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Risk of Subsequent Joint Arthroplasty in Contralateral or Different Joint After Index Shoulder, Hip, or Knee Arthroplasty

Association with Index Joint, Demographics, and Patient-Specific Factors

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Investigation performed at the Washington University School of Medicine, St. Louis, Missouri

Background: The risk of subsequent joint replacement following an index joint replacement for osteoarthritis and the association of this risk with demographic and patient-specific factors are not well understood. The purpose of this study was to determine how demographic and other patient-specific factors are associated with the risk of subsequent joint replacement in the contralateral or a different joint following an index joint replacement for osteoarthritis.

Methods: The Healthcare Cost and Utilization Project (HCUP) State Inpatient Database (SID) of New York was used to identify the first (primary) total hip arthroplasty (THA), total knee arthroplasty (TKA), or total shoulder arthroplasty (TSA) and the risk of subsequent joint replacement in the contralateral or a different joint over 5 to 8 years. The association of demographic and other patient-specific factors with subsequent joint replacement was assessed via multivariable Cox proportional hazards modeling. We also investigated the time between the index and subsequent joint replacement.

Results: Of 85,616 patients, 20,223 (23.6%) underwent a subsequent replacement of the contralateral joint within 5 to 8 years (median, 343 days) after the index joint replacement. The strongest predictors were obesity (adjusted hazard ratio [HR] = 1.28; $p < 0.001$) and index TKA compared with THA (1.72; $p < 0.001$). A total of 3,197 patients (3.7%) underwent a subsequent replacement of a different joint within 5 to 8 years (median, 876 days) after the index joint replacement. The strongest predictors were obesity (adjusted HR = 1.41; $p < 0.001$) and index TSA compared with THA (adjusted HR = 2.52; $p < 0.001$).

Conclusions: There is a relatively high risk of subsequent replacement of the contralateral joint and a relatively low risk of subsequent replacement of a different joint within 5 to 8 years after an index THA, TKA, or TSA. Obesity was associated with a higher risk of subsequent replacement of the contralateral joint or a different joint.

Level of Evidence: Prognostic Level IV. See Instructions for Authors for a complete description of levels of evidence.

The prevalence of symptomatic osteoarthritis is substantial and rising^{1,2}. Osteoarthritis is projected to affect 25% of the U.S. adult population by 2020, with working-age adults (ages 45 to 64 years) comprising one-third of cases². Current data suggest a similar prevalence in Europe, and while the prevalence throughout the world is variable, osteoarthritis

remains a major cause of disability globally^{3,4}. Osteoarthritis is more common in older⁵ and obese⁵⁻⁷ patients. As the world population ages and becomes heavier, the prevalence of symptomatic osteoarthritis will continue to increase^{2,8,9}. Similarly, the incidence of total knee arthroplasty (TKA), total hip arthroplasty (THA), and total shoulder arthroplasty (TSA) has substantially

*Joseph D. Lamplot, MD, and Anchal Bansal, MD, contributed equally to this study.

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increased over the past 2 decades and is projected to continue to increase⁸⁻¹¹.

While several studies have projected a significantly increased demand for joint replacement^{8,12}, there have been few large database studies addressing the risk of subsequent joint replacement following an index joint replacement for osteoarthritis¹³ and, to our knowledge, no large database study has addressed the association of demographic and other patient-specific factors with subsequent joint replacement. The primary purpose of this study was to determine which demographic and other patient-specific factors are associated with the risk of subsequent replacement of the contralateral or a different joint following an index joint replacement for osteoarthritis. Specifically, we sought to determine (1) the proportional distribution of subsequent joint replacements among the hip, knee, and shoulder following index THA, TKA, and TSA and (2) whether age, sex, smoking status, obesity, and diabetes mellitus were associated with an increased risk of subsequent joint replacement following an index joint replacement.

