

Washington University School of Medicine

Digital Commons@Becker

---

Open Access Publications

---

2018

## Diagnostic errors in paediatric cardiac intensive care

Priya N. Bhat

*Washington University School of Medicine in St. Louis*

John M. Costello

*Northwestern University*

Ranjit Aiyagari

*University of Michigan-Ann Arbor*

Paul J. Sharek

*Stanford University*

Claudia A. Algaze

*Stanford University*

*See next page for additional authors*

Follow this and additional works at: [https://digitalcommons.wustl.edu/open\\_access\\_pubs](https://digitalcommons.wustl.edu/open_access_pubs)

Please let us know how this document benefits you.

---

### Recommended Citation

Bhat, Priya N.; Costello, John M.; Aiyagari, Ranjit; Sharek, Paul J.; Algaze, Claudia A.; Mazwi, Mjaye L.; Roth, Stephen J.; and Shin, Andrew Y., "Diagnostic errors in paediatric cardiac intensive care." *Cardiology in the Young*. 28, 5. 675-682. (2018).

[https://digitalcommons.wustl.edu/open\\_access\\_pubs/6870](https://digitalcommons.wustl.edu/open_access_pubs/6870)

This Open Access Publication is brought to you for free and open access by Digital Commons@Becker. It has been accepted for inclusion in Open Access Publications by an authorized administrator of Digital Commons@Becker. For more information, please contact [vanam@wustl.edu](mailto:vanam@wustl.edu).

---

## Authors

Priya N. Bhat, John M. Costello, Ranjit Aiyagari, Paul J. Sharek, Claudia A. Algaze, Mjaye L. Mazwi, Stephen J. Roth, and Andrew Y. Shin

## Diagnostic errors in paediatric cardiac intensive care

## Original Article

**Cite this article:** Bhat PN, Costello JM, Aiyagari R, Sharek PJ, Algaze CA, Mazwi ML, Roth SJ, Shin AY. (2018) Diagnostic errors in paediatric cardiac intensive care. *Cardiology in the Young* 28: 675–682. doi: 10.1017/S1047951117002906

Received: 30 March 2017  
Revised: 26 October 2017  
Accepted: 1 December 2017  
First published online: 7 February 2018

**Key words:**

Diagnostic errors; paediatric intensive care

**Author for correspondence:**

A. Shin, MD, Stanford Children's Health, 750 Welch Road, Suite 305, Palo Alto, CA 94304, United States of America; Tel: +1 650 725 8261; Fax: +1 650 725 8343; E-mail: drewshin@stanford.edu

Priya N. Bhat<sup>1</sup>, John M. Costello<sup>2</sup>, Ranjit Aiyagari<sup>3</sup>, Paul J. Sharek<sup>4</sup>, Claudia A. Algaze<sup>5</sup>, Mjaye L. Mazwi<sup>2</sup>, Stephen J. Roth<sup>5</sup> and Andrew Y. Shin<sup>4,5</sup>

<sup>1</sup>Department of Pediatrics, Divisions of Pediatric Cardiology and Critical Care Medicine, Washington University School of Medicine, St. Louis, Missouri, USA, <sup>2</sup>Department of Pediatrics, Divisions of Pediatric Cardiology and Critical Care Medicine, Northwestern University Feinberg School of Medicine, Chicago, Illinois, USA, <sup>3</sup>Department of Pediatrics, Division of Pediatric Cardiology, University of Michigan School of Medicine, Ann Arbor, Michigan, USA, <sup>4</sup>Department of Pediatrics, Division of Hospitalist Medicine, Stanford University School of Medicine, Palo Alto, California, USA and <sup>5</sup>Department of Pediatrics, Division of Pediatric Cardiology, Stanford University School of Medicine, Palo Alto, California, USA

**Abstract**

**Introduction:** Diagnostic errors cause significant patient harm and increase costs. Data characterising such errors in the paediatric cardiac intensive care population are limited. We sought to understand the perceived frequency and types of diagnostic errors in the paediatric cardiac ICU. **Methods:** Paediatric cardiac ICU practitioners including attending and trainee physicians, nurse practitioners, physician assistants, and registered nurses at three North American tertiary cardiac centres were surveyed between October 2014 and January 2015. **Results:** The response rate was 46% (N=200). Most respondents (81%) perceived that diagnostic errors harm patients more than five times per year. More than half (65%) reported that errors permanently harm patients, and up to 18% perceived that diagnostic errors contributed to death or severe permanent harm more than five times per year. Medication side effects and psychiatric conditions were thought to be most commonly misdiagnosed. Physician groups also ranked pulmonary overcirculation and viral illness to be commonly misdiagnosed as bacterial illness. Inadequate care coordination, data assessment, and high clinician workload were cited as contributory factors. Delayed diagnostic studies and interventions related to the severity of the patient's condition were thought to be the most commonly reported process breakdowns. All surveyed groups ranked improving teamwork and feedback pathways as strategies to explore for preventing future diagnostic errors. **Conclusions:** Paediatric cardiac intensive care practitioners perceive that diagnostic errors causing permanent harm are common and associated more with systematic and process breakdowns than with cognitive limitations.

