ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Leonard

2. Surname (Last Name)  
Bacharier

3. Date  
28-March-2019

4. Are you the corresponding author?  
Yes ✔  No

5. Manuscript Title
Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)
18-14917

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
Yes ✔  No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

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Yes ✔  No

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<td></td>
<td>Advisory board</td>
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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
[ ] Yes  [✔] No

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Dr. Bacharier reports grants from NIH/NHLBI, during the conduct of the study; personal fees from Aerocrine, personal fees from GlaxoSmithKline, personal fees from Genentech/Novartis, personal fees from Merck, personal fees from DBV Technologies, personal fees from Teva, personal fees from Boehringer Ingelheim, personal fees from AstraZeneca, personal fees from WebMD/Medscape, personal fees from Sanofi/Regeneron, personal fees from Vectura, personal fees from Circassia, outside the submitted work; .

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<tr>
<td>1. Given Name (First Name)</td>
<td>2. Surname (Last Name)</td>
<td>3. Date</td>
</tr>
<tr>
<td>Kathryn</td>
<td>Blake</td>
<td>08-May-2019</td>
</tr>
<tr>
<td>4. Are you the corresponding author?</td>
<td></td>
<td></td>
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<td>Yes</td>
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<td>Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma</td>
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<td>6. Manuscript Identifying Number (if you know it)</td>
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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?   Yes  No

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Dr. Blake reports grants and other from AsthmaNet, during the conduct of the study.

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Royalties: Funds are coming in to you or your institution due to your patent
## Section 1. Identifying Information

- **1. Given Name (First Name)**: Homer
- **2. Surname (Last Name)**: Boushey
- **3. Date**: 08-April-2019
- **4. Are you the corresponding author?**
  - [ ] Yes
  - [x] No
  - **Corresponding Author’s Name**: Stephen Lazarus
- **5. Manuscript Title**: Steroids in Eosinophil Negative Asthma (SIENA), Corresponding Author: Stephen Lazarus
- **6. Manuscript Identifying Number (if you know it)**: 18-14917

## Section 2. The Work Under Consideration for Publication

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- [x] Yes
- [ ] No

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Dr. Boushey reports grants from National Heart, Lung, and Blood Institute, during the conduct of the study; personal fees from SIOLTA Therapeutics, South San Francisco, CA, personal fees from Health Effects Institute, Boston, MA, outside the submitted work; In addition, Dr. Boushey has a patent Diagnosis and treatment methods for entry of gastrointestinal contents into respiratory tract - 20170184620 issued, a patent Diagnosis of entry of gastrointestinal contents into respiratory tract of humans and animals - 20150168432 issued, a patent Diagnosis and treatment methods for entry of gastrointestinal contents into respiratory tract - 20140194503 issued, and a patent Diagnosis and treatment methods for entry of gastrointestinal contents into respiratory tract - 20140194504 issued.

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**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Michael  
2. Surname (Last Name) Cabana  
3. Date 11-March-2019  
4. Are you the corresponding author? Yes No ✔  
   Corresponding Author’s Name Steve Lazarus  
5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma  
6. Manuscript Identifying Number (if you know it) 18-14917

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest? Yes No ✔  
If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.  
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

- Yes  
- No  ✔

Section 5. Relationships not covered above

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Dr. Cabana reports grants from NIH, during the conduct of the study; personal fees from GENENTECH, personal fees from NOVARTIS, outside the submitted work; .

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)  
   Juan Carlos

2. Surname (Last Name)  
   Cardet

3. Date  
   04-March-2019

4. Are you the corresponding author?  
   ✔ No

   Corresponding Author’s Name  
   Stephen Lazarus

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Dr. Cardet has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Mario
2. Surname (Last Name)  Castro
3. Date  07-March-2019

4. Are you the corresponding author?  No

Corresponding Author’s Name  Stephen Lazarus

5. Manuscript Title
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Castro
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☐ Yes  ✓ No

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1. Given Name (First Name) Vernon
2. Surname (Last Name) Chinchilli
3. Date 04-March-2019
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   Stephen Lazarus
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   James

2. Surname (Last Name)  
   Chmiel

3. Date  
   01-April-2019

4. Are you the corresponding author?  
   ✔ Yes  
   No

Corresponding Author's Name  
Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

