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Original Article

Ultrastructural studies in APP/PS1 mice expressing human ApoE isoforms: implications for Alzheimer’s disease

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Abstract: Alzheimer’s disease is characterized in part by extracellular aggregation of the amyloid-β peptide in the form of diffuse and fibrillar plaques in the brain. Electron microscopy (EM) has made an important contribution in understanding of the structure of amyloid plaques in humans. Classical EM studies have revealed the architecture of the fibrillar core, characterized the progression of neuritic changes, and have identified the neurofibrillary tangles formed by paired helical filaments (PHF) in degenerating neurons. Clinical data has strongly correlated cognitive impairment in AD with the substantial synapse loss observed in these early ultrastructural studies. Animal models of AD-type brain amyloidosis have provided excellent opportunities to study amyloid and neuritic pathology in detail and establish the role of neurons and glia in plaque formation. Transgenic mice overexpressing mutant amyloid precursor protein (APP) alone with or without mutant presenilin 1 (PS1), have shown that brain amyloid plaque development and structure grossly recapitulate classical findings in humans. Transgenic APP/PS1 mice expressing human apolipoprotein E isoforms also develop amyloid plaque deposition. However no ultrastructural data has been reported for these animals. Here we show results from detailed EM analysis of amyloid plaques in APP/PS1 mice expressing human isoforms of ApoE and compare these findings with EM data in other transgenic models and in human AD. Our results show that similar to other transgenic animals, APP/PS1 mice expressing human ApoE isoforms share all major cellular and subcellular degenerative features and highlight the identity of the cellular elements involved in Aβ deposition and neuronal degeneration.

Keywords: Alzheimer’s disease, electron microscopy, amyloid precursor protein, presenilin 1, ApoE

Introduction

Amyloid plaques are a defining feature of Alzheimer disease (AD) pathology. AD (senile) plaques are composed of extracellular deposits of amyloid-β (Aβ) and other plaque associated proteins and are surrounded by dystrophic neurites and glia. Robert Terry and Michael Kidd presented very detailed morphological studies of the plaque core, described the extensive neuritic changes as well as the accumulation of intraneuronal neurofibrillary tangles formed by paired helical filaments (PHF) [1-5]. In all cases of Alzheimer’s presenile dementia, Kidd et al., described the plaque’s structure as composed of a “central fibrillary core”, “cellular perikarya”, “axons and dendrites filled with an excess of neurofibrils”, and “cell processes filled with dense bodies” [4]. Almost no degenerating neuronal cell bodies were identified. In 1973 Wisniewski and Terry showed that the earliest sign of plaque formation was the appearance of few abnormal neurites, followed by “wisps” of deposited amyloid [6]. Later EM studies by Yamaguchi et al., characterized the structure of the “diffuse plaque” with the appearance of small amounts of amyloid fibrils and minimal neuritic dystrophy [7]. A number of ultrastructural studies in humans and also in aging primates documented a progressive decrease in synaptic numbers in cortical areas including the hippocampus [8-10]. Subsequent clinical studies have
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strongly correlated these findings with cognitive decline [11-13].