In recent years, diagnostic errors have been gaining notoriety as important contributors to patient morbidity, mortality, and healthcare expenditure.<sup>1–9</sup> Previous studies of cases of diagnostic errors have demonstrated that major errors – those with the potential for significant impact on both therapy and outcome – occur commonly and are most often the result of a combination of system-based and cognitive factors.<sup>8–12</sup> Autopsy-based studies and reviews of malpractice claims have demonstrated that diagnostic errors are evident in 20 to 28% of cases and across a wide variety of clinical conditions, with up to 8% of these errors considered lethal.<sup>3,12,13</sup>

Data characterising diagnostic errors in the paediatric population are limited. In a multisite survey of ambulatory paediatricians, Singh et al determined that diagnostic errors occurred commonly, and that nearly half of respondents reported patient harm as a result of these errors.<sup>14</sup> In paediatric cardiology, diagnostic errors have been reported in a wide range of clinical settings and domains, including in-hospital and ambulatory settings, echocardiography, and paediatric and neonatal ICUs.<sup>12,15–24</sup> Diagnostic errors may contribute significantly to poor outcomes in patients with critical CHD. Goldstein et al reported that diagnostic errors occur more frequently in children who die in the ICU compared with those who die in the emergency department or general ward.<sup>25</sup> Recent studies have evaluated the paediatric and neonatal ICU patient population and noted a diagnostic error rate up to nearly 20%, mostly as a result of misdiagnoses of infectious, neurologic, and gastrointestinal conditions.<sup>12,23,24</sup> However, no reports have specifically described the prevalence or impact of diagnostic errors in the paediatric cardiac ICU setting.

Many factors may uniquely contribute to the vulnerability of the critically ill cardiac population to errors in diagnosis: the wide variety of cardiac conditions, need for emergent surgery and cardiopulmonary bypass, unpredictable response to reparative and

palliative surgery, and limited knowledge of care providers.<sup>26</sup> Despite growing knowledge of their pervasiveness and societal burden, diagnostic errors are a relatively unexplored field within quality in general and paediatric cardiac critical care in particular.<sup>9</sup> As such, a survey is a reasonable start to inform more systematic investigations in the paediatric cardiac ICU. The objective of this study was to improve the understanding of the perceived frequency and types of diagnostic errors in paediatric cardiac ICUs, as well as contributing factors and the perceived impact of such errors.

## Materials and methods

### Setting and participants

This is a cross-sectional, multicentre, survey-based study. We sampled a diverse variety of paediatric cardiac ICU care providers representing the most common practitioners in paediatric cardiac critical care. Specifically, we surveyed cardiac critical care registered nurses and medical provider groups. Registered nurses consisted exclusively of bedside nurses and provider groups included academic attending and trainee physicians – cardiology, critical care medicine, surgery, and neonatology – advanced practice providers – nurse practitioners and physician assistants – and hospitalists from three tertiary paediatric cardiac centres in North America. Each participating centre is a part of an academic children's hospital with a dedicated paediatric cardiac ICU as defined by a specifically delineated cardiac ICU and primary paediatric cardiac ICU care providers. Surgical volumes at the participating centres for the year that surveys were administered were 957 cases for Site A, 783 cases for Site B, and 350 cases for Site C. Site A had 30.9% of cases classified as category 4 or 5 according to the Society of Thoracic Surgeons–European Association for Cardio-Thoracic Surgery, Site B had 28.1%, and Site C had 23.1%. Each paediatric cardiac ICU is staffed by attending physicians, fellows, and advanced practice providers with 24/7 in-house attending physician coverage. Institutional review board approval or waivers were obtained at all three study sites.

With permission, we adapted a 23-item survey developed by Singh et al<sup>14</sup> The survey was administered using an internet-based website (www.SurveyMonkey.com). The original survey was modified so that the language in certain questions and response choices were more pertinent to the paediatric cardiac ICU environment and potential respondents, particularly in relation to specific missed diagnoses or procedures. Additional questions specific to the paediatric cardiac ICU setting were also incorporated. Our survey, after modification, consisted of 21 questions (Supplementary appendix 1). Similar to the original survey, we defined a diagnostic error as an event that occurs when diagnosis is unintentionally delayed, incorrect, or missed, as evidenced by subsequent acquisition of more accurate diagnostic information.<sup>10</sup> Our modified survey assessed demographic information, self-reported frequency of diagnostic errors, and previous training about diagnostic errors. As with the original survey, we sought to assess respondents' perceptions of the most common process breakdowns and contributory factors associated with diagnostic errors, the most commonly misdiagnosed conditions, and the most effective strategies for error prevention. The most significant modifications to the original survey were made in those questions that sought to identify: the most commonly misdiagnosed conditions, and the frequency and

severity of harmful sequelae resulting from diagnostic errors. The answer choices for misdiagnosed conditions were expanded so that they included conditions commonly encountered in paediatric cardiac ICU patients such as pulmonary over-circulation, circulatory shock, and arrhythmia. Respondents were also queried about their perception of the frequency of diagnostic errors occurrences that resulted in varying degrees of harm ranging from no harm to death. For most survey questions, response scales with forced ranking of the top three response choices were used. The modified survey was independently pilot tested by four attending cardiac intensivists for clarity and ease of completion. On the basis of pilot testing results, the estimated completion time for the survey was 15–20 minutes.

