ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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1. Identifying information.

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   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Nancy

2. Surname (Last Name)  
   Bartlett

3. Date  
   08-February-2018

4. Are you the corresponding author?  
   [x] Yes  [ ] No  
   Corresponding Author’s Name  
   Louis M. Staudt

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
   18-01445

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Are there any relevant conflicts of interest?  
   [ ] Yes  [x] No

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Dr. Bartlett has nothing to disclose.

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**ICMJE Form for Disclosure of Potential Conflicts of Interest**

**Section 1. Identifying Information**

1. Given Name (First Name)  
Elias  

2. Surname (Last Name)  
Campo  

3. Date  
27-February-2018  

4. Are you the corresponding author?  
☑ Yes  
☐ No  

Corresponding Author’s Name  
Louis M. Staudt

5. Manuscript Title  
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1. Given Name (First Name) Wing
2. Surname (Last Name) Chan
3. Date 27-February-2018

4. Are you the corresponding author? □ Yes □ No

Corresponding Author’s Name
Louis M. Staudt

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1. Given Name (First Name)  
   Joseph

2. Surname (Last Name)  
   Connors

3. Date  
   09-February-2018

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Corresponding Author’s Name  
Louis Staudt

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Jan

2. Surname (Last Name)  
Delabie

3. Date  
11-February-2018

4. Are you the corresponding author?  
☐ Yes  ☑ No

Corresponding Author’s Name  
Louis M Staudt

5. Manuscript Title  
Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
18-01445

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
☑ Yes  ☐ No

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
☐ Yes  ☑ No

Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☑ Yes  ☐ No

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<td>Nanostring Technologies, Inc</td>
<td></td>
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**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- ☐ Yes, the following relationships/conditions/circumstances are present (explain below):
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Dr. Delabie reports grants from National Cancer Institute Strategic Partnering to Evaluate Cancer Signatures (SPECS II) grant (SU01CA157581-05), during the conduct of the study; In addition, Dr. Delabie has a patent Nanostring ABC/GCB predictor - U.S. Provisional Patent Application No. 15/035,101 pending to Nanostring Technologies, Inc.

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**Royalties:** Funds are coming in to you or your institution due to your patent
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**  
   Wei

2. **Surname (Last Name)**  
   Du

3. **Date**  
   09-February-2018

4. **Are you the corresponding author?**  
   - Yes
   - No

   **Corresponding Author’s Name**  
   Louis M. Staudt

5. **Manuscript Title**  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. **Manuscript Identifying Number (if you know it)**  
   18-01445

## Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

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- No

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Are there any relevant conflicts of interest?  
- Yes
- No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- Yes
- No
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Du has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Randy

2. Surname (Last Name)  
   Gascoyne

3. Date  
   08-February-2018

4. Are you the corresponding author?  
   Yes  No

   Corresponding Author’s Name  
   Louis Staudt

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
   18-01445

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Are there any relevant conflicts of interest?  Yes  No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Daniel

2. Surname (Last Name)  
   Hodson

3. Date  
   12-February-2018

4. Are you the corresponding author?  
   ☑ Yes  ❌ No

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
   18-01445

Section 2. The Work Under Consideration for Publication

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<td>❌</td>
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<td>❌</td>
<td>Research fellowship funding from UK leukaemia charity.</td>
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<th>Non-Financial Support?</th>
<th>Other?</th>
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<td>Gilead Sciences</td>
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<td>❌</td>
<td>Research Grant paid to University of Cambridge to support my current research. Not related to this submission.</td>
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Section 1. Identifying Information

1. Given Name (First Name)  
Da Wei

2. Surname (Last Name)  
Huang

3. Date  
08-February-2018

4. Are you the corresponding author?  
☑ Yes  ☐ No

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Are there any relevant conflicts of interest?  
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Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Huang has nothing to disclose.

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**Royalties**: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
Elaine  

2. Surname (Last Name)  
Jaffe  

3. Date  
09-February-2018  

4. Are you the corresponding author?  
☑ Yes  ☐ No  

5. Manuscript Title  
Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma  

6. Manuscript Identifying Number (if you know it)  
18-01445

**Section 2. The Work Under Consideration for Publication**

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  

Are there any relevant conflicts of interest?  
☑ Yes  ☐ No  

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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Dr. Jaffe reports grants from National Cancer Institute Strategic Partnering to Evaluate Cancer Signatures (SPECS II) grant (SU01 CA157581-05) during the conduct of the study. In addition, Dr. Jaffe has a patent Nanostring ABC/GCB predictor - U.S. Provisional Patent Application No. 15/035,101 pending.