The generation of transgenic (tg) animals as models overexpressing mutant human APP and/or PS1 and PS2, tg APP mice expressing human ApoE isoforms, as well as Tau, has made substantial contributions to unraveling the diverse CNS changes developing in AD [14-18]. Tg and other genetically modified animals have been invaluable for revealing mechanisms of AD neuropathology [19-22], and while no mouse model has fully recapitulated the entire neuropathological spectrum of AD-like brain lesions, they have replicated major pathological features such as the diffuse and compact amyloid plaque, the massive neuritic dystrophy, synaptic deficits, and glial activation [23-26]. The first mouse models were based on the overexpression of single or multiple mutant molecules associated with familial AD (FAD), [18]. Masliah et al. in 1996 made a detailed comparison of the neurodegenerative pathology found in the PDAPP tg mouse line and in AD [23]. Although PHF were not found, the overproduction of mutant human APP was sufficient to cause extensive amyloid fibril deposition in the form of diffuse and mature amyloid plaques between 8 and 12 months of age. Axonal dystrophy, microglial and astroglial involvement have been reported as well [23, 24, 27]. Other APP tg models have all produced mature amyloid plaques [28-34]. In APP tg mice expressing human mutant forms of APP found in FAD, Masliah et al., Phiney et al., and Stalder et al., also showed evidence for extensive amyloid plaque formation, microglial cell process aggregation and a corona of dystrophic axons [23, 30, 35]. Interestingly, tg mice overexpressing the mutant PS1 alone have not been shown to accumulate Aβ in the brain [36] while, co-expression of mutant PS1 with APP has been always associated with robust amyloid deposition months earlier than APP tg mice alone. Kurt et al., provided a very detailed ultrastructural study on these mice [25]. They showed occasional Aβ deposits (plaques) as early as two months of age, with deposits gradually increasing in number at six months. Notably, none of the single transgenic (APP or PS1) animals made Aβ deposits at this time-frame. Electron microscopy characterized two main morphological plaque forms, those with an Aβ core and those without it. Both forms were present at the 12th week. Plaques were observed in the neuropil and also in the white matter. In mature plaques of 6 and 8 month old tg mice, the core was similarly surrounded by microglial cell processes; astrogial cell processes were also encountered, especially in older animals. Neuronal degeneration of the non-apoptotic type was registered close to the plaque in 8 month old double tg mice. In a similar model, Wirths et al., described neuritic plaques in the spinal cord and found ultrastructural evidence for massive axonal degeneration in white matter tracts structurally resembling Wallerian type degeneration [37]. Triple tg mouse models overexpressing mutant APP, PS1 and Tau developed amyloid plaques and tangle-like pathology [26, 38].

The ε4 allele of apolipoprotein E (ApoE) is known so far to be the only firmly established risk factor for the more common sporadic forms of AD [39]. Astrocyte-specific expression of human ApoE3 and E4 isoforms in APP tg mice where the mouse apoE has been knocked out, restored Aβ and fibrillar Aβ deposition in the brain in vivo, suggesting their critical role in amyloid deposition with E4 resulting in greater Aβ pathology than E3 [40]. Pathways involved in ApoE and/or Aβ clearance have shown that overexpression of the low density lipoprotein receptor markedly inhibits in vivo amyloid deposition and in addition increases Aβ clearance [41]. However to date there are no ultrastructural studies to complement the light microscopic and functional data from tg animals expressing human apoE and no EM comparison is available with other models or AD. The aim of the current study is to provide a detailed ultrastructural investigation of amyloid plaques in APP/PS1 tg mice expressing each one of the three human apolipoprotein isoforms (ApoE2, ApoE3 or ApoE4) and to compare the neuritic plaque morphology to other existing tg animal models of AD.

Material and methods

Generation of human APOE isoform mice with APPswe/PS1(L166P) mutant transgenes

To determine the effect of human APOE2, APOE3 and APOE4 on amyloid deposition, we used APOE knock-in mouse models in which the endogenous murine APOE gene is replaced with the human APOE2, APOE3 or APOE4 gene.
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The generation of human APOE2, APOE3 and APOE4 knock-in mouse models has been reported previously and breeding pairs were gifts from Dr. Patrick Sullivan (Duke University) [42, 43]. APOE isoform mice were maintained on a C57BL/6J background. APPPS1:21 mice overexpress a human APP with Swedish mutation (KM670/671NL) and PS1 with L166P mutation under the control of Thy1 promoter. Breeding pairs were obtained as a gift from Dr. Mathias Jucker (University of Tubingen) [44]. To replace the murine APOE (mAPOE) gene with human APOE isoforms, we recently described the production of APPPS1:21/APOE knockin mice [45]. Briefly, APPPS1:21 mice were bred with APOE2/2, APOE3/3 or APOE4/4 knock-in mice. For example, APPPS1:21/APOE3/mAPOE mice and APOE3/mAPOE mice from the first generation were bred with each other to generate APPPS1:21/APOE3/3 and APOE3/3 mice. APPPS1:21/APOE3/3 and APOE3/3 mice from the second generation were bred to generate more APPPS1:21/APOE3/3 mice. After successful generation of APPPS1:21/APOE3/3 mice at the third generation, APPPS1:21/APOE3/3 mice were bred with APOE knockout mice.

