhythmic agents were discontinued two months postoperatively if patients were in normal sinus rhythm. β -blockers or calcium channel blockers were not considered as antiarrhythmic drugs.

Study design

Demographics and perioperative variables including complications were compared between stand-alone CMIV and concomitant groups. Early atrial tachyarrhythmias (ATAs) were defined as any ATA documented within 30 days. Patients were evaluated starting at three months for recurrent ATAs. Electrocardiographic data were obtained at 3, 6, 12, 24, and 48 months. Initially, this consisted of either ECG or 24-hour Holter monitoring. Starting in the 2008, 24-hour continuous cardiac monitoring was routinely obtained in all patients (6).

Late recurrence was defined as any episode of AF, atrial flutter or atrial tachycardia that lasted greater than 30 seconds (6). Patients that required an interventional procedure were deemed permanent failures.

Statistical analysis

Continuous variables are expressed as mean \pm standard deviation (SD) or as median with range. Categorical variables are expressed as frequencies and percentages with outcomes compared using the χ^2 or the Fisher exact test. Continuous outcomes were compared using the *t*-test for means of normally distributed continuous variables and the Mann-Whitney U nonparametric test for skewed distributions.

Twenty-four preoperative and perioperative variables were evaluated by univariate analysis to identify predictors of AF recurrence at one year. Variables used in univariate analyses included the presence of a concomitant procedure in addition to those listed in *Tables 1* and *2*, with the exception of mortality, intensive care unit (ICU) and hospital length of stay (LOS). Significant covariates on univariate analysis ($P \leq 0.10$) or covariates deemed clinically relevant based on experience were entered into a multivariate binary logistic regression analysis. All data analyses were performed using SYSTAT 13 software (Systat Software, Inc., Chicago, IL).

Table 1 Demographics

Variable ^a	Stand-alone CMIV (n=151)	CMIV + MV (n=184)	P-value
Age (years)	57±10	65±12	<0.001
Male gender (%)	73	43	<0.001
AF duration (months)	89±77	69±80	0.002
Paroxysmal AF (%)	27	43	0.002
Persistent AF (%)	7	13	0.055
Longstanding persistent AF (%)	66	43	<0.001
NYHA III or IV (%)	30	71	<0.001
LVEF (%)	52±12	53±11	0.451
Failed cath ablation (%)	49	9	<0.001
Preop pacemaker (%)	11	12	0.744
LA diameter (cm)	4.8±1	5.5±1.2	<0.001

^a, continuous variables are listed as mean ± standard deviation; CMIV, Cox-Maze IV; MV, mitral valve; AF, atrial fibrillation; LA, left atrial; LVEF, left ventricular ejection fraction.

Results

A total of 151 patients (45%) underwent stand-alone CMIV procedure and 184 (55%) underwent the CMIV with a concomitant MV operation. MV operations included patients receiving a MV repair (111 patients, 60%) or MV replacement (73 patients, 40%). Twenty-nine patients receiving a mitral operation also underwent a tricuspid valve procedure (*Table 3*).

Follow-up was available on 72% of patients at one year and 55% of these at two years. Twenty-four hours of continuous monitoring was performed in 75% of patients who had follow-up after 2008 (6). Mean follow-up time was 2.7±2.4 years.

Demographics

Demographics showed significant preoperative differences in terms of age, gender, AF duration, type of AF, NYHA classification, presence of failed catheter ablation and LA diameter between the two groups (*Table 1*). Notably, patients with MV disease had a longer documented duration of AF by 20 months and a higher incidence of longstanding persistent AF. Ejection fraction was similar between concomitant and stand-alone CMIV groups (53% *vs.* 52%, *P*=0.451) despite a greater percentage of patients with NYHA class III or IV symptoms in the concomitant

