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How Phenotype and Developmental Stage Affect the Genes We Find: GABRA2 and Impulsivity

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Context: The detection and replication of genes involved in psychiatric outcome has been notoriously difficult. Phenotypic measurement has been offered as one explanation, although most of this discussion has focused on problems with binary diagnoses. Objective: This article focuses on two additional components of phenotypic measurement that deserve further consideration in evaluating genetic associations: (1) the measure used to reflect the outcome of interest, and (2) the developmental stage of the study population. We focus our discussion of these issues around the construct of impulsivity and externalizing disorders, and the association of these measures with a specific gene, GABRA2. Design, Setting, and Participants: Data were analyzed from the Collaborative Study on the Genetics of Alcoholism Phase IV assessment of adolescents and young adults (ages 12–26; N = 2,128). Main Outcome Measures: Alcohol dependence, illicit drug dependence, childhood conduct disorder, and adult antisocial personality disorder symptoms were measured by psychiatric interview; Achenbach youth/adult self-report externalizing scale; Zuckerman Sensation-Seeking scale; Barratt Impulsivity scale; NEO extraversion and consciousness. Results: GABRA2 was associated with subclinical levels of externalizing behavior as measured by the Achenbach in both the adolescent and young adult samples. Contrary to previous associations in adult samples, it was not associated with clinical-level DSM symptom counts of any externalizing disorders in these younger samples. There was also association with sensation-seeking and extraversion, but only in the adolescent sample. There was no association with the Barratt impulsivity scale or conscientiousness. Conclusions: Our results suggest that the pathway by which GABRA2 initially confers risk for eventual alcohol problems begins with a predisposition to sensation-seeking early in adolescence. The findings support the heterogeneous nature of impulsivity and demonstrate that both the measure used to assess a construct of interest and the age of the participants can have profound implications for the detection of genetic associations.

Keywords: GABRA2, association, impulsivity, sensation-seeking, externalizing, adolescence

The detection and replication of genes involved in psychiatric outcome has been notoriously difficult (Manolio et al., 2009). Several potential explanations have been offered, including a genetic model involving far more genes than was previously recognized, as well as failure to pay adequate attention to rare variants, copy number variance (CNVs), and gene–environment interaction (Manolio et al., 2009). The importance of the choice of phenotype for genetic studies has also been raised. Much of this discussion has focused on problems associated with the use of binary diagnostic phenotypes in genetic studies and the utility of analyzing quantitative endophenotypes instead (Cannon & Keller, 2006; Frederick &
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Iacono, 2006; Gottesman & Gould, 2003). This article focuses on two additional components of phenotypic measurement that deserve further consideration in evaluating genetic associations: (1) the importance of the measure used to represent the outcome of interest and (2) the importance of developmental stage of the study population. We focus our discussion of these issues around the construct of impulsivity and its association with externalizing disorders (Krueger et al., 2005), where these problems become particularly relevant.

The Role of Impulsivity in Psychiatric Outcome

Twin studies have robustly demonstrated that alcohol dependence, other drug dependence, adult antisocial behavior, and childhood conduct disorder overlap in large part due to a shared genetic factor (Kendler et al., 2003a; Krueger et al., 2002; Young et al., 2000). Electrophysiological endophenotypes, which are strongly genetically influenced (van Beijsterveldt et al., 1996), have been shown to capture the shared susceptibility across these disorders (Iacono et al., 1999; Porjesz et al., 2005), again suggesting a shared etiological connection. What is phenotypically common across these disorders is impaired impulse control, as reflected in two of the criteria for the diagnosis of alcohol dependence: (a) the inability to control the amount of alcohol consumed (or the amount of time spent drinking), and (b) continued drinking despite adverse health-related consequences (Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition — DSM-IV; APA, 2000), and one criterion for antisocial personality disorder stating 'Impulsivity or failure to plan ahead' (DSM-IV; APA, 2000). Accordingly, it has been hypothesized that the shared element across these disorders comprises a general predisposition to impulsivity. Alternatively, this shared factor has been labeled as behavioral disinhibition (Young et al., 2000) or externalizing (Krueger et al., 2005). Despite these subtle differences in nomenclature, all terms underscore a predisposition to which a specific gene, GABRA2, was associated with alcohol dependence in the COGA (Edenberg et al., 2004). This association was subsequently replicated by several independent groups (Covault et al., 2004; Enoch et al., 2006; Fehr et al., 2006; Soyka et al., 2008). Further work in the COGA sample found that GABRA2’s association was not limited to alcohol dependence, but also included illicit drug dependence (Agrawal et al., 2006; Dick et al., 2006b), childhood conduct disorder (Dick et al., 2006b), and adult antisocial behavior (Dick et al., 2006a). Thus, paralleling the twin literature indicating shared genetic influence across externalizing disorders, GABRA2 appeared to be a specific gene predisposing to a spectrum of clinical disorders characterized by a lack of impulse control. The association between GABRA2 and general externalizing behavior has also been extended to a non-clinical, community-based sample, in which individuals carrying the genotype originally associated with adult alcohol dependence in COGA were more likely to evidence an elevated, stable trajectory of externalizing behavior (as measured by the Achenbach Externalizing