Figure 1. Low and high magnification images of plastic sections showing cortical plaques in APP/PS1/Human ApoE knock-in tg mice. A and D - APP/PS1/ApoE2, B and E - APP/PS1/ApoE3, C and F - APP/PS1/ApoE4 tg mice. Scale bar: A, B and C – 100 µm; D, E and F – 20 µm.

Figure 2. Panoramic view of a mature amyloid plaque in APP/PS1/ApoE4 tg mouse. Single black asterisks show processes of microglial cells surrounding the core epicenter, double asterisks show an astrocytic process. Scale bar – 5 µm.
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(APOE/-) mice on a C57BL/6J background (Stock number 002052, The Jackson Laboratory) [46]. APPPS1-21/APOE3/- mice from the fourth generation were bred with APOE3/3 mice to generate APPPS1-21/APOE3/3 mice. Littermates generated at the fifth generation were analyzed for Aβ accumulation, amyloid deposition, and microgliosis. Similarly, APPPS1-21/APOE2/2 and APPPS1-21/APOE4/4 mice were generated by five generations of breeding using the same mating strategy. All mice used in this study were maintained on a C57BL/6J background.

Transmission electron microscopy

Six months old mice (3 from each isoform-specific type and 3 age matched controls) were anesthetized with pentobarbital and perfused transcardially with a fixative composed of 1.5% glutaraldehyde and 1% paraformaldehyde in 0.1 M cacodylate buffer (pH 7.4). The brains were sliced into 1-mm-thick coronal sections and fixed for 24 hrs in 1.5% glutaraldehyde and then rinsed in cacodylate buffer. Sections were postfixfixed overnight in 1% osmium tetroxide. Tissue was dehydrated in graded solutions of ethanol from 40% to 100% (each for 10 min), cleared in propylene oxide, and flat-embedded in araldite. Ultrathin sections were cut with a Leica Ultracut UCT ultramicrotome. Sections were counterstained with saturated aqueous uranyl acetate, followed by lead citrate and analyzed with a JEOL 100CX transmission electron microscope.

Results

Overview of amyloid plaques

Investigation of semithin sections in all APP/PS1/HumanApoE knock-in animals revealed the presence of cortical (Figure 1) and subcorti-
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In all 6 months old APP/PS1/HumanApoE knock-in mice, the vast majority of amyloid plaques fell in the category of the mature plaque. Ultrastructurally no gross morphological difference was detected between the three different knock-in transgenes, except that in APP/PS1/HumanApoE2 and E3 knock-in brains plaques had smaller core centers.

**Amyloid plaque epicenter**

Ultrastructural investigation of amyloid plaques in ApoE2, ApoE3 and ApoE4 knock-in mice revealed that all mature plaques were represented by an epicenter of compacted Aβ fibrils, most of which were organized in parallel radial bundles giving the core a star-shape outline (Figure 2). In some, fibril bundles surrounded dark irregular and highly osmiophilic structures, probably representing terminally dystrophic cell processes (not shown). At high magnifications individual fibrils contained hollow lumina (Figure 3A, inset). Very rarely axonal terminals with small clear vesicles were observed (not shown), but in general, the core epicenter was surrounded by microglial cell processes (Figure 2 asterisks). Rarely single dystrophic myelinated axons and dystrophic dendritic profiles were observed.

**Microglial cells and their processes surround the amyloid plaque core**

The immediate periphery of the plaque epicenter was surrounded by a crown of microglial cytoplasmic processes (Figure 2, single asterisks). These processes typically contained short cisternae of rough endoplasmic reticulum (RER) as well as free ribosomes (Figure 3B). A microglial cell nuclear region was very rarely observed (Figure 3C). The identification of microglial cells and their processes was made by their specific EM appearance and also after careful comparison of our data to images presented by Mattiace et al., and Stalder et al., where detailed EM immunohistochemichal characterization of these cells and their long processes has been presented [47, 35]. Virtually all microglial cell processes had numerous invaginations filled by amyloid fibrils (Figure 3B asterisks, Figure 3A inset). Many of these fibril-filled spaces were very close to cisternae of RER (Figure 3B arrows). Lysosomes and phagolysosomes were frequently detected in these processes, while others were filled with debris.
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from surrounding dystrophic neurites (Figure 4). Amyloid fibrils were arranged in loose parallel arrays similar to those detected by others in early diffuse plaques, other plaque deposits resembled a fibrillar lattice. The plaque core contained few astroglial cell processes as well (Figure 2), which in general had a lighter cellular matrix compared to microglial cells, and were devoid of rough endoplasmic reticulum and typically contained slender intermediate filament bundles (not shown).

