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## ORIGINAL ARTICLE

# Lenalidomide after Stem-Cell Transplantation for Multiple Myeloma

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## ABSTRACT

**BACKGROUND**

Data are lacking on whether lenalidomide maintenance therapy prolongs the time to disease progression after autologous hematopoietic stem-cell transplantation in patients with multiple myeloma.

**METHODS**

Between April 2005 and July 2009, we randomly assigned 460 patients who were younger than 71 years of age and had stable disease or a marginal, partial, or complete response 100 days after undergoing stem-cell transplantation to lenalidomide or placebo, which was administered until disease progression. The starting dose of lenalidomide was 10 mg per day (range, 5 to 15).

**RESULTS**

The study-drug assignments were unblinded in 2009, when a planned interim analysis showed a significantly longer time to disease progression in the lenalidomide group. At unblinding, 20% of patients who received lenalidomide and 44% of patients who received placebo had progressive disease or had died ( $P<0.001$ ); of the remaining 128 patients who received placebo and who did not have progressive disease, 86 crossed over to lenalidomide. At a median follow-up of 34 months, 86 of 231 patients who received lenalidomide (37%) and 132 of 229 patients who received placebo (58%) had disease progression or had died. The median time to progression was 46 months in the lenalidomide group and 27 months in the placebo group ( $P<0.001$ ). A total of 35 patients who received lenalidomide (15%) and 53 patients who received placebo (23%) died ( $P=0.03$ ). More grade 3 or 4 hematologic adverse events and grade 3 non-hematologic adverse events occurred in patients who received lenalidomide ( $P<0.001$  for both comparisons). Second primary cancers occurred in 18 patients who received lenalidomide (8%) and 6 patients who received placebo (3%).

**CONCLUSIONS**

Lenalidomide maintenance therapy, initiated at day 100 after hematopoietic stem-cell transplantation, was associated with more toxicity and second cancers but a significantly longer time to disease progression and significantly improved overall survival among patients with myeloma. (Funded by the National Cancer Institute; ClinicalTrials.gov number, NCT00114101.)

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**A** GOAL OF THERAPY FOR MULTIPLE MYELOMA, to induce complete remission and prolong survival, is usually accomplished with combination therapy.<sup>1,2</sup> Autologous hematopoietic stem-cell transplantation is often used after induction chemotherapy to improve the response or to consolidate complete remission.<sup>1,2</sup> However, since most patients with multiple myeloma have disease recurrence or progression after transplantation, maintenance therapies have been used to prolong complete remission and prevent relapse or progressive disease. Low-dose melphalan, interferon alfa, and glucocorticoids have been used for maintenance after primary therapy, but their long-term use is limited by toxicity and modest efficacy.<sup>3-6</sup>

Five studies involving patients who had undergone autologous hematopoietic stem-cell transplantation showed that thalidomide maintenance therapy improved progression-free survival, and three of the five studies showed improved overall survival.<sup>7-11</sup> However, long-term thalidomide use is limited by toxicity. A sixth study showed no benefit with respect to progression-free survival or overall survival, but 77% of the patients did not complete maintenance therapy.<sup>12</sup> Lenalidomide (Revlimid, Celgene), an orally administered, immune-modulating drug, has several mechanisms of action against multiple myeloma.<sup>13</sup> It is an appealing agent for long-term use because of its activity when used alone at doses lower than induction doses and its favorable toxicity profile.<sup>14</sup> We designed a phase 3, randomized, double-blind, placebo-controlled trial to determine whether lenalidomide therapy would prolong the time to disease progression in patients with multiple myeloma who had undergone induction therapy and a single stem-cell transplantation. Secondary end points included overall survival, the response after transplantation, and the feasibility of long-term administration.

## METHODS

### PATIENTS

Patients were eligible to participate in the trial if they had multiple myeloma and were 18 to 70 years of age. Other criteria were an Eastern Cooperative Oncology Group performance status<sup>15</sup> of 0 or 1 (on a scale of 0 to 5, with 0 indicating that the patient is fully active and 1 indicating that the patient is restricted in physically strenuous activity but ambulatory and able to carry out work of a light or

sedentary nature), symptomatic disease requiring treatment (Durie-Salmon stage  $\geq$ I) (for definitions of the stages, see the Supplementary Appendix, available with the full text of this article at NEJM.org), and any induction regimen of 2 to 12 months' duration. At most, two induction regimens (excluding dexamethasone alone) could have been received.

Patients with stable disease or a marginal, partial, or complete response in the first 100 days after stem-cell transplantation were eligible. The minimum number of peripheral-blood stem cells (CD34+ cells) for transplantation was  $2 \times 10^6$  per kilogram of body weight. Initially, the protocol mandated peripheral-blood stem-cell mobilization with cyclophosphamide and granulocyte colony-stimulating factor within 42 days before transplantation, but the protocol was subsequently amended to allow any mobilization and collection at any time before transplantation. Adequate pulmonary, cardiac, renal, and hepatic function was required, and all patients were registered before transplantation. Serious coexisting conditions, including uncontrolled diabetes, serious infections, and immune dysfunction, were exclusion criteria; pregnancy was also an exclusion criterion, and patients participated in an informational program regarding the unknown teratogenic potential of lenalidomide.