Materials and Methods

The Healthcare Cost and Utilization Project (HCUP) State Inpatient Database (SID) maintained by the Agency for Healthcare Research and Quality (AHRQ) contains administrative data relevant to hospital reimbursement for inpatient encounters in all continental U.S. states. International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM) codes were used to identify the first recorded primary THA (81.51), TKA (81.54), or TSA (81.80) in the period from 2006 to 2008 for patients in the New York SID. The indication for joint replacement was based on the ICD-9-CM code recorded as “Diagnosis #1” (primary admission diagnosis) for the inpatient encounter when the joint replacement was performed. In addition to primary osteoarthritis of the hip, knee, or shoulder, we compiled a list of alternate primary diagnoses consistent with primary osteoarthritis that were eligible for inclusion (see Appendix Table E-1). We had access to the database starting in 2005, when the patient-link variable was introduced, allowing multiple hospital encounters for a single patient to be linked together. We began to record index joint replacements starting in 2006 and therefore had at least 1 year of inpatient data on every patient prior to what was recorded as their index joint replacement.

Patients with a primary diagnosis associated with a joint-compromising disease process other than osteoarthritis, diagnosed before or after the index or subsequent joint replacement, were excluded from the study (see Appendix Table E-2). Patients who underwent revision arthroplasty with documentation of primary joint replacement involving another joint prior to 2006, those who had a previous arthrotomy, and those who died had during the index joint replacement were also excluded (see Appendix Table E-3). Although laterality was not defined in the data set because of limitations of ICD-9-CM coding, revision joint replacements were differentiated from primary joint replacements by ICD-

9-CM codes. Seventeen patients who had multiple joint replacements performed on different joints (that is, not bilateral TKA or THA) during the index admission were also excluded. Elective multiple-joint replacement involving different joints is extremely rare, and it was difficult to ascertain the primary joint involved or any extenuating factors contributing to the operative plans.

We then determined the incidence proportion of subsequent joint replacement following index joint replacement. The cohort was limited to individuals who underwent the index joint replacement from 2006 to 2008, with data available through 2013, thus providing a minimum 5-year follow-up on all included patients. We identified all individuals who underwent subsequent joint replacement, applying the same, aforementioned exclusion criteria as used to exclude index joint replacements. Patients with no additional hospital admissions following index joint replacement, or with readmissions with no indication of a subsequent joint replacement or any ICD-9-CM diagnosis meeting the exclusion criteria, were assumed to have not undergone subsequent joint replacement and to have not developed any exclusion criteria. These individuals were maintained in the analysis as part of the eligible at-risk population.

The primary outcome was subsequent joint replacement for end-stage osteoarthritis in ≥ 1 joints within 5 to 8 years after the index joint replacement. Secondary outcomes included the proportional distribution of subsequent joint replacement among the hip, knee, and shoulder. The relative risk of, age at, and most likely site of subsequent joint replacement were then calculated for, and compared among, the 3 index procedures. We also investigated the association between age, sex, obesity,

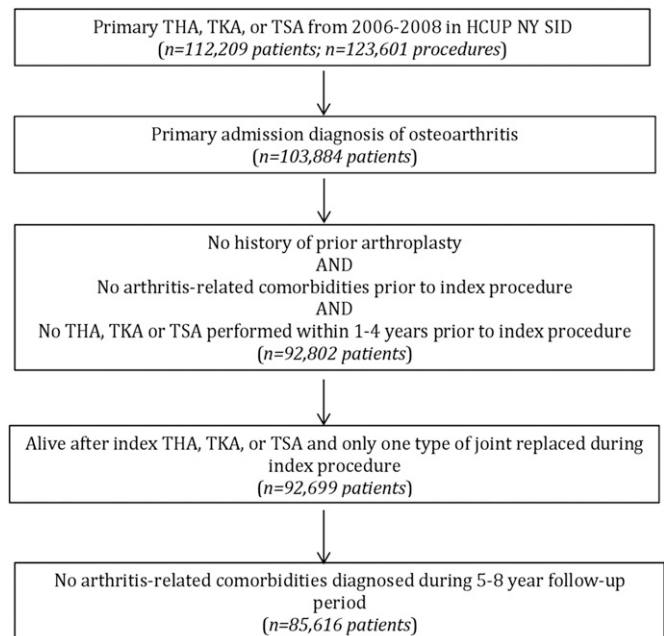


Fig. 1
Patient selection flow diagram.