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Are there any relevant conflicts of interest?  
✔ Yes  
No

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☐ Yes  ✔ No

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Dr. Chmiel reports grants from National Institutes of Health, during the conduct of the study; personal fees from American Board of Pediatrics, grants and personal fees from Cystic Fibrosis Foundation, personal fees from Albumedix, personal fees from Catabasis, personal fees from Patara Pharma, personal fees from Paka Pharma, personal fees from pH Pharma, outside the submitted work; .
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**ICMJE Form for Disclosure of Potential Conflicts of Interest**

### Section 1. Identifying Information

1. Given Name (First Name)  
   Ronina  
2. Surname (Last Name)  
   Covar  
3. Date  
   05-March-2019

4. Are you the corresponding author?  
   - Yes  
   - No  
   ✔ No  
   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

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Are there any relevant conflicts of interest?  
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- No  
   ✔ Yes

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Dr. Covar reports grants from NHLBI, during the conduct of the study; grants from GSK, grants from Novartis, outside the submitted work;.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Loren

2. Surname (Last Name)  
Denlinger

3. Date  
10-February-2019

4. Are you the corresponding author?  
☑ Yes  ☐ No

Corresponding Author’s Name  
Stephen Lazarus

5. Manuscript Title  
Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
18-14917

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1. Given Name (First Name)  
Emily

2. Surname (Last Name)  
DiMango

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04-March-2019

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Stephen Lazarus

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Dr. DiMango has nothing to disclose.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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<td>Anne-Marie</td>
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<td>04-March-2019</td>
</tr>
</tbody>
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4. Are you the corresponding author?  
   - Yes  ✔  
   - No

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

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Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   - Yes  ✔  
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<td>✘</td>
<td>✘</td>
<td>SIENA study was funded by NHLBI AsthmaNet grant.</td>
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   - No  ✔

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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Ms. Dyer reports grants from NHLBI during the conduct of the study.

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**Section 1. Identifying Information**

1. Given Name (First Name)  
   John

2. Surname (Last Name)  
   Fahy

3. Date  
   23-April-2019

4. Are you the corresponding author?  
   Yes

   No

   ✔

   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
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Are there any relevant conflicts of interest?  
   Yes

   No

   ✔

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<td>Research grants related to asthma</td>
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Are there any relevant conflicts of interest?  
   Yes

   No

   ✔

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<td>Consulting fee &lt; $5000</td>
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</table>

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes ☑ No ☐

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

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<td>US20110123530A1 - &quot;Compositions and methods for treating and diagnosing asthma&quot;</td>
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<td>☑</td>
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<td>☐</td>
<td>☐</td>
<td>Inventor - mainly deals with periostin as a blood based biomarker of asthma</td>
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<td>WO2014153009A2 - Thiosaccharide mucolytic agents</td>
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<td>☑</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Inventor - describes tiol modifies saccharides as drugs to treat mucus associated lung disease, including asthma</td>
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<tr>
<td>WO2017197360 - “CT Mucus Score” - A New Scoring System that Quantifies Airway Mucus Impaction Using CT Scans</td>
<td>☑</td>
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<td>☐</td>
<td>☐</td>
<td>Inventor - describes a mucus plug score on chest CT images.</td>
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<td>Dr. Fahy reports grants from NIH/NHLBI, during the conduct of the study; personal fees from Boehringer Ingelheim, personal fees from Pieris, personal fees from Entrinsic Health Solutions, personal fees from Arrowhead Pharmaceuticals, personal fees from Gossamer, outside the submitted work; In addition, Dr. Fahy has a patent US20110123530A1 - &quot;Compositions and methods for treating and diagnosing asthma&quot; issued, a patent WO2014153009A2 - Thiosaccharide mucolytic agents issued, and a patent WO2017197360 - “CT Mucus Score” - A New Scoring System that Quantifies Airway Mucus Impaction Using CT Scans” pending.</td>
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Section 1. Identifying Information

1. Given Name (First Name)  
   Anne

2. Surname (Last Name)  
   Fitzpatrick

3. Date  
   05-March-2019

4. Are you the corresponding author?  
   Yes ☑️ No

   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

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1. Given Name (First Name) Deborah
2. Surname (Last Name) Gentile
3. Date 23-April-2019
4. Are you the corresponding author? Yes ☑ No
   Corresponding Author’s Name Stephen Lazarus
5. Manuscript Title
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Dr. Gentile reports grants from Merck, grants from Glaxo Smith Kline, grants and personal fees from Greer Stallergenes, personal fees from Astra Zeneca, outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally (but not always) paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

**Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Annette

2. Surname (Last Name)  
   Hastie

3. Date  
   28-March-2019

4. Are you the corresponding author?  
   ✔ No
   Corresponding Author’s Name
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

<table>
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<td>provided drugs used in study</td>
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<td>provided drugs used in study</td>
</tr>
<tr>
<td>TEVA</td>
<td>✗</td>
<td>✗</td>
<td>✗</td>
<td>✗</td>
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</tr>
</tbody>
</table>

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<th>Name of Entity</th>
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<tr>
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<td>Financial support for NIH funded SARP study.</td>
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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**Section 6. Disclosure Statement**

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Hastie reports grants from NIH, non-financial support from Boehringer-Ingelheim, non-financial support from Merck, non-financial support from TEVA, during the conduct of the study; grants from Genentech, outside the submitted work.

**Evaluation and Feedback**

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Holguin
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Fernando
2. Surname (Last Name) Holguin
3. Date 05-March-2019
4. Are you the corresponding author? ☑ No

Corresponding Author’s Name
Stephen Lazarus

5. Manuscript Title
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Dr. Holguin has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  
Elliot  

2. Surname (Last Name)  
Israel  

3. Date  
29-March-2019  

4. Are you the corresponding author?  
[ ] Yes  [ ] No  

Corresponding Author’s Name  
Stephen Lazarus  

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1. Given Name (First Name)  
   Daniel

2. Surname (Last Name)  
   Jackson

3. Date  
   05-March-2019

4. Are you the corresponding author?  
   Yes ☒ No

   Corresponding Author’s Name  
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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
Yes ☒ No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☐ Yes  ✔️ No

**Section 5. Relationships not covered above**

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Jackson reports grants from NHLBI, during the conduct of the study; grants from NIAID, grants from GlaxoSmithKline, personal fees from Boehringer Ingelheim, personal fees from Commense, personal fees from Novartis, personal fees from Vifor pharma, personal fees from Pfizer, personal fees from Sanofi-Regeneron, outside the submitted work; .
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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Tonya
2. Surname (Last Name)  King
3. Date  04-February-2019
4. Are you the corresponding author?  Yes  No
   Corresponding Author's Name  Steve Lazarus
5. Manuscript Title
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma
6. Manuscript Identifying Number (if you know it)
   18-14917

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No

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Dr. King reports grants from NHLBI, during the conduct of the study; personal fees from Pearl Therapeutics, personal fees from Insmed Inc., outside the submitted work.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Monica

2. Surname (Last Name)  
   Kraft

3. Date  
   12-April-2019

4. Are you the corresponding author?  
   ☑ No

   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

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Are there any relevant conflicts of interest?  
   ☑ No

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Dr. Kraft reports grants from NIH, grants from Chiesi, grants from Sanofi, personal fees from Elsevier, outside the submitted work.

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1. Given Name (First Name)  Jerry
2. Surname (Last Name)  Krishnan
3. Date  13-February-2019
4. Are you the corresponding author?  
   - Yes
   - No  ✔
   Corresponding Author’s Name  Lazarus
5. Manuscript Title
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma
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4. Are you the corresponding author? ☐ Yes ☑ No

Corresponding Author’s Name

Stephen Lazarus

5. Manuscript Title

Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)

18-14917

## Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☑ No

## Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest? ☐ Yes ☑ No

## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

☐ Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. LaForce has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Jason

2. Surname (Last Name)  
   Lang

3. Date  
   05-March-2019

4. Are you the corresponding author?  
   Yes ☐  No ☑  
   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Stephen

2. Surname (Last Name)  
   Lazarus

3. Date  
   24-March-2019

4. Are you the corresponding author?  
   ✔ Yes  ☐ No

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest?  
   ✔ Yes  ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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<tr>
<td>NIH-NHLBI</td>
<td>✔</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>I am a PI of AsthmaNet, the NHLBI-sponsored Clinical Research Network that designed and conducted this study</td>
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</table>