After disease restaging, patients were randomly assigned in a blinded manner to lenalidomide or placebo between day 100 and day 110 after transplantation. All patients provided written informed consent. The study was approved by the Cancer and Leukemia Group B (CALGB), the Cancer Therapy Evaluation Program of the National Cancer Institute (NCI), and the NCI central institutional review board.

### STUDY TREATMENT AND OVERSIGHT

The dosing schedule and adjustments, as well as guidelines for anticoagulation, are described in the Supplementary Appendix. The full protocol, along with the statistical analysis plan, is available at NEJM.org.

The NCI sponsored the study. Celgene provided the lenalidomide and placebo to the NCI, which in turn provided the study drugs to the investigators. Celgene had no involvement in the study design or conduct of the study or in the analysis or reporting of the data. The study principal investigator and the members of the statistical center vouch for the accuracy and completeness of the

analysis and the data as reported by the site investigators. The site investigators agreed to participate in this study as members of their respective cooperative groups and are responsible for the completeness of data reporting and the fidelity of the study to the protocol.

**END POINTS AND DEFINITIONS**

The primary end point was time to progression, defined as time to progressive disease or death from any cause after transplantation. Response and progression were defined initially according to the criteria of the European Blood and Marrow Trans-

**Table 1. Characteristics of the Patients.\***

Characteristic	Lenalidomide (N = 231)	Placebo (N = 229)	Total (N = 460)
Age — yr			
Median	59	58	59
Range	29–71	40–71	29–71
Male sex — no.	121	129	250
$\beta_2$ -microglobulin at registration — no. (%)			
>2.5 mg/liter	50 (22)	55 (24)	105 (23)
$\leq$ 2.5 mg/liter	170 (74)	163 (71)	333 (72)
Data missing	11 (5)	11 (5)	22 (5)
Durie–Salmon stage at registration — no. (%) <sup>†</sup>			
I	35 (15)	28 (12)	63 (14)
II	71 (31)	59 (26)	130 (28)
III	112 (48)	129 (56)	241 (52)
Data missing	13 (6)	13 (6)	26 (6)
M component — no. (%)			
Serum			
IgG kappa	70 (30)	76 (33)	146 (32)
IgG lambda	43 (19)	31 (14)	74 (16)
IgA kappa	21 (9)	20 (9)	41 (9)
IgA lambda	13 (6)	13 (6)	26 (6)
IgM kappa	2 (1)	1 (<1)	3 (1)
IgM lambda	0	1 (<1)	1 (<1)
Urine			
Kappa light chain only	13 (6)	12 (5)	24 (5)
Lambda light chain only	4 (2)	10 (4)	14 (3)
Data missing	35 (15)	41 (18)	76 (17)
Nonsecretory myeloma — no. (%)	30 (13)	24 (10)	54 (12)
Serum calcium at registration — mg/dl			
Median	9.1	9.1	9.1
Range	7.2–12.8	3.1–10.8	7.2–12.8
Serum albumin at registration — g/dl			
Median	4.0	3.9	4.0
Range	1.4–4.9	2.9–5.0	1.4–5.0
Serum creatinine at registration — mg/dl			
Median	0.9	0.9	0.9
Range	0.4–1.9	0.5–2.2	0.4–2.2

**Table 1. (Continued.)**

Characteristic	Lenalidomide (N=231)	Placebo (N=229)	Total (N=460)
ISS stage at registration — no. (%)†			
I	177 (77)	170 (74)	347 (75)
II	11 (5)	16 (7)	26 (6)
III	4 (2)	3 (1)	7 (2)
Data missing	39 (17)	40 (17)	79 (17)
Induction regimen — no.			
Any use of bortezomib	98	91	189 (41)
Any use of lenalidomide	79	81	160 (35)
Any use of thalidomide	102	103	205 (45)
Bortezomib–lenalidomide‡	20	21	41 (9)
Bortezomib–thalidomide‡	33	27	60 (13)
Bortezomib without lenalidomide or thalidomide	43	40	83 (18)
Bortezomib with glucocorticoids, without lenalidomide or thalidomide	40	32	72 (16)
Bortezomib with lenalidomide and thalidomide	2	3	5 (1)
Lenalidomide without bortezomib	57	57	114 (25)
Thalidomide without bortezomib	67	72	139 (30)
Lenalidomide–glucocorticoids without bortezomib	56	56	112 (24)
Thalidomide–glucocorticoids without bortezomib	65	72	137 (30)
Other induction regimen without bortezomib, lenalidomide, or thalidomide	15	13	28 (6)
Other induction regimen not determined	0	1	1 (<1)
Response to autologous HSCT at day 100 — no. (%)			
Complete response	67 (29)	79 (34)	146 (32)
Partial response	115 (50)	109 (48)	224 (49)
Marginal response	11 (5)	5 (2)	16 (3)
Stable disease	38 (16)	32 (14)	70 (15)
Progressive disease	0	3 (1)	3 (1)
Data missing	0	1 (<1)	1 (<1)
Mean time from autologous HSCT to randomization — mo	3.3	3.3	

\* The first patient was enrolled in April 2005, and the study was closed to enrollment in July 2009. To convert the values for serum  $\beta_2$ -microglobulin to nanomoles per liter, multiply by 84.75. To convert the values for calcium to millimoles per liter, multiply by 0.250. To convert the values for creatinine to micromoles per liter, multiply by 88.4. There were no significant differences ( $P<0.05$ ) between the lenalidomide and placebo groups with respect to baseline characteristics. There was an overlap in the induction regimens, so percentages may sum to more than 100%. HSCT denotes hematopoietic stem-cell transplantation, and ISS International Staging System.