Members of the study team – J.M.C., M.L.M., R.A., and A.Y.S. – identified eligible study participants from each participating institution's paediatric cardiac ICU. Registered nurses, advanced practice providers, hospitalists, and attending intensivists who considered the paediatric cardiac ICU as their primary location of employment were included. Trainee physicians who spent a minimum of 3 months in the paediatric cardiac ICU over the course of 3 years of fellowship training were also considered eligible. All eligible participants had a working e-mail account affiliated with their respective institution; e-mail addresses were obtained with the assistance of supervisory staff including Nurse Managers and Fellowship Program Directors, and study team members themselves. Each eligible participant was sent an initial e-mail invitation by a member of the study team to complete the survey followed by additional reminders 2 and 6 weeks following the initial request. Participants accessed and completed the survey anonymously.

### Data analyses

Data were analysed using STATA software (version 12; StataCorp., College Station, Texas, United States of America). Respondents were divided into two groups according to role. Group 1 consisted of bedside registered nurses and Group 2 consisted of attending physicians, fellows, hospitalists, advanced practice providers, and other providers. The number of respondents was inadequate for sub-group analysis. Respondent demographics, as well as variables associated with the frequency, contributing factors, associated conditions, and strategies for prevention of diagnostic errors, were evaluated with standard descriptive statistics. Continuous variables are reported as means  $\pm$  standard deviation for normally distributed variables and median and interquartile range for not normally distributed continuous variables. Categorical variables are reported as counts with percentages and analysed using the Chi-square test. Continuous variables were analysed using the Student's *t*-test for normally distributed variables and Wilcoxon's signed-rank test for skewed variables. For survey questions that asked respondents to rank choices from a list, weighted averages were calculated by first assigning 3 points for the first choice, 2 points for the second choice, 1 point for the third choice, and 0 for all other choices, and then computing the averages.<sup>14</sup> To evaluate for non-randomness of ranking, Friedman's  $\chi^2$  was used, examining items in pairs and adjusting the significance level for multiple comparisons. Analysis of variance was used to determine whether statistically significant differences were present between responses to each question by each participating centre.

## Results

Between October 2014 and January 2015, a total of 435 survey invitations were sent to paediatric cardiac ICU care providers at the three participating study sites, including 144 individuals at Site A, 156 at Site B, and 132 at Site C. The overall response rate was 46%; 62% of respondents self-identified as registered nurses. Among respondents, registered nurses had more years of clinical practice in comparison with provider groups (9.8 versus 6.6 years,  $p=0.04$ ). No differences were observed between the two groups with allocation for teaching and administrative responsibilities; however, compared with provider groups, registered nurses had more allocated clinical time (78 versus 68%,  $p=0.02$ ) and less research time (6 versus 18%,  $p<0.01$ ). Less than half of the respondents received formal or informal training about diagnostic errors in medical or nursing school or during clinical training (Table 1). Participant responses were analysed by centre, and no clustering effect was noted.

### Reported rates and types of diagnostic errors

Overall, 79% of paediatric cardiac ICU practitioners – 92% of providers and 68% of registered nurses – reported five or more diagnostic errors yearly, whereas 46% – 32% of providers and 63% of registered nurses – reported 20 or more diagnostic errors yearly. Most (59%) reported ten or more harmful diagnostic errors, whereas 65% reported five or more permanently harmful errors per year. A minority of respondents (16%) reported five or more errors per year that resulted in severe, permanent harm, and nearly 10% reported that diagnostic errors were associated with five or more deaths per year at their centres.

Non-cardiac conditions including medication side effects and psychiatric conditions were ranked as the most commonly misdiagnosed conditions in paediatric cardiac critical care. In addition, registered nurses identified sepsis as commonly misdiagnosed, whereas provider groups highly ranked pulmonary overcirculation and viral illness being misdiagnosed as bacterial illness (Table 2).

### Process breakdown

Provider groups identified delays in obtaining diagnostic studies related to the severity of the patient's illness as the breakdown in diagnostic processes that was most strongly associated with error, whereas registered nurses reported delays in interventions related to the severity of the patient's condition (Table 3). Among registered nurse and provider groups, system-related errors – specifically, suboptimal care coordination – were reported to be the most common contributory factors for diagnostic errors. Inadequate data assessment was the cognitive factor most strongly associated with diagnostic errors; anchoring on one diagnostic or treatment plan was also ranked highly as a contributor to diagnostic errors. Among miscellaneous factors leading to errors in diagnosis, provider groups most highly ranked time/workload, frequent interruptions, and provider inexperience (Table 4).

### Methods to reduce diagnostic errors

Improved teamwork between all members of the healthcare team was the most highly ranked clinician-based method to minimise diagnostic errors. Provider groups also endorsed close follow-up of patients and solicitation of informal second opinions. Respondents ranked establishing feedback pathways

**Table 1.** Baseline characteristics of survey respondents\*.

Characteristics	Respondents		p
	Nurses (N = 124)	Provider groups** (N = 76)	
Gender			<0.001
Female	118 (95)	43 (57)	
Male	6 (5)	33 (43)	
DE training in school			
Yes, informal training	22 (18)	11 (15)	0.70
Yes, formal training	24 (19)	15 (20)	0.99
No	9 (7)	16 (21)	0.007
Cannot recall	11 (9)	11 (12)	0.25
DE training in clinical curriculum, residency or fellowship			
Yes, informal training	24 (19)	22 (29)	0.12
Yes, formal training	18 (15)	17 (22)	0.18
No	15 (12)	9 (12)	0.99
Cannot recall	8 (6)	6 (8)	0.78
Time in practice			
Years – median (IQR)	7 (5–14)	4 (0–9)	0.003
Allocated proportion of clinical time			
Percentage – median (IQR)	85 (70–100)	70 (60–80)	0.001
Allocated proportion of research time			
Percentage – median (IQR)	0 (0–10)	10 (5–25)	<0.001
Allocated proportion of teaching time			
Percentage – median (IQR)	10 (0–20)	7 (5–10)	0.4
Allocated proportion of administrative time			
Percentage – median (IQR)	0 (0–5)	7 (1–15)	0.002

DE = diagnostic errors; IQR = interquartile range

\*Data presented as n (%).