TABLE I Patient Demographics

Demographics	No. (%) of Patients* (N = 85,616)
Female	54,366 (63.5)
Obese	12,917 (15.1)
Diabetic	14,428 (16.9)
Smoker	3,974 (4.6)
Index total joint replacement	
THA	30,144 (35.2)
TKA	53,931 (63.0)
TSA	1,541 (1.8)
Age at index total joint replacement (yr)	66.2 ± 10.9

*With the exception of age, which is given as the mean and SD.

diabetes mellitus, and smoking and the incidence proportion of, time interval to, and age at subsequent joint replacement. Individuals who underwent bilateral same-joint replacement as the index surgery were analyzed as having an index and second surgery performed on the same joint (i.e., the second surgery on the contralateral joint), an age at the second surgery equal to the age at the index surgery, and a time between the surgical procedures equal to 0 days.

Statistical Analysis

Continuous variables are presented as the mean and standard deviation (SD) or the median with first and third quartiles

(Q1 and Q3, respectively) depending on the distribution of the data. Categorical variables are presented as numbers and percentages. Chi-square tests were used to compare the proportions of subsequent joint replacements on other joints with those on the contralateral joint. The association of the index surgery and demographic and other patient-specific factors with subsequent replacement of the contralateral joint, a different joint, or either outcome was assessed via multi-variable Cox proportional hazards modeling with robust standard errors to account for clustering of patients within hospitals. Data analysis was conducted with SAS Enterprise Guide software (SAS Institute).

Results

Patient Population

The patient-selection algorithm and resulting eligible patient population are summarized in Figure 1. The index procedures were primarily unilateral, with bilateral same-joint replacement comprising only 5.3% of all index procedures. Demographics are presented in Table I.

Incidence Proportion and Location of Subsequent Joint Replacement

Overall, 27.3% (95% confidence interval [CI] = 27.1% to 27.7%) of the patients underwent a subsequent joint replacement within 5 to 8 years after the index total joint replacement, at a median of 381 days (Q1, Q3 = 105, 982) following the index joint replacement. Regardless of whether the index joint replacement was a THA, TKA, or TSA, the subsequent replacement was most frequently performed in the joint

TABLE II Prevalence of Subsequent Total Joint Replacement at 5 to 8 Years According to Index Joint Replaced

Index/2nd Event	No. (%)	Relative Risk (95% CI)*	P Value
Index THA			
2nd total joint replacement	6,750 (22.4)		
THA	5,198 (17.2)	Reference	Reference
TKA	1,445 (4.8)	0.28 (0.27, 0.29)	<0.001
TSA	107 (0.4)	0.02 (0.02, 0.02)	<0.001
No 2nd total joint replacement	23,394 (77.6)		
Index TKA			
2nd total joint replacement	16,278 (30.2)		
THA	1,226 (2.3)	0.08 (0.08, 0.09)	<0.001
TKA	14,835 (27.5)	Reference	Reference
TSA	217 (0.4)	0.02 (0.01, 0.02)	<0.001
No 2nd total joint replacement	37,653 (69.8)		
Index TSA			
2nd total joint replacement	392 (25.4)		
THA	61 (4.0)	0.32 (0.25, 0.41)	<0.001
TKA	141 (9.1)	0.74 (0.63, 0.88)	<0.001
TSA	190 (12.3)	Reference	Reference
No 2nd total joint replacement	1,149 (74.6)		

*Relative risk compared with contralateral joint.

TABLE III Subsequent Total Joint Replacement of Contralateral Joint

Demographics	No. (%)*		Adjusted HR (95% CI)	P Value
	Subsequent Replacement of Contralateral Joint (N = 20,223)	No Subsequent Replacement of Contralateral Joint (N = 65,393)		
Female	13,340 (66.0)	41,026 (62.7)	1.08 (1.05, 1.12)	<0.001
Obese	3,843 (19.0)	9,074 (13.9)	1.28 (1.22, 1.34)	<0.001
Diabetic	3,250 (16.1)	11,178 (17.1)	0.87 (0.83, 0.91)	<0.001
Smoker	835 (4.1)	3,139 (4.8)	0.80 (0.75, 0.85)	<0.001
Index total joint replacement				
THA	5,198 (25.7)	24,946 (38.1)	Reference	Reference
TKA	14,835 (73.4)	39,096 (59.8)	1.72 (1.66, 1.79)	<0.001
TSA	190 (0.9)	1,351 (2.1)	0.76 (0.66, 0.87)	<0.001
Age at index total joint replacement (yr)	64.7 ± 9.9	66.7 ± 11.1	0.99 (0.98, 0.99)	<0.001

*With the exception of age, which is given as the mean and SD.