† Higher stages indicate more severe disease. The staging criteria are defined in the Supplementary Appendix.

‡ Patients who received this regimen received at least these two drugs.

plant Group<sup>16</sup>; these definitions were subsequently changed to be consistent with the criteria of the International Myeloma Working Group<sup>17</sup> (see the Supplementary Appendix for details).

#### STATISTICAL ANALYSIS

The primary statistical hypothesis was that the time to progression was stochastically longer in the lenalidomide group than in the placebo group.

The study was designed to have 90% power, with the use of the log-rank test at a one-sided significance level of 0.05, to detect a hazard ratio of 1.4, assuming proportional hazards and an exponential time-to-event distribution. Under the assumed framework, 309 events were expected. The expected dropout rate before randomization was 15%. Of 568 patients registered from 47 centers, 460 were randomly assigned to a study group with the use of a permuted-block design, stratified according to three baseline factors: normal or elevated serum  $\beta_2$ -microglobulin level at registration ( $\leq 2.5$  mg per liter vs.  $>2.5$  mg per liter [ $\leq 211.9$  nmol per liter vs.  $>211.9$  nmol per liter]), prior use or nonuse of thalidomide during induction therapy; and prior use or nonuse of lenalidomide during induction therapy. The time-to-progression end point was monitored with the use of a group-sequential design for superiority and futility. Interim analyses of time to progression, overall survival, and adverse events were presented to the data and safety monitoring board of the CALGB twice a year when more than 20% of the expected events had occurred.

The data were released to the study team on December 17, 2009, after the third review because statistical evidence favored the lenalidomide group; this finding was observed after the first report to the data and safety monitoring board in June 2009. The analyses were based on the intention-to-treat principle and included follow-up data submitted on or before December 17, 2009 (the unblinding date) or follow-up data submitted as of October 31, 2011 (for evaluation of long-term outcomes). To assess the occurrence of second primary cancers reported after randomization, the nonprotocol end point of event-free survival, defined as time to first event (second primary cancer, progressive disease, or death) was considered. Starting in December 2010, the statistical center sent three sets of queries to all participating sites, and specific questionnaires were sent to all centers regarding cancer screening and second primary cancers. The last screening was conducted on January 1, 2012, and no new cases had been reported since the October 31, 2011, data analysis.

Survival functions were estimated with the use of the Kaplan–Meier method.<sup>18</sup> Discrepancies between survival functions were estimated with the use of the hazard ratios from a Cox model,<sup>19</sup> under the implicit assumption of proportional hazards. To assess the predictive value of baseline co-

variables, a two-way multiplicative Cox model<sup>19</sup> was used. To assess cause-specific risk (progression, death, and second primary cancers), the cumulative incidence curves were estimated with the use of the Kaplan–Meier method<sup>20</sup> and compared with the use of the log-rank test proposed by Gray.<sup>21</sup> All analyses were right-censored since not all events had occurred at the time of the analysis, and as specified by the protocol, the date of transplantation was used as the reference date. The differences between proportions of patients with adverse events were tested with the use of Fisher's exact test<sup>22</sup> and estimated with the use of a conditional maximum-likelihood estimator of the odds ratio.<sup>22</sup> Asymptotic P values of less than  $10^{-3}$  were denoted as  $P < 0.001$ . The analyses were conducted with R Statistical Environment software, version 2.14.1 (R Development Core Team 2011) along with survival and cmprsk extension packages. A detailed description of statistical considerations, including methods of design and analysis, is available in the Supplementary Appendix.

## RESULTS

### CHARACTERISTICS OF THE PATIENTS

Of the 568 patients enrolled in the study, 460 were randomly assigned to a study group: 231 to the lenalidomide group and 229 to the placebo group. (Fig. S1a and S1b in the Supplementary Appendix provide detailed information on the numbers of patients who were enrolled, assigned to a study group, and included in follow-up.) Age, sex, disease stage, and serum  $\beta_2$ -microglobulin level at registration were evenly distributed in the two groups (Table 1). Cytogenetic analysis was not required. The majority of patients received induction therapy with a regimen containing lenalidomide, thalidomide, or bortezomib, or a combination of the three (Table 1).