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<td>❌</td>
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</tr>
<tr>
<td>American Lung Association - Airway Clinical Research Centers Network</td>
<td>✔</td>
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<td>❌</td>
<td>❌</td>
<td>I am a PI of the ALA-ACRC network, designed to conduct studies of Asthma and COPD</td>
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</table>

**Section 4. Intellectual Property -- Patents & Copyrights**

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Dr. Lazarus reports grants from NIH-NHLBI, during the conduct of the study; grants from NIH-NHLBI, grants from American Lung Association - Airway Clinical Research Centers Network, outside the submitted work.
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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Robert
2. Surname (Last Name)    Lemanske
3. Date                    08-March-2019
4. Are you the corresponding author?  Yes ✔ No
5. Manuscript Title        Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma
6. Manuscript Identifying Number (if you know it)  18-14917

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<td>National Heart, Lung, and Blood Institute</td>
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<td></td>
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<td>Clinical and Translational Science Award from NIH</td>
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<td>Japanese Society of Allergy</td>
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<td></td>
<td>✓</td>
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- [✓] No

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### Section 1. Identifying Information

1. Given Name (First Name)  
   Njira  
2. Surname (Last Name)  
   Lugogo  
3. Date  
   15-January-2019  
4. Are you the corresponding author?  
   Yes ☑️  
5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma  
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Are there any relevant conflicts of interest?  
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No ☐

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<td>☑️</td>
<td>☐</td>
<td>Provided study drug</td>
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### Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?  
Yes ☑️  
No ☐

If yes, please fill out the appropriate information below.

---
ICMJE Form for Disclosure of Potential Conflicts of Interest

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<th>Name of Entity</th>
<th>Grant?</th>
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</tr>
</tbody>
</table>

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
[ ] Yes  [ ] No

**Section 5. Relationships not covered above**

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)  
   Fernando  

2. Surname (Last Name)  
   Martinez  

3. Date  
   04-March-2019  

4. Are you the corresponding author?  
   ✔ Yes  
   ☐ No  

Corresponding Author’s Name  
Stephen Lazarus  

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma  

6. Manuscript Identifying Number (if you know it)  
   18-14917  

## Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  

Are there any relevant conflicts of interest?  
   ✔ Yes  
   ☐ No  

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.  

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) David
2. Surname (Last Name) Mauger
3. Date 07-March-2019
4. Are you the corresponding author? Yes No ✔

Corresponding Author’s Name

Stephen Lazarus

5. Manuscript Title
Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

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<td>provided financial support for the NIH-funded SARP study</td>
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<td>provided financial support for the NIH-funded SARP study</td>
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<td>Novartis</td>
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<td></td>
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1. Given Name (First Name)  
   Wendy
2. Surname (Last Name)  
   Moore
3. Date  
   11-March-2019
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<td>PI Industry sponsored studies, Advisory Board consultant, Scientific Advisory Board</td>
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</table>
### Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

- [ ] Yes  
- ✔ No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Wayne
2. Surname (Last Name)  Morgan
3. Date  07-March-2019
4. Are you the corresponding author?  Yes  ✔  No

Corresponding Author's Name  Stephen Lazarus

5. Manuscript Title
Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)
18-14917

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<td>Funding for SIENA study and University of Arizona Asthmanet Site</td>
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<td>☐</td>
<td>CC16 in CF lung disease; Co-PI, CFF Data Safety Monitoring Board Infrastructure Grant, Chair, CFF DSMB, Member, CFF CER Committee</td>
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. Given Name (First Name)
   - James

2. Surname (Last Name)
   - Moy

3. Date
   - 05-March-2019

4. Are you the corresponding author?
   - Yes

5. Manuscript Title
   - Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)
   - 18-14917

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- Yes
- No

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<td>Ross</td>
<td>Myers</td>
<td>01-April-2019</td>
</tr>
</tbody>
</table>

4. Are you the corresponding author?  

- Yes [X]  
- No [ ]

Corresponding Author's Name  
Stephen Lazarus

5. Manuscript Title  
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- Yes [X]  
- No [ ]

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<td>[ ]</td>
<td>[X]</td>
<td>[ ]</td>
<td>Grant support and funding to travel to research planning meetings</td>
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### Section 1. Identifying Information

1. Given Name (First Name)  
   Stephen  
2. Surname (Last Name)  
   Peters  
3. Date  
   14-February-2019  
4. Are you the corresponding author?  
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Are there any relevant conflicts of interest?  
   ✔ Yes  
   ☐ No  
If yes, please fill out the appropriate information below.