contralateral to the index procedure (23.6% [95% CI = 23.3% to 23.9%]), at a median of 343 days (Q1, Q3 = 70, 871) following the index joint replacement. Similar to the distribution of the index joint replacements, the subsequent joint replacements were most commonly performed on the knee, comprising 70.1% (95% CI = 69.5% to 70.7%) of all subsequent joint replacements performed (Table II). Patients who underwent an index TKA were more likely to undergo subsequent replacement of the contralateral joint within 5 to 8 years than those who underwent an index THA or TSA (Table III). A total of 3,197 patients (3.7% [95% CI = 3.6% to 3.9%]) underwent a subsequent replacement of a different joint within 5 to 8 years

after the index joint replacement, at a median of 876 days (Q1, Q3 = 413, 1,365) after the index joint replacement. Patients who underwent an index TSA were more likely to undergo subsequent replacement of a different joint than those treated with THA or TKA (Table IV).

Factors Associated with Subsequent Joint Replacement

Age

Patients who underwent subsequent replacement of the contralateral joint were younger at the time of the index total joint replacement than those who did not (64.7 ± 9.9 compared with 66.7 ± 11.1 years, $p < 0.001$; adjusted hazard ratio [HR] = 0.99

TABLE IV Subsequent Total Joint Replacement of Different Joint

Demographics	No. (%)*		Adjusted HR (95% CI)	P Value
	Subsequent Replacement of Different Joint (N = 3,197)	No Subsequent Replacement of Different Joint (N = 82,419)		
Female	2,187 (68.4)	52,179 (63.3)	1.31 (1.21, 1.43)	<0.001
Obese	542 (17.0)	12,375 (15.0)	1.41 (1.30, 1.54)	<0.001
Diabetic	461 (14.4)	13,967 (16.9)	0.85 (0.77, 0.94)	0.001
Smoker	124 (3.9)	3,850 (4.7)	0.83 (0.71, 0.99)	0.034
Index total joint replacement				
THA	1,552 (48.5)	28,592 (34.7)	Reference	Reference
TKA	1,443 (45.1)	52,488 (63.7)	0.55 (0.49, 0.61)	<0.001
TSA	202 (6.3)	1,339 (1.6)	2.52 (2.14, 2.98)	<0.001
Age at index total joint replacement (yr)	67.7 ± 9.3	66.1 ± 10.9	1.01 (1.01, 1.01)	<0.001

*With the exception of age, which is given as the mean and SD.

TABLE V Subsequent Total Joint Replacement of Contralateral or Different Joint

Demographics	No (%)*		Adjusted HR (95% CI)	P Value
	Subsequent Replacement (N = 23,420)	No Subsequent Replacement (N = 62,196)		
Female	15,527 (66.3)	38,839 (62.4)	1.11 (1.08, 1.15)	<0.001
Obese	4,385 (18.7)	8,532 (13.7)	1.30 (1.24, 1.35)	<0.001
Diabetic	3,711 (15.8)	10,717 (17.2)	0.86 (0.83, 0.90)	<0.001
Smoker	959 (4.1)	3,015 (4.8)	0.80 (0.76, 0.85)	<0.001
Index total joint replacement				
THA	6,750 (28.8)	23,394 (37.6)	Reference	Reference
TKA	16,278 (69.5)	37,653 (60.5)	1.45 (1.38, 1.51)	<0.001
TSA	392 (1.7)	1,149 (1.8)	1.18 (1.08, 1.32)	<0.001
Age at index total joint replacement (yr)	65.1 ± 9.8	66.6 ± 11.2	0.99 (0.99, 0.99)	<0.001

*With the exception of age, which is given as the mean and SD.

[95% CI = 0.98, 0.99]; Table III). Interestingly, patients who underwent subsequent replacement of a different joint were actually older at the time of the index total joint replacement than those who did not (67.7 ± 9.3 compared with 66.1 ± 10.9 years, $p < 0.001$; adjusted HR = 1.01 [95% CI = 1.01, 1.01]; Table IV). Overall, patients who underwent any subsequent joint replacement (of either the contralateral or a different joint) were younger at the time of the index total joint replacement than those who did not (65.1 ± 9.8 compared with 66.6 ± 11.2 years, $p < 0.001$; adjusted HR = 0.99 [95% CI = 0.99, 0.99]; Table V).