Table 2 Perioperative outcomes

Variable ^a	Stand-alone CMIV (n=151)	CMIV + MV (n=184)	P-value
Box-lesion (%)	86	87	0.704
Cardiopulmonary bypass time (min)	133±31	189±41	<0.001
Cross clamp time (min)	45±18	93±29	<0.001
Early ATA (%)	48	63	0.004
Permanent pacemaker (%)	5	11	0.026
Overall major complication ^b	9 (6%)	29 (16%)	0.005
Pneumonia	6 (4%)	15 (8%)	0.120
Mediastinitis	1 (0.6%)	1 (0.5%)	0.885
Intraaortic balloon pump	0 (0%)	5 (3%)	0.042
Permanent Stroke	1 (0.6%)	1 (0.5%)	0.885
Reoperation for bleeding	0 (0%)	9 (5%)	0.006
Renal failure requiring dialysis	1 (6%)	9 (5%)	0.24
Median ICU LOS in days (range)	2 [1-35]	3 [1-61]	<0.001
Median hospital LOS in days (range)	8 [4-53]	9 [1-111]	<0.001
30-day mortality (%)	1 (1%)	10 (5%)	0.015

^a, continuous variables are listed as mean ± standard deviation; ^b, complications are listed as total number (%); CMIV, Cox-Maze IV; MV, mitral valve; AF, atrial fibrillation; ATA, atrial tachyarrhythmia; ICU, intensive care unit; LOS, length of stay.

group (71% *vs.* 30%, *P*<0.001).

Perioperative outcomes

Perioperative differences including complications and 30-day mortality can be seen in *Table 2*. As expected, cardiopulmonary bypass (CPB) time was significantly longer in the concomitant group (189 *vs.* 133 min, *P*<0.001) as was cross clamp time (93 *vs.* 45 min, *P*<0.001). Major complications were defined as the development of pneumonia, mediastinitis, need for an intraaortic balloon pump (IABP), permanent stroke, reoperation for bleeding and

renal failure requiring dialysis. Comparisons of the overall major complication rate as well as individual complications were performed. The overall major complication rate was higher in the concomitant group (16% vs. 6%, $P=0.005$), with significant differences between the need for an IABP (3% vs. 0%, $P=0.042$) and reoperation for bleeding (5% vs. 0%, $P=0.006$). Early post-operative ATAs were more frequent in the concomitant group (63% vs. 48%, $P=0.004$).

Median ICU LOS was decreased in the stand-alone CMIV group (2% vs. 3%, $P<0.001$) as was median hospital length of LOS (8% vs. 9%, $P<0.001$). There was a lower rate of 30-day mortality in the stand-alone CMIV group (1% vs. 5%, $P<0.015$).

Table 3 Type of concomitant CMIV and MV procedure

Concomitant operation	Number performed (n=184)
CMIV + MV repair	91
CMIV + MV repair + TVR	19
CMIV + MV repair + CABG	1
CMIV + MVR	61
CMIV + MVR + TVR	10
CMIV + MVR + Other ^a	2

^a, one patient received a septal myectomy and one patient received right ventricular assist device; CMIV, Cox-Maze IV; MV, mitral valve; CABG, coronary artery bypass graft; MVR, mitral valve replacement; TVR, tricuspid valve repair.