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**Section 1. Identifying Information**

1. Given Name (First Name)  
   Wanda

2. Surname (Last Name)  
   Phipatanakul

3. Date  
   05-November-2018

4. Are you the corresponding author?  
   Yes ✔️ No

   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
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Section 1. Identifying Information

1. Given Name (First Name)
   Jacqueline

2. Surname (Last Name)
   Pongracic

3. Date
   11-April-2019

4. Are you the corresponding author?
   ✔ Yes  ☐ No

Corresponding Author’s Name
   Stephen Lazarus

5. Manuscript Title
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   Loretta

2. Surname (Last Name)  
   Que

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Dr. Que has nothing to disclose.

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5. Relationships not covered above.
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**Other:** Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
**Section 1. Identifying Information**

1. **Given Name (First Name)**
   Kristie

2. **Surname (Last Name)**
   Ross

3. **Date**
   04-April-2019

4. **Are you the corresponding author?**
   - Yes
   - No ✔

   **Corresponding Author’s Name**
   Stephen Lazarus

5. **Manuscript Title**
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. **Manuscript Identifying Number (if you know it)**
   18-14917

**Section 2. The Work Under Consideration for Publication**

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
- Yes
- No ✔

**Section 3. Relevant financial activities outside the submitted work.**

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Are there any relevant conflicts of interest?  
- Yes
- No ✔

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
- Yes
- No ✔
ICMJE Form for Disclosure of Potential Conflicts of Interest

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Dr. Ross has nothing to disclose.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Lewis
2. Surname (Last Name) Smith
3. Date 13-February-2019
4. Are you the corresponding author? Yes ☐ No ☑
   Corresponding Author’s Name Stephen Lazarus
5. Manuscript Title Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma
6. Manuscript Identifying Number (if you know it) 18-14917

Section 2. The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes ☐ No ☑

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Are there any relevant conflicts of interest? Yes ☐ No ☑

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Smith has nothing to disclose.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.

Smith
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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Christine

2. Surname (Last Name)    
   Sorkness

3. Date  
   08-May-2019

4. Are you the corresponding author?  
   Yes ✗  No

   Corresponding Author’s Name  
   Stephen C. Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

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Are there any relevant conflicts of interest?  
   Yes ✗  No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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Dr. Sorkness reports grants from NHLBI, during the conduct of the study; .

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Stanley

2. Surname (Last Name)  
   Szefler

3. Date  
   13-February-2019

4. Are you the corresponding author?  
   ☐ Yes  ☑ No

   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

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<tbody>
<tr>
<td>NHLBI AsthmaNet</td>
<td>☑</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>Multicenter asthma network</td>
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<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Boehringer-Ingelheim</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
<td>Consultant for development and oversight of pediatric studies to meet regulatory requirements for new medications. Oversight of global study of this new medication as well as assistance in manuscript development. Funds to my University.</td>
</tr>
<tr>
<td>Genentech</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
<td>Participated in manuscript preparation and advisory boards for the TENOR I and II studies and the EXCELS study; received in past 3 years for time and effort in project design and data analysis. Funds to my University.</td>
</tr>
<tr>
<td>GlaxoSmithKline</td>
<td>✔</td>
<td></td>
<td></td>
<td>✔</td>
<td>Over the past five years, I managed a grant entitled Building Bridges - Advancing Education by Improving Asthma Care in the Inner City. This was a mentorship and implementation program funded at $4 million from 2012-2016. The University of Colorado School of Medicine was the funding center. It involved multiple sites and was designed to provide a school-centered asthma care program for implementation and dissemination. Funding ended in December 2016. Work is now completed on this grant. I participated in two Pediatric Asthma Summit meetings this year to discuss asthma management worldwide. I also participated in two global advisory meetings for drug development including children. All funds to my University.</td>
</tr>
<tr>
<td>Aerocrine</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
<td>Guidance in application of an inflammation monitoring device. Funds to my University.</td>
</tr>
<tr>
<td>Astra Zeneca</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
<td>For assistance in educating investigators regarding severe asthma in children and also for guidance in new product development. Funds to my University.</td>
</tr>
<tr>
<td>Daiichi Sankyo</td>
<td></td>
<td></td>
<td></td>
<td>✔</td>
<td>Advice on development of new medication, especially how it might relate to childhood asthma. Funds to my University.</td>
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# ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  