Sex

Females were more likely than males to undergo subsequent replacement of the contralateral joint (24.5% compared with 22.0%, adjusted HR = 1.08 [95% CI = 1.05, 1.12]; Table III), subsequent replacement of a different joint (4.0% versus 3.2%, adjusted HR = 1.31 [95% CI = 1.21, 1.43]; Table IV), and subsequent replacement overall (28.6% compared 25.2%, adjusted HR = 1.11 [95% CI = 1.08, 1.15]; Table V).

Obesity

Obese patients (body mass index [BMI] of ≥ 30 kg/m²) were more likely than nonobese patients to undergo subsequent replacement of the contralateral joint (29.8% compared 22.5%, adjusted HR = 1.28 [95% CI = 1.22, 1.34]; Table III), subsequent replacement of a different joint (4.2% compared 3.7%, adjusted HR = 1.41 [95% CI = 1.30, 1.54]; Table IV), and subsequent replacement overall (33.9% versus 26.2%, adjusted HR = 1.30 [95% CI = 1.24, 1.35]; Table V).

Diabetes Mellitus

Patients with diabetes mellitus were less likely than nondiabetic patients to undergo subsequent replacement of the contralateral joint (22.5% compared with 23.8%, adjusted HR = 0.87

[95% CI = 0.83, 0.91]; Table III), subsequent replacement of a different joint (3.2% compared with 3.8%, adjusted HR = 0.85 [95% CI = 0.77, 0.94]; Table IV), and subsequent replacement overall (25.7% compared with 27.7%, adjusted HR = 0.86 [95% CI = 0.83, 0.90]; Table V).

Smoking

Smokers were less likely than nonsmokers to undergo subsequent replacement of the contralateral joint (21.0% compared with 23.7%, adjusted HR = 0.80 [95% CI = 0.75, 0.85]; Table III), subsequent replacement of a different joint (3.1% compared with 3.8%, adjusted HR = 0.83 [95% CI = 0.71, 0.99]; Table IV), and subsequent replacement overall (24.1% compared with 27.5%, adjusted HR = 0.80 [95% CI = 0.76, 0.85]; Table V).

Discussion

This database study identified demographic and other patient-specific factors associated with subsequent joint replacement after primary THA, TKA, and TSA for osteoarthritis. More than one-quarter had a subsequent joint replacement within 5 to 8 years after the index joint replacement, and it was most frequently of the contralateral joint. Interestingly, even though the overall incidence proportion of subsequent TKA was higher than that of subsequent THA or TSA, patients who underwent either an index THA or an index TSA as well as a subsequent joint replacement were still more likely to have the contralateral joint replaced. The finding that the contralateral joint was the most common second joint to be replaced is consistent with previously published studies of THA and TKA^{13,14} but, to our knowledge, has not been previously described for TSA. Among patients who underwent subsequent joint replacement, those who underwent an index TSA were more likely to have a different joint replaced than those who underwent an index THA or TKA. Obesity and female sex

also increased the likelihood of subsequent joint replacement whereas smoking and diabetes decreased the likelihood of subsequent joint replacement. Given recent heightened awareness of the increased risk to the patient and cost to the health-care system associated with total joint replacement, the decreased likelihood of subsequent joint replacement in smokers and diabetic patients may be secondary to patient selection by surgeons¹⁵. While we are not aware of any previous report of an association between subsequent joint replacement and obesity, previous studies have shown that obese patients were more likely to develop osteoarthritis and undergo joint replacement at a younger age than those with a normal BMI¹⁶⁻²³. Similarly, we demonstrated a higher prevalence of subsequent joint replacement among obese patients independent of age.

While both hip and knee osteoarthritis are frequently bilateral^{14,24-28}, shoulder osteoarthritis is less commonly so²⁹. Furthermore, one study showed that shoulder osteoarthritis is a significant risk factor for knee osteoarthritis³⁰. Therefore, it would be expected that patients who underwent an index TSA would be the least likely to undergo subsequent contralateral total joint replacement and most likely to undergo subsequent different-joint replacement, and that is what our study demonstrated. TKA was the most common index and subsequent joint replacement performed in our study. Since there were 16,421 subsequent TKAs performed compared with only 6,485 subsequent THAs and 514 subsequent TSAs, it is logical that patients with both knees at risk (that is, who have not undergone a prior TKA) might be at higher risk for a subsequent joint replacement. However, consistent with multiple previously published studies^{13,14,31}, the most common subsequently replaced joint was the contralateral joint, accounting for 86.3% of all subsequent joint replacements.