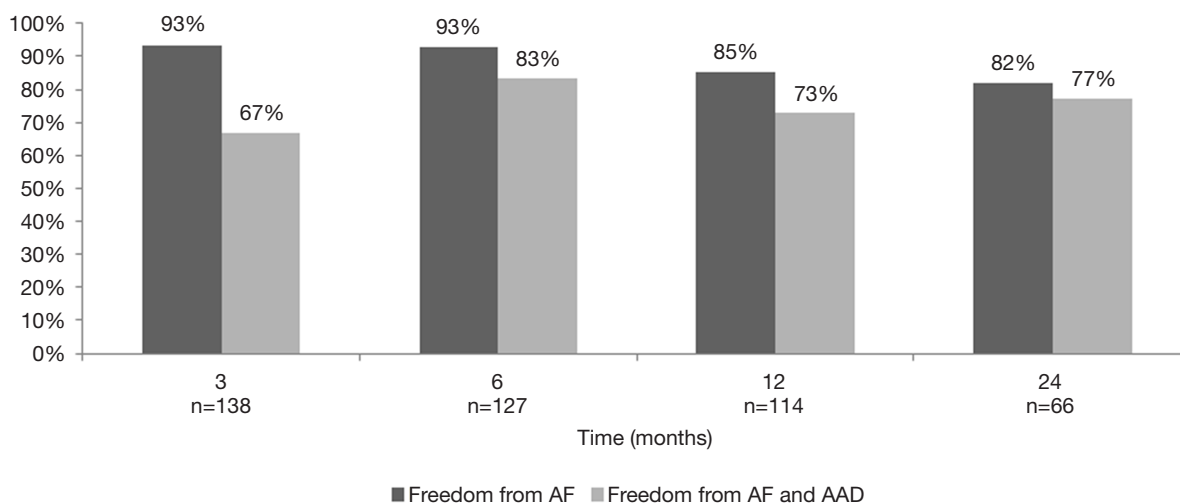


Figure 1 Two-year follow-up showing freedom from AF both on and off antiarrhythmic drugs after a stand-alone Cox-Maze IV procedure. AF, atrial fibrillation.

Efficacy

Freedom from ATA after a stand-alone CMIV procedure was 93%, 93%, 85%, and 82% at 3, 6, 12, and 24 months respectively. Freedom from ATA and antiarrhythmic drugs was lower at each time point, but the differences decreased with increasing follow-up (*Figure 1*). When performing a concomitant CMIV in addition to a MV procedure, freedom from ATA was 89%, 88%, 88%, and 90% at 3, 6, 12, and 24 months respectively (*Figure 2*). Comparisons between the two groups showed no significant differences after six months (*Figure 3*).

Multivariate analysis

In all patients, predictors of recurrence were having a preoperative pacemaker ($P<0.001$), failure to perform a box-lesion ($P=0.001$), and early ATAs ($P<0.001$). In the stand-alone CMIV group, having a preoperative pacemaker ($P=0.031$) and early ATA ($P=0.009$) were the only two predictors of recurrence. In the concomitant CMIV group, having a preoperative pacemaker ($P=0.011$), failure to perform isolation of the pulmonary veins with a box-lesion ($P=0.033$) and early ATA ($P=0.016$) were all predictors of recurrence.

Discussion

In this study, perioperative outcomes and late efficacy were

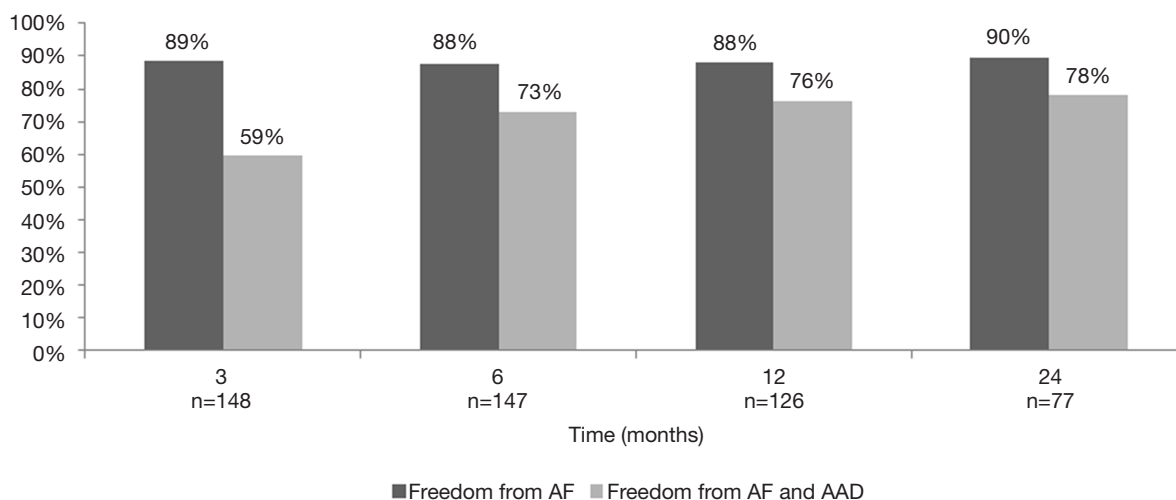


Figure 2 Two-year follow-up showing freedom from AF both on and off antiarrhythmic drugs after a concomitant CMIV + MV procedure. CMIV, Cox-Maze IV; MV, mitral valve; AF, atrial fibrillation.