- [ ] Yes  
- [x] No

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Dr. Szefler reports grants from NHLBI AsthmaNet, during the conduct of the study; other from Boehringer-Ingelheim, other from Genentech, grants and other from GlaxoSmithKline, other from Aerocrine, other from Astra Zeneca, other from Daiichi Sankyo, other from Roche, other from Teva, other from Propeller Health, other from Sanofi, other from Regeneron, outside the submitted work.
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Section 1. Identifying Information

1. Given Name (First Name)
   Michael E.

2. Surname (Last Name)
   Wechsler

3. Date
   05-March-2019

4. Are you the corresponding author?  
   Yes ☐ No ☑

   Corresponding Author’s Name
   Stephen Lazarus

5. Manuscript Title
   Steroids in Eosinophil Negative Asthma (SIENA), Corresponding

6. Manuscript Identifying Number (if you know it)
   18-14917

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   Yes ☐ No ☑

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   Yes ☐ No ☑

If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
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<th>Non-Financial Support?</th>
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ICMJE Form for Disclosure of Potential Conflicts of Interest

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?   [ ] Yes       ✔ No

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Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

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Dr. Wechsler reports grants and personal fees from AstraZeneca, grants and personal fees from Novartis, grants and personal fees from Sanofi, personal fees from Regeneron, personal fees from Mylan, personal fees from Genentech, personal fees from Sentien, grants and personal fees from Teva, personal fees from Boehringer Ingelheim, grants and personal fees from GlaxoSmithKline, personal fees from Restorbio, personal fees from Equilium, outside the submitted work.
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2. The work under consideration for publication.

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3. Relevant financial activities outside the submitted work.

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   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Sally

2. Surname (Last Name)  
   Wenzel

3. Date  
   04-March-2019

4. Are you the corresponding author?  
   No

   ✔

   Corresponding Author’s Name  
   Stephen Lazarus

5. Manuscript Title  
   Inhaled Glucocorticoids or Tiotropium for Sputum Eosinophil Low Mild Asthma

6. Manuscript Identifying Number (if you know it)  
   18-14917

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Are there any relevant conflicts of interest?  

Yes  

No  

✔

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Yes  

No  

✔

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<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
</tr>
</thead>
</table>
| AstraZeneca    | ✔      | ✔             |                        |        | Consulting: Anti IL-5R/anti TSLP  
Grants: Site PI on multicenter trials of anti-IL5R support.  
2% salary support  
Grant: Financial support for the NIH-funded Severe Asthma Research Program |
| Novartis       | ✔      | ✔             |                        |        | Consulting: Anti CRTH2 Consulting  
~3 yrs ago  
Grants: Site PI on multicenter grant  
2% salary support |
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<th>Name of Entity</th>
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<th>Other?</th>
<th>Comments</th>
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<td></td>
<td></td>
<td>Consulted for GSK 3 yrs ago on anti IL-5. Grante: Site PI for multicenter study of anti-IL33R, (trial support), 2% salary support. Grants: Financial support for the NIH-funded Severe Asthma Research Program.</td>
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<td></td>
<td></td>
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<td>✔</td>
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<td>consulted on various early pipeline drugs, including CRTH2 antagonist over 3 yrs ago. Site PI on Multicenter trial on anti IL-23 in asthma paid to institution, 2% salary support. Site PI for SARP, supported by industry dollars from Boehringer in the last 2 yrs. No salary support.</td>
</tr>
<tr>
<td>Merck</td>
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<td>Consulted for Merck 3 yrs ago on JAK inhibitor. &gt;10 yrs ago consulted for Merck on Leukotriene receptor antagonists.</td>
</tr>
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ICMJE Form for Disclosure of Potential Conflicts of Interest

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1. Given Name (First Name) Prescott
2. Surname (Last Name) Woodruff
3. Date 07-March-2019

4. Are you the corresponding author? Yes No ✔

Corresponding Author’s Name
Stephen Lazarus

5. Manuscript Title
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