### TIME TO PROGRESSION AND OVERALL SURVIVAL

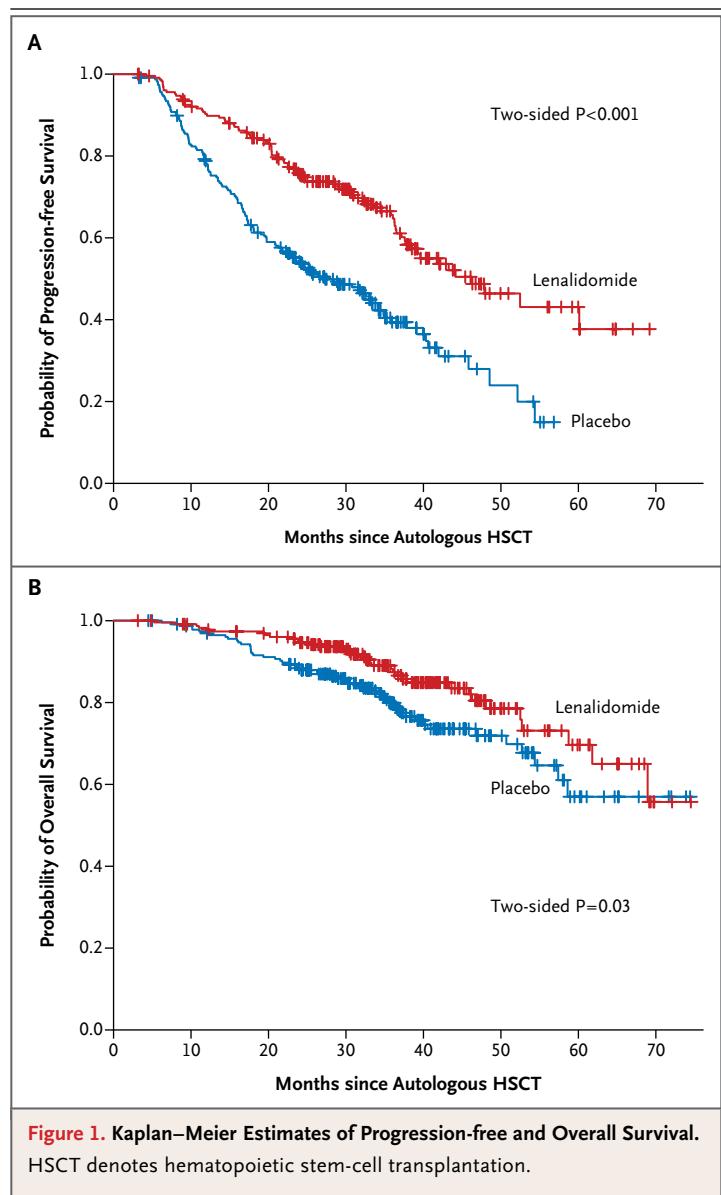
The study was unblinded on December 17, 2009, after a median follow-up of 18 months, when 47 of the 231 patients in the lenalidomide group (20%) as compared with 101 of the 229 patients in the placebo group (44%) had progressive disease or had died ( $P < 0.001$ ). The hazard ratio for the risk of progression or death from any cause was 0.37 (95% confidence interval [CI], 0.26 to 0.53), indicating a 63% reduction in the risk of progressive disease or death among patients in the lenalido-

mid group. The median time to progression was 39 months among patients in the lenalidomide group and 21 months among patients in the placebo group ( $P < 0.001$ ) (Fig. S2a in the Supplementary Appendix). As of December 17, 2009, a total of 13 of the 231 patients in the lenalidomide group (6%) and 24 of the 229 patients in the placebo group (10%) had died (hazard ratio, 0.52; 95% CI, 0.26 to 1.02; two-sided  $P = 0.05$ ). The median overall survival had not been reached for either group (Fig. S2b in the Supplementary Appendix). The primary end point (time to progression) was met, and the study was unblinded so that patients in the placebo group could cross over to lenalidomide therapy. Of 128 eligible patients without disease progression in the placebo group, 86 received lenalidomide therapy.

The median follow-up as of October 31, 2011, was 34 months. Eighty-six of the 231 patients in the lenalidomide group (37%) as compared with 132 of the 229 patients in the placebo group (58%) had disease progression or had died (hazard ratio, 0.48; 95% CI, 0.36 to 0.63). The median time to progression was 46 months in the lenalidomide group and 27 months in the placebo group ( $P < 0.001$ ) (Fig. 1A). The 3-year rate of freedom from progression or death was 66% (95% CI, 59 to 73) among patients in the lenalidomide group and 39% (95% CI, 33 to 48) among patients in the placebo group. A total of 35 patients who received lenalidomide (15%) and 53 patients who received placebo (23%) died (two-sided  $P = 0.03$ ). Thus, 85% of the patients in the lenalidomide group and 77% of the patients in the placebo group were alive at the time of the analysis. The rate of overall survival at 3 years was 88% (95% CI, 84 to 93) among patients in the lenalidomide group and 80% (95% CI, 74 to 86) among patients in the placebo group (hazard ratio, 0.62; 95% CI, 0.40 to 0.95) (Fig. 1B).