\*\*Includes medical doctors and advanced practice providers. Statistical analysis was performed with STATA software (version 12; StataCorp., College Station, Texas, United States of America)

to communicate changes in diagnoses to previous providers as the systems-based solution to minimise diagnostic errors (Table 5).

## Discussion

This study is the first to explore diagnostic errors in the dedicated paediatric cardiac ICU setting. Our findings build on recent data evaluating diagnostic errors in a variety of paediatric clinical settings including ambulatory care and paediatric and neonatal intensive care, in both academic and community-based centres. Our study determined that cardiac ICU providers perceive that diagnostic errors in the paediatric cardiac ICU occur frequently; nearly half of them reported diagnostic errors occurring more than 20 times per year. Diagnostic errors leading to harm were also common. Delays in diagnostic studies and interventions

**Table 2.** Respondents' ranking of conditions most commonly misdiagnosed in paediatric cardiac critical care.

Conditions	Total (N = 200)		Nurses (N = 124)		Provider groups* (N = 76)	
	n (%)	Average ranking	n (%)	Average ranking	n (%)	Average ranking
Medication side effects	73 (37)	0.81	37 (30)	0.65	36 (47)	1.08
Psychiatric conditions	41 (21)	0.36	24 (19)	0.37	17 (22)	0.34
Sepsis	34 (17)	0.35	27 (21)	0.44	7 (9)	0.21
Pulmonary overcirculation	27 (14)	0.28	15 (12)	0.25	12 (16)	0.34
Arrhythmia	26 (13)	0.28	14 (11)	0.27	12 (16)	0.32
Viral illness diagnosed as bacterial illness	25 (13)	0.26	14 (11)	0.21	11 (14)	0.34
Stroke	19 (10)	0.19	9 (7)	0.15	10 (13)	0.26
Adrenal insufficiency	7 (4)	0.19	7 (6)	0.11	10 (13)	0.32
Primary heart failure	21 (11)	0.17	12 (10)	0.18	9 (12)	0.16
Seizure	15 (8)	0.16	6 (5)	0.10	9 (12)	0.25
Pulmonary hypertension	17 (9)	0.15	9 (7)	0.11	8 (11)	0.22
Pleural/pericardial effusion	14 (7)	0.12	11 (9)	0.16	3 (4)	0.07
Systemic or pulmonary venous obstruction	14 (7)	0.12	5 (4)	0.06	9 (12)	0.22
Circulatory shock	9 (5)	0.08	6 (5)	0.09	3 (4)	0.07
Endocarditis	5 (3)	0.06	3 (2)	0.06	2 (3)	0.05
Pneumothorax	7 (4)	0.05	3 (2)	0.03	4 (5)	0.08
Pneumonia	5 (3)	0.04	3 (2)	0.06	2 (3)	0.03
Atrial or ventricular shunts	4 (2)	0.04	1 (0.8)	0.02	3 (4)	0.05
Valve regurgitation	4 (2)	0.03	4 (3)	0.04	0 (0)	0 (0)
Outflow tract obstruction	1 (0.5)	0.01	0 (0)	0 (0)	1 (1)	0.03

Friedman test showed significant differences among the ranked options between nurses and provider groups ( $p \leq 0.001$ )

\*Includes medical doctors and advanced practice providers

**Table 3.** Respondents' ranking of breakdowns in the diagnostic processes most commonly associated with diagnostic errors.

Diagnostic processes	Total (N = 200)		Nurses (N = 124)		Provider groups* (N = 76)	
	n (%)	Average ranking	n (%)	Average ranking	n (%)	Average ranking
Delays in intervention related to the severity or complexity of patient's condition	100 (50)	1.08	62 (50)	1.12	38 (50)	1.03
Delays in diagnostic studies related to the severity or complexity of patient's condition or illness	100 (50)	1.04	56 (45)	0.96	44 (58)	1.17
Problems with ordering, performance, or interpretation of diagnostic/laboratory tests	87 (44)	0.81	51 (41)	0.79	36 (47)	0.84
Failure to follow-up on abnormal diagnostic/lab test results	67 (34)	0.59	40 (32)	0.51	27 (36)	0.72
Failure to gather available medical information through history and physical and/or review of previous medical charts	60 (30)	0.57	34 (27)	0.51	26 (34)	0.67

Friedman test showed significant differences among the ranked options between nurses and provider groups ( $p \leq 0.001$ )

\*Includes medical doctors and advanced practice providers

were the most commonly reported process breakdowns, and inadequate care coordination, data assessment, and high clinician workload were identified as important contributory factors. All clinicians ranked improving teamwork and feedback pathways as

strategies most likely to be effective in preventing or mitigating the impact of diagnostic errors.