Immediate periphery of the plaque core: numerous dystrophic neurites

All mature plaques presented with numerous dystrophic neurites with dense bodies and vacuoles filled with lamellar material. They were found predominantly in the immediate periphery surrounding the microglial processes (Figures 2, 3, 4 and 5). The majority were in an advanced stage of degeneration (Figure 5C-I). Almost all were non-myelinated (Figure 2 and 5). Neuritic processes with signs of mild dystrophy contained combinations of clear synaptic vesicles and few large dense core vesicles, in others normal single or multiple mitochondria were also observed (Figure 5A). Profiles showing moderate signs of dystrophy exhibited autophagic vacuoles filled with lamellar material and/or degenerating mitochondria (Figure 5A inset 5C). A number of degenerating mitochondria were frequently

Figure 5. Electron micrographs showing dystrophic neurites at different stages of degeneration; A and B – early stage, C – mid stage, D-H - late stage. Asterisk in A shows accumulation of normal mitochondria, inset in addition shows degenerating mitochondrion (arrow) and autophagic vacuoles. Asterisk in G shows a dystrophic neurite with very condensed axoplasmic matrix and accumulation of autophagic vacuoles. Autophagic vacuoles are also abundant in D, E, F, H. Micrograph in I shows a neurite (*) in very advanced stage of dystrophy with no limiting cytoplasmic membrane (arrows). Scale bars: – 500 nm.
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Figure 6. Micrographs of axonal terminals at different stages of degeneration showing morphologically normal synapses. A. Moderately degenerated terminal (arrow) and non-degenerated terminal (double arrow) synapsing on the same dendritic shaft (*), APP/PS1/ApoE3 tg mouse. B. Grossly degenerated axonal terminal synapsing on a normal dendritic spine (*), APP/PS1/ApoE4 tg mouse. C. Grossly degenerated axonal terminal synapsing on a dendritic spine (*) showing a normal mitochondrion (m), APP/PS1/ApoE2 tg mouse. Even at this high magnification no abnormal features in the structure of the synaptic membranes are observed. Scale bars: – 1 µm.

seen in profiles with developed dystrophy, where lysosomes were also identified (Figure 5A inset, 5B and 5C). In neuritic processes with more advanced degeneration there were abundant autophagic vacuoles, phagolysosomes and virtually no normal organelles (Figure 5D-H). In a number of neuritic processes we were not able to detect a continuous cytoplasmic membrane (Figure 5I, asterisk) and borders between these processes and the neighboring neuropi was not discernable (Figure 5I, arrows). Occasionally single Hirano-like bodies were observed in dystrophic profiles (Figure 9). Interestingly we were also able to detect a number of dystrophic processes, which maintained morphologically distinct synapses on seemingly intact dendritic spines or shafts (Figure 6A-C). They were identified as axonal terminals. While the degree of degeneration in these terminals varied, in all, the pre-synaptic and post-synaptic densities as well as the synaptic cleft maintained normal ultrastructural appearance (Figure 6B and 6C, asterisk). As in areas close to the plaque epicenter, in the immediate plaque periphery of all transgenic animals we detected a number of dystrophic myelinated axonal profiles with compacted organelles and thinning of the myelin sheath (not shown), in others there was a visible transition from a myelinated to a non-myelinated segment (Figure 7A). Swollen axonal profiles with compaction of neurofilaments and signs of dystrophy and edema of the oligodendrocytic cytoplasm was also observed (Figure 7C, asterisks), in others a corona of compacted neurofilaments surrounding a center of organelle compaction was frequently detected (Figure 7D).