#### TIME TO PROGRESSION ACCORDING TO STRATIFICATION AT RANDOMIZATION

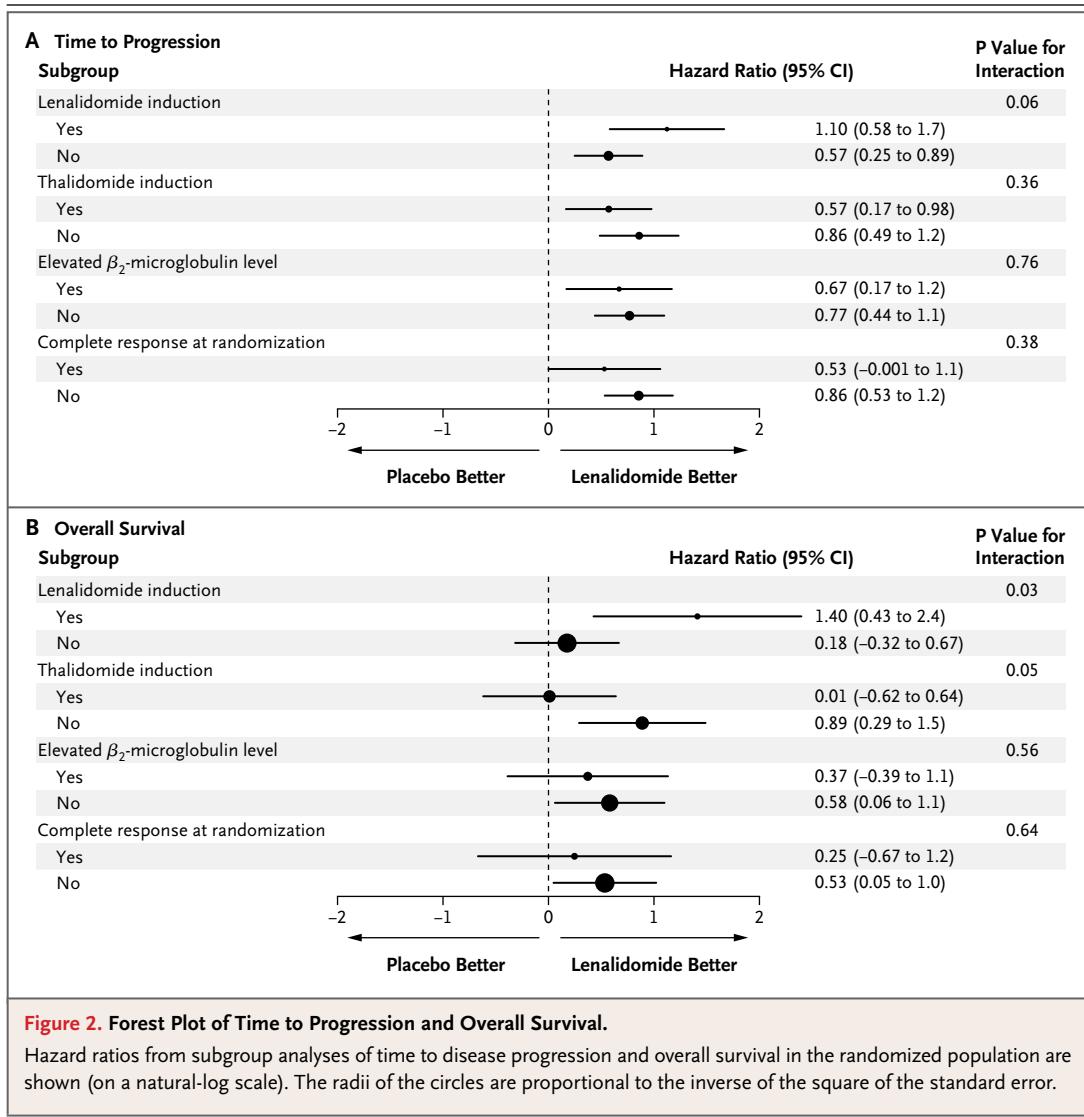
Time to progression according to the  $\beta_2$ -microglobulin level at registration (normal vs. elevated) is shown in Figure S2c in the Supplementary Appendix. Figure S2d in the Supplementary Appendix shows time to progression according to status with respect to prior induction therapy with thalidomide (yes vs. no), and Figure S2e in the Supplementary Appendix shows time to progression according to status with respect to prior induction therapy



with lenalidomide (yes vs. no). Figure 2A shows a forest plot comparing the relative influence of stratification factors on time to progression. There was a trend toward a greater difference in time to progression with lenalidomide than with placebo for status with respect to lenalidomide induction therapy ( $P = 0.06$  for interaction).

#### TIME TO PROGRESSION ACCORDING TO RESPONSE AT RANDOMIZATION

Table 1 shows the responses of the patients to autologous hematopoietic stem-cell transplantation at randomization. The time to progression accord-



ing to the response at randomization is shown for the analysis of data at the time of unblinding and for the later analysis in Figures S2f and S2g in the Supplementary Appendix, respectively. Figure 2A shows a forest plot comparing the relative influence of responses to induction therapy and transplantation and random assignment to lenalidomide or placebo on the time to progression in the two study groups. We cannot conclude that there was an interaction between remission status at randomization and maintenance therapy with respect to time to progression (P=0.38). However, lenalidomide maintenance therapy appeared to increase the time to progression in patients who did not have complete remission at day 100 after transplantation.