Our finding that paediatric cardiac ICU practitioners perceive harmful diagnostic errors occur frequently is aligned with recent

**Table 4.** Respondents' ranking of most common contributory factors for diagnostic errors.

Type of contributory Factors	Total (N = 200)		Nurses (N = 124)		Provider groups* (N = 76)	
	n (%)	Average ranking	n (%)	Average ranking	n (%)	Average ranking
Types of factors involved						
System-related errors	125 (63)	1.54	70 (56)	1.44	55 (72)	1.70
Interplay of cognitive and system-related factors	119 (60)	1.10	65 (52)	0.93	54 (71)	1.38
Cognitive errors	99 (50)	0.82	55 (44)	0.75	44 (58)	0.93
No-fault errors	71 (36)	0.69	53 (43)	0.81	18 (24)	0.49
System-related factors						
Inadequate care coordination	118 (59)	1.43	68 (55)	1.28	50 (66)	1.67
Personnel issues	85 (43)	0.89	55 (44)	0.96	30 (39)	0.78
Cultural issues	51 (26)	0.42	26 (21)	0.35	25 (33)	0.54
Technical problems	48 (24)	0.41	35 (28)	0.47	13 (17)	0.32
Work environment issues	32 (16)	0.27	16 (13)	0.23	25 (33)	0.32
Inadequate supervision	27 (14)	0.24	16 (13)	0.22	11 (14)	0.29
Inadequate information systems	26 (13)	0.23	13 (10)	0.18	13 (17)	0.32
Unavailability of subspecialist	21 (11)	0.17	10 (8)	0.13	11 (14)	0.25
Unavailability of resources	6 (3)	0.05	4 (3)	0.06	2 (2)	0.03
Cognitive factors involved						
Inadequate data assessment	106 (53)	1.19	54 (44)	0.85	52 (68)	1.74
Inadequate recognition of critical information	108 (54)	1.10	63 (51)	1.10	45 (59)	1.11
Inadequate data gathering/work up	93 (47)	0.83	51 (41)	0.77	42 (55)	0.95
Inadequate knowledge base	71 (36)	0.69	45 (36)	0.75	26 (34)	0.61
Other factors involved						
Focused on one diagnosis or treatment plan	116 (58)	1.46	63 (51)	1.25	53 (51)	1.80
Misled by advice	94 (47)	0.92	51 (41)	0.81	43 (57)	1.08
Misled by normal results	79 (40)	0.66	43 (35)	0.56	43 (35)	0.82
Overconfidence about ability	68 (34)	0.59	40 (32)	0.61	28 (32)	0.55
Having an attitude towards the patient	21 (10)	0.16	16 (13)	0.21	5 (7)	0.08
Types of miscellaneous factors involved						
Time/workload	94 (47)	0.95	54 (44)	0.86	40 (53)	1.11
Provider inexperience	65 (33)	0.77	34 (27)	0.62	31 (41)	1.00
Interruptions	71 (36)	0.70	35 (28)	0.52	36 (47)	1.01
Parental pressure	41 (21)	0.45	30 (24)	0.56	11 (14)	0.26
Health literacy	42 (21)	0.33	26 (21)	0.33	16 (21)	0.33
Language barriers	27 (14)	0.26	15 (12)	0.25	12 (16)	0.26
Provider over-reliance on memory	24 (12)	0.21	9 (7)	0.15	15 (20)	0.32
Emotions affecting work	8 (4)	0.06	5 (4)	0.07	3 (4)	0.04
Provider insensitivity	6 (3)	0.05	5 (4)	0.07	1 (1)	0.01

Friedman test showed significant differences among the ranked options between nurses and provider groups ( $p \leq 0.001$ )

\*Includes medical doctors and advanced practice providers



**Table 5.** Respondents' ranking of likely effective provider-based and system-based solutions.

	Total (N = 200)		Nurses (N = 124)		Physician groups* (N = 76)	
	n (%)	Average ranking	n (%)	Average ranking	n (%)	Average ranking
Provider-based solutions						
Improving Teamwork	94 (47)	1.09	48 (39)	0.93	46 (61)	1.37
Close follow-up of patients	83 (42)	0.87	41 (33)	0.66	42 (55)	1.21
Informal second opinions	58 (29)	0.58	30 (24)	0.46	28 (37)	0.78
Empowering patients and families	36 (18)	0.29	20 (16)	0.30	16 (21)	0.28
More training in clinical reasoning	36 (18)	0.27	19 (15)	0.26	17 (22)	0.29
Increasing time spent in encounters	29 (15)	0.26	20 (16)	0.29	9 (12)	0.21
System-based solutions						
Feedback pathways	95 (48)	1.04	48 (39)	0.84	47 (62)	1.37
Access to EMR	68 (34)	0.70	43 (35)	0.70	25 (33)	0.70
Diagnostic decision support tools	69 (35)	0.63	32 (26)	0.52	37 (49)	0.80
Peer review process	55 (28)	0.52	30 (24)	0.40	25 (33)	0.71
Increased access to consultants and experts	53 (27)	0.47	33 (27)	0.49	20 (26)	0.43