Wider periphery of the plaque core and white matter

In areas very close to cortical or hippocampal plaques, single degenerating neuronal cell perikarya and their dendrites revealing very electron dense nuclear and cytoplasmic matrices, were frequently observed (Figure 8A and 8B). However no apoptotic morphology was detected in these degenerating neurons. The cytoplasm of these dying cells was filled with dense fibrillar material (Figure 8C, 8D and 8E) and in many cases cytoplasmic membranes were not
visible. In the latter cases amyloid fibrils were in direct apposition to the extracellular matrix (Figure 8E, arrows). Careful examination of cross and longitudinal sections through the major white matter tracts such as the external capsule and the axonal bundle of stratum lacunosum in the hippocampus and hippocampal commissure revealed many single dystrophic myelinated and non-myelinated axons. Many myelinated axons showed dystrophic features similar to Wallerian degeneration (Figure 7B), while others showed similar degeneration changes seen in dystrophic plaque neurites.

Discussion

In this study, the compact amyloid epicenter (core), the dystrophic cellular elements directly adjacent or close to the amyloid core were examined at the ultrastructural level in APP/PS1 tg mice expressing one of the three human ApoE isoforms - ApoE2, ApoE3 and ApoE4 respectively.

The plaque epicenter was almost exclusively occupied by amyloid fibrils and largely devoid of cellular elements. We found that the amyloid fibrillar star’s periphery resided within deep cytoplasmic infoldings of microglial cell processes which separated the amyloid epicenter from the dystrophic neuropil surrounding it. This plaque architecture is similar to many other mouse models [23, 25, 35, 48-50]. The existence of a microglial core periphery was not surprising, since animal studies have shown that microglial response around plaques occurs...
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often and relatively soon [51, 52]. Historically, in many human and animal studies the relationship between amyloid deposition/turnover and microglia has been discussed in great detail [2, 23, 25, 35, 48-50, 53-55] and is beyond the scope of this study. Nevertheless it has to be noted that to date this relationship remains poorly understood although many have argued that microglia cells may also be considered to be a driving force in depositing or clearing fibrillar amyloid [54, 56-58]. Interestingly, after careful ultrastructural examination of serial sections with subsequent 3-dimentional amyloid plaque reconstruction of APP23 tg mouse brains, no evidence of amyloid phagocytosis and/or amyloid secretion by microglia has been detected [48]. In addition, Grathworth et al., in an elegant and well designed in vivo study, have shown that the formation and maintenance of the amyloid plaque can occur in almost complete absence of microglia and that neuritic dystrophy is a process that may develop independent of microglia [59].

Although smaller in number, we were able to observe astrocytic processes in the plaque core, some of them filled with phagocytosed debris similar to that of microglia. Various ultrastructural studies have detected astrocytic processes in human AD plaques [23, 57], and were also shown to exhibit β-amyloid immunoreactivity with gold probes [45]. Although we were not able to clearly distinguish between dormant and hypertrophic astroglia, their constant presence around the plaque epicenter and around dystrophic axons warrants further investigation.

Similar to single APP tg, double APP/PS1 tg and triple APP/PS1/Tau tg mice [23, 25, 26, 30, 60], our studies revealed numerous neuritic profiles at different stages of swelling and degeneration which surrounded the microglial processes ring. Surviving synaptic figures in many of these dystrophic profiles argue in favor of the interpretation that the majority of the

Figure 8. Micrographs of degenerating neuronal cell bodies (A and B) and neuronal cell processes (C, D and E) revealing grossly condensed matrices. Asterisks show accumulation of amyloid fibrils in the dystrophic cytoplasm of degenerating cells. Arrow in E indicates an interface between a degenerating cell process and the surrounding neuropil where the cell membrane is not detected and amyloid fibrils can be identified. E is a high magnification of D rotated at 90°. Scale bars – A and B -1 µm, C, D and E – 1 µm.
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Dystrophic neurites surrounding amyloid plaques are axonal (presynaptic) in origin. These suggestions are supported also by the fact that many single neuritic profiles close to the amyloid territory, and showing exactly the same dystrophic morphology, were myelinated. Importantly, they were very frequently seen in the white matter plaques as well and argue in favor of long axon degeneration. Likewise, many EM studies in AD [12, 62] support the predominant axonal origin of the plaque’s dystrophic neurites.