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**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)        Calvin
2. Surname (Last Name)           Johnson
3. Date                           09-February-2018

4. Are you the corresponding author? ☑ Yes ☐ No
   Corresponding Author’s Name
   Louis M. Staudt

5. Manuscript Title
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)
   18-01445

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☑ Yes ☐ No

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest? ☑ Yes ☐ No

Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☑ Yes ☐ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Johnson has nothing to disclose.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
Relevant financial activities outside the submitted work.

The section asks about your financial relationships with entities in the biomed area that could be perceived as potentially influencing, what you wrote in the submitted work.

### Definitions

**Intellectual Property.** This section asks about patents and copyrights, whether pending or not, covered by the submitted work.

**Relationships not covered above.** Use this section to report other relationships or activities that readers could perceive as potentially influencing what you wrote in the submitted work.

**Other.** Any other relationship not covered under the previous three boxes that could be perceived as potentially influencing, what you wrote in the submitted work.
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Monica

2. Surname (Last Name)  
Kasbekar

3. Date  
08-February-2018

4. Are you the corresponding author?  
Yes  ☑️ No

Corresponding Author’s Name  
Louis M. Staudt

5. Manuscript Title  
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Are there any relevant conflicts of interest?  
Yes  ☑️ No

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Are there any relevant conflicts of interest?  
Yes  ☑️ No

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Section 1. Identifying Information

1. Given Name (First Name)  
   Kevin Tay

2. Surname (Last Name)  
   Kuang Wei

3. Date  
   10-February-2018

4. Are you the corresponding author?  
   Yes  No
   Corresponding Author’s Name  
   Louis M. Staudt

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Section 1. Identifying Information

1. Given Name (First Name)  
John

2. Surname (Last Name)  
Leonard

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09-February-2018

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☐ Yes  ☑ No

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Louis M. Staudt

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</table>

### Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ✓ No

### Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Section 6. Disclosure Statement

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Dr. Leonard reports personal fees from Celgene, personal fees from Juno, personal fees from BMS, personal fees from Sutro, personal fees from Gilead, personal fees from Genentech/Roche, personal fees from Pfizer, personal fees from Bayer, personal fees from Biotest, personal fees from United Therapeutics, personal fees from Karyopharm, personal fees from ADC Therapeutics, personal fees from MEI Pharma, personal fees from Astra Zeneca, personal fees from Epizyme, and personal fees from Novartis outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Xuelu
2. Surname (Last Name)  Liu
3. Date  13-February-2018

4. Are you the corresponding author?  Yes  No
   Corresponding Author’s Name  Louis M. Staudt

5. Manuscript Title
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)
   18-01445

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Are there any relevant conflicts of interest?  Yes  No

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Dr. Liu has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Armando

2. Surname (Last Name)  
   Lopez-Guillermo

3. Date  
   09-February-2018

4. Are you the corresponding author?  
   ☑ Yes  ☐ No  
   Corresponding Author’s Name  
   Louis M. Staudt

5. Manuscript Title  
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6. Manuscript Identifying Number (if you know it)  
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**Section 2. The Work Under Consideration for Publication**

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Are there any relevant conflicts of interest?  
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Dr. Lopez-Guillermo has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   German

2. Surname (Last Name)  
   Ott

3. Date  
   27-February-2018

4. Are you the corresponding author?  
   ☑ Yes  ☐ No
   Corresponding Author's Name  
   Louis M. Staudt

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
   18-01445

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   ☑ Yes  ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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<td>National Cancer Institute Strategic Partnering to Evaluate Cancer Signatures (SPECs II) grant (SU01CA157581-05)</td>
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Dr. Ott reports grants from National Cancer Institute Strategic Partnering to Evaluate Cancer Signatures (SPECS II) grant (SU01CA157581-05), during the conduct of the study; In addition, Dr. Ott has a patent Nanostring ABC/GCB predictor - U.S. Provisional Patent Application No. 15/035,101 pending.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   James

2. Surname (Last Name)  
   Phelan

3. Date  
   08-February-2018

4. Are you the corresponding author?  
   [ ] Yes  
   [ ] No
   Corresponding Author’s Name  
   Louis M. Staudt

5. Manuscript Title  
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Dr. Phelan has nothing to disclose.

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Licensed: The patent has been licensed to an entity, whether earning royalties or not
Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information
1. Given Name (First Name)  
   Stefania
2. Surname (Last Name)  
   Pittaluga
3. Date  
   09-February-2018

4. Are you the corresponding author?  
   Yes  
   No  
   Corresponding Author’s Name  
   Louis M. Staudt

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
   18-01445

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   Yes  
   No

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   No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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Dr. Pittaluga has nothing to disclose.