sures of impulsivity, are not very correlated, and do not load on a single factor; this is true of both questionnaire-based and laboratory-based measures of impulsivity (Dick et al., 2010). Interestingly, recent studies suggest that different aspects of impulsivity appear to be differentially related to different aspects of alcohol use (Smith et al., 2007). Yet, despite growing recognition that impulsivity is a heterogeneous construct (Lejuez et al., 2010), the broad term ‘impulsivity’ (Hamidovic et al., 2009; Racine et al., 2009) continues to be used in the literature in reference to this large variety of scales and dimensions, further contributing to its conceptualization as an argument phenomenon.

This creates a conundrum in the literature whereby the connection between alcohol dependence, other drug dependence, antisocial adult behavior, and childhood conduct disorder is thought to be explained by a shared unitary factor that is construed as ‘impulsivity’, despite literature suggesting a multidimensional entity. As part of the Collaborative Study on the Genetics of Alcoholism (COGA), we collected multiple measures related to impulsivity, including Barrett’s Impulsivity scale, Zuckerman’s Sensation-Seeking scale, and the NEO Big Five Personality Inventory, in a prospective sample of adolescents and young adults (N = 2,128, with phenotypic and genotypic data). In addition, symptom counts for childhood conduct disorder, alcohol and other drug dependence, and adult antisocial behavior (for individuals aged ≥18) were obtained through clinical interview, and subclinical levels of externalizing behavior were collected using the Achenbach Child Behavior Checklist. We conducted exploratory analyses to test the extent to which a specific gene, GABRA2, was associated with these various clinical, subclinical, and personality measures of impulsive behavior.

These questions are of particular relevance with respect to GABRA2, given the previous history of association with this gene. GABRA2 was originally associated with adult alcohol dependence in the COGA (Edenberg et al., 2004). This association was subsequently replicated by several independent groups (Covault et al., 2004; Enoch et al., 2006; Fehr et al., 2006; Soyka et al., 2008). Further work in the COGA sample found that GABRA2’s association was not limited to alcohol dependence, but also included illicit drug dependence (Agrawal et al., 2006; Dick et al., 2006b), childhood conduct disorder (Dick et al., 2006b), and adult antisocial behavior (Dick et al., 2006a). Thus, paralleling the twin literature indicating shared genetic influence across externalizing disorders, GABRA2 appeared to be a specific gene predisposing to a spectrum of clinical disorders characterized by a lack of impulse control. The association between GABRA2 and general externalizing behavior has also been extended to a non-clinical, community-based sample, in which individuals carrying the genotype originally associated with adult alcohol dependence in COGA were more likely to evidence an elevated, stable trajectory of externalizing behavior (as measured by the Achenbach Externalizing
scale) across adolescence and into young adulthood as compared with individuals carrying the low-risk genotype (Dick et al., 2009). In sum, this literature suggests that GABRA2 is involved with multiple outcomes and disorders, all of which reflect problems with impulse control. Because the literature also suggests that impulsivity is not a unitary construct, we explored whether there were particular facets of impulsivity that GABRA2 is associated with, in an effort to further delineate the risk pathways associated with GABRA2.