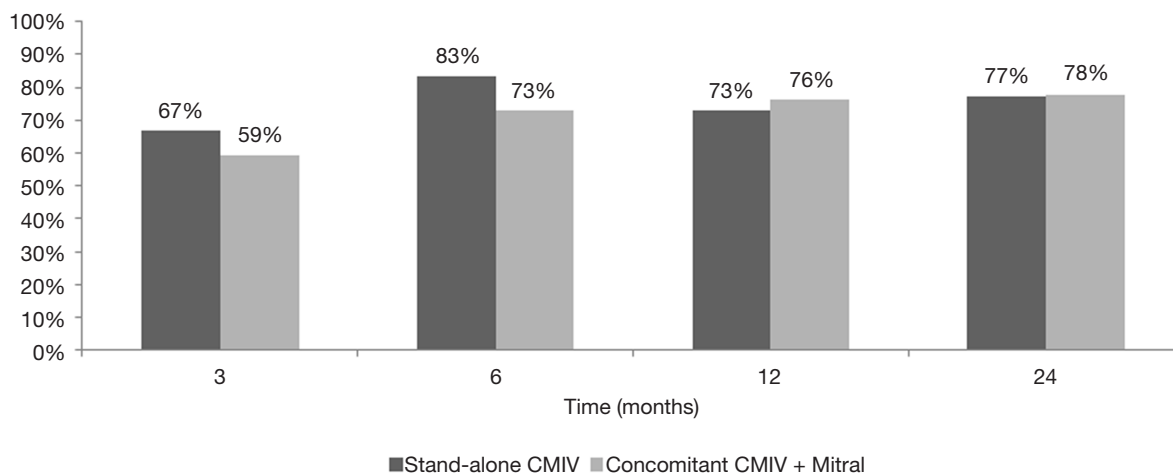


Figure 3 Two-year follow-up comparing freedom from AF off antiarrhythmic drugs after a stand-alone Cox-Maze IV procedure and a concomitant CMIV + MV procedure. At six months, $P=0.034$ when comparing lone CMIV to concomitant CMIV + mitral operation. At all other time points there were no significant differences ($P>0.2$). CMIV, Cox-Maze IV; MV, mitral valve; AF, atrial fibrillation.

compared between patients having a stand-alone CMIV and those having concomitant mitral surgery. Previous studies from our institution and others usually have compared the stand-alone Cox-Maze procedure to a combined cohort of patients receiving a Cox-Maze procedure in addition to multiple concomitant procedures, including coronary artery bypass grafting, aortic valve replacement, and MV repair (2,7). Because the diseases prompting these concomitant operations are the result of multiple heterogeneous pathologies, it is difficult to interpret the results from a

single “concomitant” cohort. It was for this reason that this study was designed to compare outcomes in patients with lone AF to those with AF and concomitant mitral disease. This comparison is important for two reasons. First of all, AF ablation is performed much more commonly in the setting of concomitant mitral disease than for lone AF. In a recent study, five times as many surgical ablations were performed in the US in patients undergoing mitral surgery than for lone AF (5). Second, patients with MV disease often have enlarged LA from either chronic volume or

pressure overload. This may result in different mechanisms of AF in this population, which could impair procedural success. Studies have shown that LA diameter is a risk factor for late recurrence of AF after a Cox-Maze procedure (8).

In our study, the efficacy of the CMIV for both stand-alone AF and when combined with a MV procedure was similar with respect to freedom from AF and antiarrhythmic agents at both one and two years. This is in agreement with our previous data showing comparable efficacy at one year using either the CMIII or the CMIV procedure, and shows that these good results were maintained at two years (2,9).

As expected, the two groups had different demographics. Patients who received stand-alone CMIV procedure tended to be predominantly male, younger and had a higher incidence of longer standing persistent AF. Moreover, half of the patients had failed a previous catheter ablation. The concomitant group on the other hand had more patients with NYHA class III or IV symptoms and significantly larger LAs. Despite these differences, the efficacy of the CMIV was similar in both groups, highlighting the efficacy of the CMIV procedure for the treatment of AF in both populations.