EMR = electronic medical record

\*Includes medical doctors and advanced practice providers

Friedman test showed significant differences among the ranked options ( $p \leq 0.001$ )

findings suggesting the ubiquity of harmful and costly diagnostic errors in medicine. Diagnostic errors, compared with other safety concerns, are found to account for the most severe patient harm, the largest fraction of malpractice claims, and the highest total of penalty payouts; they are also thought by physicians to be more likely to cause death.<sup>6,9,23,27</sup> In children, Singh et al found that most general paediatricians report diagnostic errors to occur one to two times per month, and harmful diagnostic errors one to two times per year.<sup>14</sup> In comparison, we found that practitioners report diagnostic errors and harmful diagnostic errors occurring at a higher perceived frequency and severity in the paediatric cardiac ICU setting. More recently, Cifra et al<sup>23</sup> noted that diagnostic errors occurred in 21% of cases discussed at the Morbidity and Mortality Conferences in the paediatric ICU. A 12.1% incidence of errors and high inter-rater reliability of error detection was found using a structured medical review tool to evaluate for diagnostic errors.<sup>14,24</sup> Furthermore, our findings are consistent with the experience that inpatient diagnostic errors were more likely to be lethal.<sup>6,23</sup>

Interestingly, psychiatric conditions and medication side effects were among the most common conditions thought by care providers to be associated with diagnostic errors in the paediatric cardiac ICU. Our findings were similar to those of Singh et al who similarly found that medication side effects and psychiatric conditions were mostly commonly misdiagnosed among paediatricians.<sup>14</sup> Physician providers also highly ranked congestive heart failure resulting from excessive pulmonary circulation and misdiagnosis of viral illness as bacterial as conditions or occurrences associated with diagnostic errors.<sup>28,29</sup> This finding suggests that the application of newer technologies within the paediatric cardiac ICU population has not yet achieved reliable prediction of cardiac output and the components of relative pulmonary and systemic blood flow. Similarly, current clinical biomarkers intended to screen for bacterial infections in critically ill children following congenital heart surgery

are limited by inadequate sensitivity and specificity.<sup>30</sup> Consequently, there is widespread and variable antibiotic use among children's hospitals in the United States, indicating an inability to target therapy accurately in situations of a suspected clinical infection.<sup>31</sup> By association, diagnostic errors in these settings may have important deleterious implications in the management of susceptible patients with shunted pulmonary circulation or in targeting appropriate therapies for patients with suspected infection.

We found that delays in investigation and intervention of abnormal medical data were ranked as the process breakdowns that were most highly associated with diagnostic errors in the paediatric cardiac ICU. Contrary to the ambulatory setting,<sup>14</sup> the significance of data management in the ICU has important implications in timely diagnosis and patient management.<sup>28,29</sup> With considerable advances in technology, intensive care medicine is progressively becoming a quantitative domain.<sup>32,33</sup> Intensive care practitioners practice under conditions of uncertainty and are required to process voluminous amounts of patient data and think heuristically to arrive at binary decisions within short time frames. Paediatric cardiac surgery has been commonly associated with high patient complexity, cognitive overload, need for multiple specialists, rapidly changing plans, and uncertain prognosis.<sup>26</sup> As a result, paediatric cardiac ICU practitioners face considerable diagnostic uncertainty, which can contribute to unplanned re-interventions and increased mortality in this vulnerable population.<sup>12,26,34</sup> Not surprisingly, participants in our study also identified inadequate care coordination, data assessment, and physician workload as key factors that lead to errors in diagnosis. Our findings suggest that cognitive and data management solutions to aid practitioners in better understanding when and how patients require further investigational studies and/or therapies may mitigate the occurrence and impact of diagnostic errors.<sup>28,29,35</sup>



Our findings suggest that targeting interpersonal communication and interactions with the healthcare delivery system by improving teamwork and feedback pathways may be effective strategies to mitigate diagnostic errors in the paediatric cardiac ICU. The frequent handover of patient information during provider shift changes intrinsic to the inpatient healthcare delivery highlights that multiple clinicians share diagnostic and management decisions. Our findings are consistent with high reliability organisation theory, which suggests that cross training, standardisation, and shared situational awareness are system-based solutions that lead to decreased variability and improved efficiency, thereby promoting safety in complex environments such as the paediatric cardiac ICU.<sup>36,37</sup>

Participants in our survey noted breakdowns in several dimensions of the Diagnostic Error Evaluation and Research taxonomy of the diagnostic framework.<sup>28,29</sup> We found that diagnostic errors in the paediatric cardiac ICU do not result from one specific area but are a result from breakdowns in various limbs of the diagnostic process. As such, it is sensible to approach the problem of diagnostic errors from a multifaceted perspective.<sup>23,28,29</sup> Recent reports focus on a cohesion of solutions with workflow in addition to grouping errors based on clinical context rather than specific cause.<sup>1,27</sup> A multifaceted approach addresses the breadth of contributory factors, which call for different but similarly integrated mechanisms to reduce diagnostic errors.<sup>9,23</sup> Interestingly, our findings demonstrated that respondents did not rank highly the electronic health record as a stratagem to address diagnostic errors. This is contrary to findings in the outpatient setting and may represent differences related to a need for acute, time-critical decision-making in the paediatric cardiac ICU.<sup>3,38</sup>