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1. Given Name (First Name)  
Lisa

2. Surname (Last Name)  
Rimza

3. Date  
09-February-2018

4. Are you the corresponding author?  
[ ] Yes  [x] No  
Corresponding Author’s Name  
Louis M. Staudt

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Dr. Rimza reports grants from National Cancer Institute, during the conduct of the study; In addition, Dr. Rimza has a patent USPP Application No. 15/035,101 licensed to NanoString.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Andreas

2. Surname (Last Name)  
   Rosenwald

3. Date  
   11-February-2018

4. Are you the corresponding author?  
   ☑ Yes  ☐ No

   Corresponding Author’s Name  
   Louis M. Staudt

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

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   18-01445

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   ☑ Yes  ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the "X" button.

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<th>Name of Entity</th>
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<tr>
<td>Sandrine</td>
<td>Roulland</td>
<td>09-February-2018</td>
</tr>
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   - [ ] Yes    
   - [x] No  
   
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## Section 1. Identifying Information

1. **Given Name (First Name)**
   Roland

2. **Surname (Last Name)**
   Schmitz

3. **Date**
   13-February-2018

4. **Are you the corresponding author?**
   - Yes ☑️
   - No

   **Corresponding Author’s Name**
   Louis M. Staudt

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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☐ Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Schmitz reports other from Dr Mildred Scheel Stiftung für Krebsforschung (Deutsche Krebshilfe) during the conduct of the study.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Arthur
2. Surname (Last Name)    Shaffer
3. Date          09-February-2018
4. Are you the corresponding author?  Yes  No
   Corresponding Author’s Name  Louis M. Staudt
5. Manuscript Title
  Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma
6. Manuscript Identifying Number (if you know it)
  18-01445

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Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  Yes  No
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Dr. Shaffer has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)        Jyoti
2. Surname (Last Name)            Shetty
3. Date                            09-February-2018

4. Are you the corresponding author?  
   [ ] Yes  [x] No
   Corresponding Author’s Name
   Louis M. Staudt

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
   18-01445

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
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Dr. Shetty has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
Daniel

2. Surname (Last Name)  
Soppet

3. Date  
09-February-2018

4. Are you the corresponding author?  
☐ Yes  ☑ No  
Corresponding Author’s Name  
Dr. Louis Staudt

5. Manuscript Title  
Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
18-01445

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Dr. Soppe has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  
Louis

2. Surname (Last Name)  
Staudt

3. Date  
13-February-2018

4. Are you the corresponding author?  
☐ Yes  ☐ No

5. Manuscript Title  
Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
18-01445

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Are there any relevant conflicts of interest?  
☐ Yes  ☐ No

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<tbody>
<tr>
<td>National Cancer Institute Strategic Partnering to Evaluate Cancer Signatures (SPECs II) grant (SU01CA157581-05)</td>
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<td>Nanostring ABC/GCB predictor - U.S. Provisional Patent Application No. 15/035,101</td>
<td>✓</td>
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Dr. Staudt reports grants from National Cancer Institute Strategic Partnering to Evaluate Cancer Signatures (SPECS II) grant (SU01CA157581-05), during the conduct of the study; In addition, Dr. Staudt has a patent Nanostring ABC/GCB predictor - U.S. Provisional Patent Application No. 15/035,101 pending.

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Section 1. Identifying Information

1. Given Name (First Name)  
Bao

2. Surname (Last Name)  
Tran

3. Date  
08-February-2018

4. Are you the corresponding author?  
☐ Yes  ☑ No

Corresponding Author’s Name  
Louis M. Staudt

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Dr. Tran has nothing to disclose.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name)  
James
2. Surname (Last Name)  
Wang
3. Date  
09-February-2018

4. Are you the corresponding author?  
☐ Yes  ☑ No  
Corresponding Author’s Name  
Louis M. Staudt

5. Manuscript Title  
Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
18-01445

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Dr. Wang has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) 
   Wyndham

2. Surname (Last Name) 
   Wilson

3. Date 
   09-February-2018

4. Are you the corresponding author? 
   Yes    No

   Corresponding Author’s Name 
   Louis Staudt

5. Manuscript Title 
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it) 
   18-0144S

Section 2. The Work Under Consideration for Publication

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Section 1. Identifying Information

1. Given Name (First Name)  
   George

2. Surname (Last Name)  
   Wright

3. Date  
   26-February-2018

4. Are you the corresponding author?  
   Yes ☑ No

   Corresponding Author’s Name  
   Louis M. Staudt

5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)  
   18-01445

Section 2. The Work Under Consideration for Publication

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   Yes ☐ No

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Are there any relevant conflicts of interest?  
   Yes ☑ No

Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   Yes ☑ No

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Dr. Wright reports a patent Nanostring ABC/GCB predictor - U.S. Provisional Patent Application No. 15/035,101 pending.