Not surprisingly, there was a significant difference in the perioperative morbidity, mortality and 30-day mortality between the groups. In a previous report from our institution, 30-day mortality was not significant (9). However, the total number of patients in this study was increased by 57% compared to our previous publication.

Major complication rates were also significantly increased in the concomitant group, primarily because of the need for reoperation for bleeding and an IABP. This is consistent with the fact that patients undergoing concomitant MV surgery were older, sicker and had longer cross clamp and CPB times. This increase in overall complication rate likely accounts for the one day increase in both ICU and hospital LOS.

The multivariate analysis of risk factors for recurrent ATA in this large cohort of over 350 patients was informative. In agreement with prior studies from our group, the failure to perform a box-lesion around the pulmonary veins and posterior left atrium was a predictor of recurrence. The increased risk of recurrence seen with a preoperative pacemaker is a new observation and is likely due to the improved power of this study in detecting smaller differences in outcomes. Since the majority of pacemakers were implanted for sick sinus syndrome, this finding suggests that patients with intrinsic sinus node dysfunction may have higher recurrence rates, possibly due to the

known increased incidence of premature atrial contractions in these patients which can act as a trigger for recurrent AF.

The presence of early postoperative ATA as a predictor of late recurrence was in agreement with previous reports in the literature (8,10). This finding suggests that part of the substrate for early and late ATA may be similar. However this finding needs to be put into perspective. In patients undergoing concomitant MV and CMIV procedures, early ATA were present in 63% of patient but at two years only 10% of patients had recurrent ATA. Thus, it is important to remember that the majority of patients with early ATA remain free of ATA at two years.

Surprisingly, LA size was not a predictor in this multivariate analysis in contradiction to other studies which have shown this to be an important variable for failure (10,11). This may have been due to the introduction of the box-lesion set. In these patients, isolation of the entire posterior LA may effectively decrease LA size from an electrophysiological standpoint and reduce the substrate available for maintaining AF, thus negating the effect of an enlarged left atrium.

This study has several limitations. While the majority of our patients did have 24-hours of continuous cardiac monitoring after six months, there were still some who only had ECGs leading to a possible underestimation in the incidence of recurrent AF. In addition, this study was a retrospective review which introduces inherent selection biases, that could potentially be avoided if performed in a randomized fashion. However, all data were collected prospectively and this was a series of consecutive patients. While this series did show that efficacy was maintained at two years, it did not determine whether failures were due to an inability to properly complete the lesion set or because the underlying mechanism of AF in these patients was not able to be eliminated with a Cox-Maze procedure. It is our impression that failures occur because of advanced atrial remodeling and subsequent substrate modification such as atrial fibrosis. In this setting, reentry can be sustained in very small areas of atria, making it virtually impossible to eliminate AF with a Cox-Maze procedure (12). Finally, the AF burden was not quantitated in this study. Future studies using implantable loop recorders (ILRs) are worth considering to provide this information.

In conclusion, patients receiving a CMIV procedure have high rates of freedom from AF at one and two years regardless of the addition of a MV procedure. Perioperative complications were increased in patient undergoing concomitant MV surgery resulting in a longer hospital stay

and higher 30-day mortality. Further advances in surgical techniques such as minimally invasive approaches may help to offset these differences.

Acknowledgements

Support in part by National Institutes of Health grants R01 HL032257 and T32 HL007776. RJD receives research grants and educational funding from AtriCure, Esteche, and Edwards.

Disclosure: RJD is a consultant for AtriCure and Medtronic. Other co-authors declare no conflict of interest.

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Cite this article as: Lawrance CP, Henn MC, Miller JR, Sinn LA, Schuessler RB, Damiano RJ Jr. Comparison of the stand-alone Cox-Maze IV procedure to the concomitant Cox-Maze IV and mitral valve procedure for atrial fibrillation. *Ann Cardiothorac Surg* 2014;3(1):55-61. doi: 10.3978/j.issn.2225-319X.2013.12.09