Our study had some important limitations. First, this study was administered to paediatric cardiac ICU care providers in large, academic centres with dedicated paediatric cardiac ICUs. Some studies have noted a complex and inverse relationship between paediatric cardiac surgical case volumes and poor outcomes, particularly with higher levels of surgical complexity; this may be extrapolated to suggest a lower frequency of diagnostic errors in centres such as the three that are participating in this study.<sup>39–41</sup> As such, the results may not be generalisable to smaller paediatric cardiac ICUs, ICUs with mixed cardiac and non-cardiac populations, and non-academic programmes. Participant responses were analysed by centre, and no important differences were found to suggest clustering. Second, the survey-based nature of this study with self-reported responses is subject to recall bias of under-estimated significance and can result in both over- and under-estimation of error frequency. Although their ability to evaluate the incidence and aetiology of diagnostic errors is limited, survey-based studies have identified diagnostic errors as a major safety concern among both providers and patients.<sup>27</sup> Seeking the perceptions of medical care providers in various settings is widely accepted as a means for gaining insightful and useful information to understand the nature of diagnostic errors and improve patient safety.<sup>14,42–46</sup> Additionally, we included non-physician providers as part of the study participant where traditionally the diagnostic process is associated with physicians. The decision to include non-physician providers in this study speaks to the close relationship between physician and non-physician team members in the paediatric cardiac ICU and the key role of bedside nurses in the gathering of medical information necessary for the diagnostic process. Interestingly, the responses of the two participant groups were remarkably concordant. Finally, owing to the self-reported nature of our data, we were unable to identify which errors actually caused harm, and our findings require additional validation.

## Conclusions

A growing body of literature has identified diagnostic error as a relatively under-reported yet significant contributor to patient morbidity and mortality. This study provides insight into the severity of the problem as perceived by frontline providers in the cardiac ICU. Inadequate care coordination, data assessment, and high clinician workload were identified as important contributory factors. Strategies targeting improvements in teamwork, feedback pathways, and provider workload were endorsed as effective approaches to mitigate the incidence and impact of diagnostic errors. These findings may provide insights for understanding the contributory factors, as well as the complex interplay of the systemic and cognitive factors, underlying diagnostic errors. As such, the data from our study could be used to inform future efforts to identify and mitigate diagnostic errors in the paediatric cardiac ICU.

**Acknowledgements.** None.

**Financial Support.** Cardiovascular Intensive Care Research Fund, Stanford Children's Hospital, Palo Alto, California.

**Conflicts of Interest.** None.

**Ethical Standards.** Institutional Review Board approval or waiver was obtained from Stanford University School of Medicine, Northwestern University Feinberg School of Medicine, and University of Michigan School of Medicine.

**Supplementary material.** To view supplementary material for this article, please visit <https://doi.org/10.1017/S1047951117002906>

## References

1. Newman-Toker DE, Pronovost PJ. Diagnostic errors – the next frontier for patient safety. *JAMA* 2009; 301: 1060–1062.
2. Leape LL, Berwick DM, Bates DW. *JAMA* 2002; 288: 2405.
3. Winters B, Custer J, Galvagno SM Jr, et al. Diagnostic errors in the intensive care unit: a systematic review of autopsy studies. *BMJ Qual Saf* 2012; 21: 894–902.
4. Leape LL, Brennan TA, Laird N, et al. The nature of adverse events in hospitalized patients. *N Engl J Med* 1991; 324: 377–384.
5. Gandhi TK, Kachalia A, Thomas EJ, et al. Missed and delayed diagnoses in the ambulatory setting: a study of closed malpractice claims. *Ann Intern Med* 2006; 145: 488–496.
6. Tehrani SS, Lee H, Mathews SC, et al. 25-Year summary of US malpractice claims for diagnostic errors 1986–2010: an analysis from the National Practitioner Data Bank. *BMJ Qual Saf* 2013; 22: 672–680.
7. McAbee GN, Donn SM, Mendelson RA, et al. Medical diagnoses commonly associated with pediatric malpractice lawsuits in the United States. *Pediatrics* 2008; 122: e1282–e1286.
8. Carroll AE, Buddenbaum JL. Malpractice claims involving pediatricians: epidemiology and etiology. *Pediatrics* 2007; 120: 10–17.
9. Balogh EP, Miller BT, Ball JR, Eds. *Improving Diagnosis in Health Care*. The National Academies Press, Washington, DC, 2015.
10. Graber ML, Franklin N, Gordon R. Diagnostic error in internal medicine. *Arch Intern Med* 2005; 165: 1493–1499.
11. Schiff GD, Hasan O, Kim S, et al. Diagnostic error in medicine. *Arch Intern Med* 2009; 169: 1881–1887.
12. Custer J, Winters B, Goode V, et al. Diagnostic errors in the pediatric and neonatal ICU: a systematic review. *Pediatr Crit Care Med* 2015; 16: 29–36.
13. Shojania KG, Burton EC, McDonald KM, et al. Changes in rates of autopsy-detected diagnostic errors over time. *JAMA* 2003; 289: 2849–2856.
14. Singh H, Thomas EJ, Wilson L, et al. Errors of diagnosis in pediatric practice: a multisite survey. *Pediatrics* 2010; 126: 70–79.