We also found that degenerating axons undergo extensive cytoskeletal changes in addition to the compaction of normal and dystrophic organelles. Similar alterations have been observed in APP tg mice, in tg mice with human four-repeat tau proteins and in mice expressing mutant human tau [23, 25, 30, 61, 63-65]. Early extracellular Aβ deposits in transgenic mice expressing wild-type human tau have shown to induce increased tau phosphorylation and coexisted with neuritic and cytoskeletal pathology that was strikingly similar to our observations [61]. The above studies have also concluded that a long exposure to Aβ in vivo is insufficient to drive the formation of PHF. At the same time double tg mice lacking the human APP transgene failed to develop extracellular plaques, no intracellular Aβ immunoreactivity was found and no physiological features of synaptic dysfunction was recorded [26]. Axonal swelling and accumulation of dystrophic organelles, such as mitochondria and autophagic vacuoles and the apparent cytoskeletal pathology, while indicative of progressive axonal degeneration, may also represent gross disturbances in anterograde or retrograde transport [61, 65, 66]. Changes in the axoplasmic cytoskeleton in association with organelle compaction has been consistently observed by us and others after experimental traumatic brain injury [67-69] and these features have been classically interpreted as axonal transport impairment [70]. In APP/PS1 human ApoE isoform knock-in animals, we have consistently encountered similar changes in long fiber tract axons. The latter exhibited not only “classical” plaque neuritic pathology, but in addition dystrophic changes similar to the Wallerian type of axonal degeneration, a phenomenon observed also by Wirths et al., in the spinal cord in APP/PS1 tg mice [37] as well as in AD [1]. Impaired axonal transport appears to be a major pathological alteration in later stages of the AD progression in humans and the axonal pathology described by us and others recapitulates the cardinal features of axonal impairment and possible chronic deficits. Hirano bodies have been observed in some cases of AD, amyotrophic lateral sclerosis/parkinsonism-dementia complex of Guam and in many tg models [23, 61, 71]. Different studies indicate the presence of actin, tropomyosin and vinculine [72, 73] but currently, Hirano body formation is considered to be a non-specific lesion in AD-type neuropathologies and is thought to reflect alterations of the actin network in dystrophic axons [71]. Our studies, in agreement with other studies in tg animals, found no evidence of PHF.

As in APP/PS1 tg animals, APP/PS1/Human ApoE knock-in mice which develop mature amyloid plaques reveal a number of neurons which show classical EM signs of non-apoptotic cell death. However, at this postnatal age, no indication of autophagic or apoptotic cell death has been detected ultrastructurally, although many axonal processes exhibited a pathology consis-
tent with the engagement of autophagic vacuoles. Our data are similar to data from APP/PS1 tg mice where the lysosomal pathology was prevailing in degenerating neurons of older animals [25, 74]. In our single time-point study, it is difficult to argue that axonal pathology and neuronal cell death are directly related since we have found much less neuronal cell body degeneration compared to the massive axonal dystrophy throughout the brain and especially within the neuritic plaque. Nonetheless, non-apoptotic type of cell death can be substantial given the protracted nature of the pathology observed in these animals. Mitochondrial dysfunction and oxidative stress in degenerating neurites as causative factors for the progressive cell death occurring in APP/PS1 tg animals has also been discussed [75]. Although apoptosis has been implicated in the neuronal cell death in aging APP/PS mice [75, 76] based on a number of activated caspase 3 immunoreactive cells and ultrastructural morphology (believed to be the best morphological method for distinguishing apoptosis from other types of neuronal cell degeneration), the involvement of this cell death type in tg animals remains uncertain [77]. The involvement of apoptotic cell death is also unclear in human AD cases [78]. Implicating the potential role of autophagic neuronal cell death, Yang et al., have presented evidence for a cross talk between apoptosis and autophagy by showing robust activated caspase 3 staining in autophagic vacuoles in dystrophic neurites of APP/PS1 tg mice [76]. Interestingly, in AD brains certain hippocampal neurons have also shown features of granulovacuolar degeneration with the expression of activated caspase 3 [79]. Taken together these findings reinforce the idea of possible connection between elements of apoptotic and autophagic degeneration in some experimental models, and also in cases of AD. However, further investigation is needed to resolve this issue.

In summary, our studies show that like in senile primate AD models, single tg APP mice, double tg APP/PS1 mice, and triple tg APP/PS1/Tau mice, APP/PS1 mice expressing human ApoE isoforms develop mature neuritic plaques with similar ultrastructural components and share the cardinal features of the senile plaque. Therefore this model may be useful for the study of AD pathogenesis and treatment strategies in the context of human ApoE isoforms.

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