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### Section 1. Identifying Information

1. **Given Name (First Name)**
   - Wenning

2. **Surname (Last Name)**
   - Xiao

3. **Date**
   - 09-February-2018

4. **Are you the corresponding author?**
   - [ ] Yes
   - [x] No
   - **Corresponding Author’s Name**
   - Dr. Louis M. Staudt

5. **Manuscript Title**
   - Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. **Manuscript Identifying Number (if you know it)**
   - 18-01445

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- [ ] Yes
- [x] No

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Dr. Xiao has nothing to disclose.

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1. Given Name (First Name)  
   Weihong

2. Surname (Last Name)  
   Xu

3. Date  
   09-February-2018

4. Are you the corresponding author?  
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Dr. Xu has nothing to disclose.

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Royalties: Funds are coming in to you or your institution due to your patent
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**  
   Yandan

2. **Surname (Last Name)**  
   Yang

3. **Date**  
   09-February-2018

4. **Are you the corresponding author?**  
   - Yes
   - No

5. **Manuscript Title**  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. **Manuscript Identifying Number (if you know it)**  
   18-01445

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
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- No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Yang has nothing to disclose.

Evaluation and Feedback

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Ryan
2. **Surname (Last Name)**
   - Young
3. **Date**
   - 09-February-2018

4. Are you the corresponding author?  
   - Yes  
   - No  
   - **Corresponding Author’s Name**
   - Louis M. Staudt

5. **Manuscript Title**
   - Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. **Manuscript Identifying Number (if you know it)**
   - 18-01445

## Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
- Yes  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Xin

2. Surname (Last Name)  
   Yu

3. Date  
   09-February-2018

4. Are you the corresponding author?  
   [ ] Yes  
   [x] No  
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   Louis Staudt

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)
   Andrew

2. Surname (Last Name)
   Zelenetz

3. Date
   02-March-2018

4. Are you the corresponding author? ☑ Yes   ☐ No
   Corresponding Author’s Name
   Louis M. Staudt

5. Manuscript Title
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6. Manuscript Identifying Number (if you know it)
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Are there any relevant conflicts of interest? ☑ Yes   ☐ No
If yes, please fill out the appropriate information below.

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<th>Name of Entity</th>
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<th>Non-Financial Support?</th>
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Zelenetz
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Dr. Zelenetz reports grants, personal fees and non-financial support from Genentech/Roche, personal fees from Amgen, personal fees from Janssen, grants and personal fees from Gilead, grants from Beigene, grants and personal fees from MEI Pharma, personal fees from Adaptive Biotechnology, personal fees from Novartis, personal fees from Celgene, outside the submitted work.

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Zhao
## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. Given Name (First Name)  
   Hong

2. Surname (Last Name)  
   Zhao

3. Date  
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4. Are you the corresponding author?  
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   [x] No  
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. **Identifying information.**

2. **The work under consideration for publication.**

   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party — that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. **Relevant financial activities outside the submitted work.**

   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

   Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

   For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. **Intellectual Property.**

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

**Definitions.**

- **Entity**: government agency, foundation, commercial sponsor, academic institution, etc.
- **Grant**: A grant from an entity, generally (but not always) paid to your organization
- **Personal Fees**: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations
- **Non-Financial Support**: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.
- **Other**: Anything not covered under the previous three boxes
- **Pending**: The patent has been filed but not issued
- **Issued**: The patent has been issued by the agency
- **Licensed**: The patent has been licensed to an entity, whether earning royalties or not
- **Royalties**: Funds are coming in to you or your institution due to your patent
### ICMJE Form for Disclosure of Potential Conflicts of Interest

#### Section 1. Identifying Information

1. Given Name (First Name)  
   Yongmei  
2. Surname (Last Name)  
   Zhao  
3. Date  
   13-February-2018  
4. Are you the corresponding author?  
   [ ] Yes  
   [x] No  
   Corresponding Author’s Name  
   Louis M. Staudt  
5. Manuscript Title  
   Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma  
6. Manuscript Identifying Number (if you know it)  
   18-01445

#### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   [ ] Yes  
   [x] No

#### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

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   [ ] Yes  
   [x] No

#### Section 4. Intellectual Property – Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   [ ] Yes  
   [x] No
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☐ Yes, the following relationships/conditions/circumstances are present (explain below):

☑ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Zhao has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  Bin
2. Surname (Last Name) Zhou
3. Date 09-February-2018

4. Are you the corresponding author? [ ] Yes  [x] No

Corresponding Author’s Name Louis M. Staudt

5. Manuscript Title
Genetics and Pathogenesis of Diffuse Large B-Cell Lymphoma

6. Manuscript Identifying Number (if you know it)
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