15. Amaral F, Granzotti JA. Initial diagnostic errors in children suspected of having heart disease. prevalence and long-term consequences. *Arq Bras Cardiol* 2003; 81: 152–155.
16. Taggart NW, Haglund CM, Tester DJ, et al. Diagnostic miscues in congenital long-QT syndrome. *Circulation* 2007; 115: 2613–2620.
17. Benavidez OJ, Gavreau K, Jenkins KJ, et al. Diagnostic errors in pediatric echocardiography: development of taxonomy and identification of risk factors. *Circulation* 2008; 117: 2995–3001.
18. Ng B, Hokanson J. Missed congenital heart disease in neonates. *Congenit Heart Dis* 2010; 5: 292–296.
19. Jacques F, Anand V, Hickey E, et al. Medical errors: the performance gap in hypoplastic left heart syndrome and physiologic equivalents? *J Thorac Cardiovasc Surg* 2013; 145: 1465–1475.
20. Chang RK, Gurvitz M, Rodriguez S. Missed diagnosis of critical congenital heart disease. *Arch Pediatr Adolesc Med* 2008; 162: 969–974.
21. Hansen ML, Gunn PW, Kaelber DC. Underdiagnosis of hypertension in children and adolescents. *JAMA* 2007; 298: 874–879.
22. Saraf RP, Suresh PV, Maheshwari S, et al. Pediatric echocardiograms performed at primary centers: Diagnostic errors and missing links!. *Ann Pediatr Cardiol* 2015; 8: 20–24.
23. Cifra CL, Jones KL, Ascenzi J, et al. Diagnostic errors in a PICU: insights from the morbidity and mortality conference. *Pediatr Crit Care Med* 2015; 16: 468–476.
24. Goldstein B, Metlay L, Cox C, et al. Association of pre mortem diagnosis and autopsy findings in pediatric intensive care unit versus emergency department versus ward patients. *Crit Care Med* 1996; 24: 683–686.
25. Davalos MC, Samuels K, Meyer AND, et al. Finding diagnostic errors in children admitted to the PICU. *Pediatr Crit Care Med* 2017; 18: 265–271.
26. Thiagarajan RR, Bird GL, Harrington K, et al. Improving safety for children with cardiac disease. *Cardiol Young* 2007; 17 (Suppl 2): 127–132.
27. Graber ML. The incidence of diagnostic error in medicine. *BMJ Qual Saf* 2013; 22: ii21–ii27.
28. Singh H, Sittig DF. Advancing the science of measurement of diagnostic errors in healthcare: the Safer Dx framework. *BMJ Qual Saf* 2015; 24: 103–110.
29. Schiff GD, Kim S, Abrams R, et al. Diagnosing Diagnosis Errors: Lessons from a Multi-institutional Collaborative Project. *Advances in Patient Safety: From Research to Implementation (Volume 2: Concepts and Methodology)*. Agency for Healthcare Research and Quality (US), Rockville, MD, 2005.
30. Shin AY, Jin B, Hao S, et al. Utility of clinical biomarkers to predict central line-associated bloodstream infections after congenital heart surgery. *Pediatr Infect Dis J* 2015; 34: 251–254.
31. Gerber JS, Newland JG, Coffin SE, et al. Variability in antibiotic use at children's hospitals. *Pediatrics* 2010; 126: 1067–1073.
32. Maher KO, Chang AC, Shin A, et al. Innovation in pediatric cardiac intensive care: an exponential convergence toward transformation of care. *World J Pediatr Congenit Heart Surg* 2015; 6: 588–596.
33. Bronicki RA, Chang AC. Management of the postoperative pediatric cardiac surgical patient. *Crit Care Med* 2011; 39: 1974–1984.
34. Mazwi ML, Brown DW, Marshall AC, et al. Unplanned reinterventions are associated with postoperative mortality in neonates with critical congenital heart disease. *J Throat Cardiovasc Surg* 2013; 145: 671–677.
35. Thammasitboon S, Cutrer WB. Diagnostic decision-making and strategies to improve diagnosis. *Curr Probl Pediatr Adolesc Health Care* 2013; 43: 232–241.
36. Wilson KA, Burke CS, Priest HA, et al. Promoting health care safety through training high reliability teams. *Qual Saf Health Care* 2005; 14: 303–309.
37. Weick KE, Sutcliffe KM. *Managing the Unexpected: Resilient Performance in an Age of Uncertainty*, 2nd ed. Jossey-Bass, San Francisco, USA, 2007.
38. Hartzband P, Groopman J. Off the record- avoiding the pitfalls of going electronic. *N Engl J Med* 2008; 358: 1656–1658.
39. Welke KF, O'Brien SM, Peterson ED, et al. The complex relationship between pediatric cardiac surgical case volumes and mortality rates in a national clinical database. *J Thorac Cardiovasc Surg* 2009; 137: 1133–1140.
40. Preston L, Turner J, Booth A, et al. Is there a relationship between surgical case volume and mortality in congenital heart disease services? A rapid evidence review. *BMJ Open* 2015; 5: e009252.
41. Pasquali SK, Jacobs JP, He X, et al. The complex relationship between center volume and outcome in patients undergoing the Norwood operation. *Ann Thorac Surg* 2012; 93: 1556–1562.
42. Källberg AS, Ehrenberg A, Florin J, Östergren J, Göransson K. Physicians' and nurses' perceptions of patient safety risks in the emergency department. *Int Emerg Nurs* 2017; 33: 14–19.
43. Sklar DP, Crandall CS, Zola T, Cunningham R. Emergency physician perceptions of patient safety risks. *Ann Emerg Med* 2010; 55: 336–340.
44. Magid DJ, Sullivan AF, Cleary PD, et al. The safety of emergency care systems: results of a survey of clinicians in 65 US emergency departments. *Ann Emerg Med* 2009; 53: 715–723.
45. Bowie P, Halley L, Blamey A, Gillies J, Houston N. Qualitative evaluation of the Safety and Improvement in Primary Care (SIPC) pilot collaborative in Scotland: perceptions and experiences of participating care teams. *BMJ Open* 2016; 6: e009526.
46. Thomas DB, Newman-Toker DE. Diagnosis is a team sport- partnering with allied health professionals to reduce diagnostic errors. *Diagnosis (Berl)* 2016; 3: 49–59.