**OVERALL SURVIVAL ACCORDING TO STRATIFICATION AT RANDOMIZATION**

Figure S2h in the Supplementary Appendix shows overall survival according to the  $\beta_2$ -microglobulin level at randomization (normal vs. elevated). Figure S2i in the Supplementary Appendix shows overall survival according to status with respect to prior induction therapy with thalidomide (yes vs. no), and Figure S2j in the Supplementary Appendix shows overall survival according to status with respect to prior induction therapy with lenalidomide (yes vs. no). Overall survival did not differ significantly between the lenalidomide and placebo groups when they were stratified according to the  $\beta_2$ -microglobulin level and status with respect to prior thalidomide exposure. Fig-

**Table 2. Hematologic Adverse Events from Randomization to February 2012.\***

Event	Lenalidomide (N=231)		Placebo (N=229)		P Value
	Grade 3	Grade 4	Grade 3	Grade 4	
	<i>number of patients (percent)</i>				
Neutropenia	74 (32)	30 (13)	27 (12)	7 (3)	<0.001
Thrombocytopenia	21 (9)	11 (5)	3 (1)	8 (3)	0.001
Lymphopenia	15 (6)	1 (<1)	3 (1)	1 (<1)	0.01
Anemia	9 (4)	2 (1)	1 (<1)	0	0.006
Leukocytopenia	24 (10)	3 (1)	7 (3)	1 (<1)	0.001
Any event	74 (32)	36 (16)	27 (12)	12 (5)	<0.001

\* Hematologic adverse events that occurred five or more times in either study group as of February 2012 are listed. For patients with multiple adverse events, the event with the highest grade is listed. There were no grade 5 hematologic adverse events in either group. Adverse events were graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events, version 3.0. As of December 2009, grade 3, 4, or 5 neutropenia had occurred in 64 patients (28%), 24 patients (10%), and no patients in the lenalidomide group and in 14 patients (6%), 4 patients (2%), and no patients in the placebo group, respectively. Grade 3, 4, or 5 thrombocytopenia had occurred in 17 patients (7%), 10 patients (4%), and no patients in the lenalidomide group and in 1 patient (<1%), 7 patients (3%), and no patients in the placebo group, respectively. Grade 3, 4, or 5 lymphopenia had occurred in 11 patients (5%), 5 patients (2%), and no patients in the lenalidomide group and in 1 patient (<1%), 1 patient (<1%), and no patients in the placebo group, respectively. Grade 3, 4, or 5 anemia had occurred in 8 patients (3%), 1 patient (<1%), and no patients in the lenalidomide group and in 1 patient (<1%), no patients, and no patients in the placebo group, respectively.

ure 2B shows a forest plot comparing the relative influence of responses to induction therapy and transplantation and random assignment to lenalidomide or placebo on overall survival. The data provide evidence that induction therapy with lenalidomide was associated with improved overall survival in the group that received lenalidomide maintenance therapy as compared with the placebo group ( $P=0.03$ ).

#### ADVERSE EVENTS AND SECOND PRIMARY CANCERS

Adverse events after randomization (up to February 2012) are summarized in Table 2 and in Tables S1 and S2 in the Supplementary Appendix. More grade 3 or 4 hematologic adverse events occurred in patients in the lenalidomide group than in the placebo group ( $P<0.001$ ); in particular, more patients in the lenalidomide group had grade 3 or 4 neutropenia. There were no grade 5 hematologic adverse events. There were more grade 3 nonhematologic adverse events in the lenalidomide group than in the placebo group ( $P<0.001$ ); there were no significant differences between the groups with respect to the numbers of grade 4 and grade 5 nonhematologic adverse events.

A total of 23 of 231 patients in the lenalidomide group discontinued therapy because of adverse events. Two of 143 patients in the pla-

cebo group who did not cross over to lenalidomide discontinued therapy because of adverse events, and 5 of 86 patients in the placebo group who crossed over to lenalidomide discontinued therapy because of adverse events (see Fig. S1a and S1b in the Supplementary Appendix).

After randomization, 8 new hematologic cancers and 10 solid-tumor cancers (excluding non-melanoma skin cancers) were diagnosed among the 231 patients in the lenalidomide group (3.5% and 4.3%, respectively). The corresponding numbers of new hematologic and solid-tumor cancers among the 229 patients in the placebo group were 1 (0.4%) and 5 (2.2%) (Table 3). The median time to the diagnosis of a hematologic cancer after randomization was 28 months (range, 12 to 46) in patients in the lenalidomide group, and the 1 hematologic cancer that occurred in a patient in the placebo group was diagnosed at 30 months. The median time to the diagnosis of a solid-tumor cancer after randomization was 15 months (range, 3 to 51) in the lenalidomide group and 21 months (range, 6 to 34) in the placebo group. Four of 10 patients with solid tumors restarted lenalidomide after surgery for their second primary cancer. One case of a second primary cancer (melanoma) was reported in a patient in the placebo group after crossover to lenalidomide.