This study has several clinical implications. First, obesity was associated with a 28% increase in the risk of subsequent contralateral joint total joint replacement, a 41% increase in the risk of subsequent different-joint replacement, and a 30% increase in the risk of any subsequent joint replacement. The effect of obesity on the risk of subsequent joint replacement may be dampened by the fact that an extremely elevated BMI is considered a relative or absolute contraindication for joint replacement. As obesity is a modifiable risk factor¹⁸, patients should be counseled regarding the importance of weight loss strategies as a potential method to reduce the risk of future joint replacement^{14,18,32}. Coggon et al.¹⁸ reported that 23.6% of TKAs could be avoided if all overweight and obese patients reduced their weight by 5 kg or until their BMI was within the normal range, which is consistent with the 28% to 41% increased risk of subsequent joint replacement that we report in the present study. Female patients had a 31% increase in the risk of a subsequent different-joint replacement. Finally, patients undergoing index TKA, THA, or TSA should be counseled that the contralateral joint is the most likely joint to be subsequently replaced.

There are several limitations to this study. As the data are drawn from a single U.S. state, the findings may not be

generalizable to other states or countries, which may differ with respect to patient demographics and the prevalence of patient-specific factors such as obesity, cultural factors, diabetes mellitus, and smoking. Since we did not have access to this database until 2005, we were unable to determine whether a patient's first joint replacement recorded in the period from 2005 to 2008 was truly the patient's index joint replacement. While >75% of subsequent THAs and 86% of subsequent TKAs have been shown to occur within 10 years after the index THA or TKA, respectively³¹, a 5 to 8-year window likely did not encompass all subsequent joint replacements that occurred in this study. Furthermore, we relied on the accuracy of HCUP SID coding, including ICD-9-CM, which includes unicompartmental knee arthroplasty as part of ICD 81.54 (total knee replacement). Therefore, we were unable to determine how many knee replacements were unicompartmental, although previously published data suggest that they are a small percentage of the total³³. There have been reports of inaccurate recording of comorbidities, including obesity, which tend to be underreported^{34,35}. Smoking status, diabetes mellitus, and obesity are not quantified or categorized by severity within this database, but instead are simply dichotomized. As such, we were unable to assess the association of Class-2 obesity (BMI of 35 to 40 kg/m²) and Class-3 obesity (BMI of ≥40 kg/m²) with subsequent joint replacement. Future studies should aim to better elucidate these relationships. Given the large number of patients, it is also likely that the etiology of arthritis may have been inaccurately coded in some cases. Details regarding symptom severity and the degree of osteoarthritis seen radiographically were not available because of the nature of the study. Thus, we were unable to determine which patients presented with bilateral same-joint end-stage osteoarthritis and underwent sequential joint replacement in a staged fashion for surgical and/or medical reasons. We also did not assess the laterality of subsequent joint replacement, which reflects a limitation of the ICD-9-CM despite being evaluated in 2 prior studies^{13,14}. Finally, replacements of other joints such as the elbow, ankle, and wrist were excluded from this study, so the incidence proportions may be an underestimate of the overall proportion of subsequent joint replacements following an index joint replacement.

In conclusion, patients treated with primary TSA, THA, or TKA had a significant likelihood of undergoing a subsequent joint replacement—most often on the contralateral joint—within 5 to 8 years. Obese patients had a higher likelihood of undergoing an additional joint replacement. These findings may facilitate counseling of patients considering or undergoing joint replacement regarding the likelihood, timing, and location of subsequent joint replacement. Medical providers and health-care organizations can also use this information to project the incidence and associated costs of subsequent joint replacements across care populations. Finally, identifying patients who are likely to undergo subsequent joint replacement on the basis of demographic and other patient-specific risk factors may facilitate the development and

assessment of interventions to delay or prevent subsequent joint replacements.

Appendix

eA Tables showing included alternative primary diagnoses, excluded diagnoses, and excluded procedures are available with the online version of this article as a data supplement at [jbjs.org \(http://links.lww.com/JBJS/E900\)](http://links.lww.com/JBJS/E900). ■

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