**Table 3. Second Primary Cancers from Randomization to February 2012.**

Second Cancer	Lenalidomide (N=231)	Placebo (N=229)
	<i>number of patients</i>	
Hematologic cancers*		
Acute lymphoblastic leukemia	1	0
Acute myeloid leukemia	5	0
Hodgkin's lymphoma	1	0
Myelodysplastic syndrome	1	0
Non-Hodgkin's lymphoma	0	1
Total	8	1
Solid-tumor cancers		
Breast cancer	3	0
Carcinoid tumor	0	1
Central nervous system cancer	1	0
Gastrointestinal cancer	2	1
Gynecologic cancer	1	1
Malignant melanoma	1	2
Prostate cancer	1	0
Thyroid cancer	1	0
Total	10	5
Basal-cell carcinoma	2	1
Squamous-cell carcinoma	2	2

\* Four of the eight patients with a hematologic cancer in the lenalidomide group received induction therapy with an anthracycline. One solid-tumor cancer occurred in a patient with breast cancer in the lenalidomide group. This patient had primary breast cancer 26 years before presentation with metastatic disease. It is not known whether this breast cancer was a new primary cancer or a recurrence of the original breast cancer. Two cases of solid tumors (one in the lenalidomide group and one in the placebo group) occurred after disease progression. These cases were not included in the analysis of second primary cancers, since they occurred after disease progression and then further therapy.

#### EVENT-FREE SURVIVAL AND CUMULATIVE INCIDENCE OF DISEASE PROGRESSION, SECOND PRIMARY CANCERS, AND DEATHS

Event-free survival was a post hoc end point to assess the influence of second primary cancers on the observed time to progression and overall survival. Cases of nonmelanoma skin cancer (all local, without distant spread) were not classified as second primary cancers in the analysis. As of October 31, 2011, a total of 92 of the 231 patients in the lenalidomide group (40%) as compared with 133 of the 229 patients in the placebo group (58%) had progressive disease, had died, or had received a diagnosis of a second primary cancer ( $P<0.001$ ). The estimated hazard ratio was 0.53 (95% CI, 0.41 to 0.69), indicating a 47% reduction in risk among

patients in the lenalidomide group. The median event-free survival was 43 months among patients in the lenalidomide group and 27 months among patients in the placebo group (Fig. S2k in the Supplementary Appendix). To further assess the cause-specific risk profiles, we estimated the cumulative incidence risks of a second primary cancer, disease progression, and death, stratified according to group. The cumulative incidence of a second primary cancer was higher among patients in the lenalidomide group than among patients in the placebo group ( $P=0.008$ ). The cumulative incidence of progressive disease and the cumulative incidence of death were higher among patients in the placebo group than among patients in the lenalidomide group ( $P<0.001$  and  $P=0.002$ , respectively) (Fig. 3).

#### DISCUSSION

Although a cure for multiple myeloma is still not possible in most patients, maintenance of a prolonged progression-free interval with minimal toxicity is an important goal in the management of this disease. The median overall survival among patients who required therapy before 1996 was approximately 3 years.<sup>23</sup> In the era of new agents and autologous hematopoietic stem-cell transplantation, the median overall survival after transplantation is close to 8 years.<sup>23,24</sup> In this study, 85% of patients in the lenalidomide group and 77% of patients in the placebo group were alive at a median follow-up of nearly 3 years.

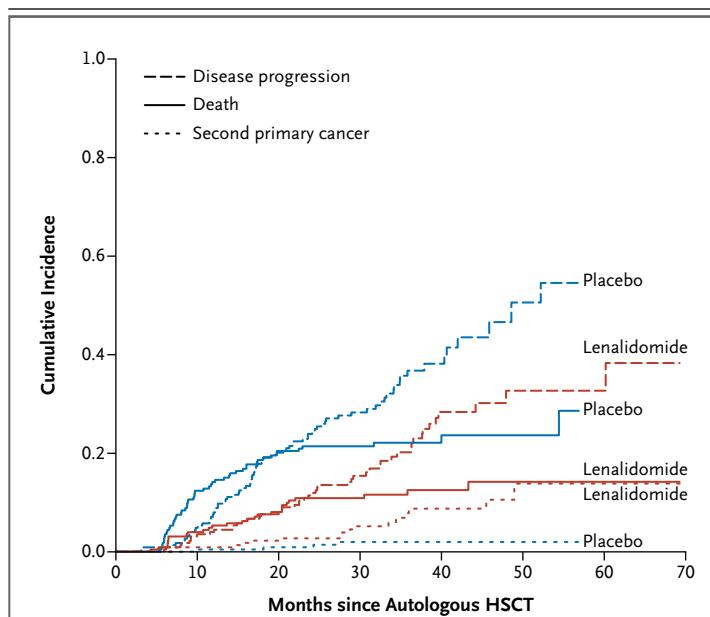
Several strategies have been implemented to improve the response to primary therapy since it correlates with the outcome.<sup>25-27</sup> Patients with multiple myeloma who have complete remission after primary therapy appear to have a longer time to progression, resulting in prolonged overall survival, although patients with a very good partial response (>90% reduction in myeloma protein) may have excellent outcomes.<sup>25</sup> Maintenance of disease control without clinically significant progression and dose-limiting toxic effects, as well as tolerability for the patient, may also translate into prolonged overall survival. Lenalidomide maintenance may increase the time to progression in patients who do not have complete remission after induction therapy and transplantation, thus generating outcomes similar to those for patients with complete remission. Although the response criteria of the International Myeloma Working Group were not used for all patients in

this study, the results are consistent with those of previous studies with respect to response and outcome.

Diagnostic cytogenetic abnormalities in multiple myeloma have been associated with the outcome.<sup>28</sup> Cytogenetic analysis was not required for enrollment in this study; however, a review of available data is ongoing. We expect this information to better define populations that would benefit most from lenalidomide maintenance therapy. Patients with disease progression before day 100 after autologous hematopoietic stem-cell transplantation (4% of the patients who were registered in our study) were not eligible to undergo randomization. We cannot conclusively say whether induction regimens with multiple drugs could overcome progressive disease and whether this group of patients would benefit from maintenance therapy after transplantation.

Consolidation therapy after induction therapy and transplantation is one strategy that improves outcomes. Consolidation appears to be most effective in inducing complete remission in patients with residual disease.<sup>29,30</sup> Consolidation therapy is more intensive than maintenance therapy, often with toxic effects. In this study, lenalidomide maintenance as a form of prolonged therapy, as compared with placebo, prolonged the time to progression and increased overall survival.

Despite its demonstrated efficacy, thalidomide maintenance therapy has been limited by neurotoxicity, with up to 75% of patients discontinuing maintenance therapy.<sup>7-12</sup> Other studies have shown that lenalidomide and bortezomib used as maintenance therapy are better tolerated, with clinically significant efficacy for long-term maintenance after autologous hematopoietic stem-cell transplantation.<sup>31,32</sup> In this issue of the *Journal*, Attal et al.<sup>33</sup> also report a significantly prolonged time to disease progression with lenalidomide maintenance therapy after autologous hematopoietic stem-cell transplantation. A related article by Palumbo et al.<sup>34</sup> describes a significantly prolonged time to disease progression with lenalidomide maintenance therapy after the use of low-dose induction therapy. These three studies show the usefulness of lenalidomide maintenance therapy for prolonging the time to disease progression in both patients who have undergone stem-cell transplantation and those who have not. The study reported by Attal et al.<sup>33</sup> did not show an overall survival benefit, a finding that could be due to differ-



**Figure 3. Cumulative Incidence of Second Primary Cancers, Disease Progression, and Death in the Lenalidomide and Placebo Groups after Randomization.**

The cumulative incidence risk of second primary cancers was greater in the lenalidomide group than in the placebo group ( $P=0.0008$ ). The cumulative incidence risks of progressive disease and death were greater in the placebo group ( $P<0.001$  for progression and  $P=0.002$  for death). All  $P$  values are two-sided.

ences in induction (use or nonuse of lenalidomide-based induction therapy) and consolidation (use or nonuse of more alkylator-based chemotherapy) before transplantation, the use of lenalidomide consolidation therapy in both groups after transplantation, the use of two transplantations in some patients, and the discontinuation of maintenance therapy.<sup>33</sup> Longer follow-up and additional studies may clarify the different findings.

A major concern during maintenance therapy is toxicity that limits long-term use and the ability to receive future treatment after disease progression or that results in life-threatening disorders. Acute myeloid leukemia or the myelodysplastic syndrome has been reported in patients with multiple myeloma who did not undergo transplantation and were treated with melphalan.<sup>35,36</sup> An observational bias is unlikely to explain these findings because of the rapid development of acute myeloid leukemia and, to a lesser extent, the myelodysplastic syndrome. A recent report by the Swedish Cancer Registry described an increased incidence of these disorders in patients with mul-

tiple myeloma and monoclonal gammopathy of undetermined significance (MGUS).<sup>37</sup> The finding that acute myeloid leukemia or the myelodysplastic syndrome occurs in untreated patients with MGUS suggests that these plasma-cell disorders are associated with a hematopoietic stem-cell or microenvironmental defect in addition to an effect of chemotherapy exposure. Multiple myeloma is also associated with solid-tumor cancers.<sup>38</sup> In this study, the increase in second primary solid-tumor cancers in the lenalidomide group was not associated with a specific tumor type, and the cause was uncertain. Close monitoring of blood counts, as indicated by the study guidelines, and standard screening for cancers are recommended.

In conclusion, this study suggests that lenalidomide maintenance therapy until disease progression is feasible for prolonged administration. The increase in time to progression led to early study unblinding, and despite the crossover, benefits with respect to progression and overall survival were seen in patients receiving lenalidomide maintenance therapy, especially those who had received lenalidomide-based induction therapy. It remains to be determined whether the incorporation of other new agents with lenalidomide will further increase the time to disease progression and overall survival.

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Disclosure forms provided by the authors are available with the full text of this article at NEJM.org.

#### APPENDIX

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