All analyses were conducted separately for the adolescent sample (individuals between the ages of 12 and 17) and the young adults (18–26 years of age). This division was based on significant developmental changes across this age range that are particularly relevant for substance use outcomes. Most youth leave home after age 18, and this represents a considerable leap in independence, with an associated reduction in parental supervision and monitoring. Although alcohol use remains illegal for individuals aged 18–20, the emotional and logistical shifts associated with reaching adulthood generally offer enhanced opportunity and incentive for engaging in substance use. Previously, independent studies have found that genetic associations between specific genes and alcohol use outcomes are not evident until young adulthood (Dick et al., 2006b; Guo et al., 2007), likely because of the greater importance of environmental factors that influence adolescent experimentation with alcohol (Rose et al., 2001). In contrast, association with conduct problems has been reported earlier in adolescence for genes associated with alcohol dependence in adulthood, suggesting the possibility of heterotypic continuity of genetic effects, that is, the same gene influencing different outcomes at different developmental stages (Dick, 2011). Accordingly, we tested for association with the various impulsivity-related measures separately in adolescents and young adults to allow for the possibility that phenotypic associations with GABRA2 may vary across developmental stages.

The data analyzed here come from the Phase IV Prospective Study of the COGA sample. The recruitment of adolescents (12–17-year-olds) and young adults (18–21-year-olds) into the prospective study began in December 2004. All of these subjects had at least one parent who was interviewed in a previous phase of COGA, including both families affected with alcoholism and comparison families. Both parents have been personally interviewed for over 50% of the subjects. Data collection is ongoing, as individuals who reach their 12th year continue to be recruited and assessed. Follow-up evaluations are being conducted approximately every two years. All analyses reported here involve the initial assessments for participants (N = 2,128 individuals, 49% male).

Genotyping was performed at the Washington University MicroArray facility using Illumina’s GoldenGate assays. Six single-nucleotide polymorphisms (SNPs) were genotyped across GABRA2: rs497068, rs279871, rs279867, rs279858, rs279845, and rs279836. All SNPs were located in the linkage disequilibrium block that previously yielded evidence of association in the COGA sample (average $r^2$ across the SNPs = 0.82, range 0.71–0.98). All SNPs were in the Hardy–Weinberg equilibrium.

In addition, a panel of 69 population stratification SNPs were genotyped, and plotted using the EIGENSTRAT software for comparison against three HAPMAP populations. Three clusters were identified corresponding to Caucasian (63%), African American (25%), and other (12%; reflecting mixed race ancestry). Age, race, and gender were used as covariates in all genotypic analyses.

**Measures**

**Psychiatric interview.** All individuals were interviewed with the Semi-Structured Assessment for the Genetics of Alcoholism (SSAGA; Bucholz et al., 1994; Hesselbrock et al., 1999), using the adult (age 18+) or adolescent (age 12–17) version as appropriate. The interviews are nearly identical, with subtle wording changes to make the language age appropriate. All diagnoses were made according to DSM-IV criteria (APA, 2000). Symptom counts for the following diagnoses were analyzed: alcohol dependence, illicit drug dependence (including marijuana, cocaine, other stimulants, sedatives, and opiates), childhood conduct disorder, and (for individuals aged $\geq 18$) adult antisocial behavior. These disorders have been previously shown to share a genetic etiology (Kendler et al., 2003b) and have been previously associated with GABRA2 in the initial adult COGA sample, as reviewed above.

**Achenbach Youth/Adult Self-Report (YSR/ASR).** The externalizing scale of the YSR/ASR consists of 30 items comprising both rule-breaking (e.g., ‘I cut classes or skip school’) and aggression items (e.g., ‘I am mean to others’), for which the participant indicates whether the behavior is not true, somewhat or sometimes true, or very or often true.
(Achenbach, 1991, 1997). These measures have been shown to have excellent psychometric properties including high test–retest reliability, content validity, criterion-related validity, and construct validity (Achenbach & Rescorla, 2001, 2003).

**Barratt Impulsivity Scale (BIS).** The BIS Version 11 was administered. This is a 30-item scale with separate versions for adolescents and adults that measure what the authors characterize as attentional impulsiveness (e.g., ‘I “squirm” at plays or lectures’), motor impulsiveness (e.g., ‘I act “on impulse”’), and nonplanning (e.g., ‘I am a careful thinker’ (reverse coded; Patton et al., 1995). All items are answered as Never — 1, Occasionally — 2, Often — 3 and Always — 4. Total scores are computed by summing subscale items.

**Sensation-Seeking Scale (SSS).** The SSS was developed by Zuckerman (1979) to measure individual differences in stimulation and arousal. The adult version (SSS-V) covers boredom susceptibility (‘I can’t stand watching a movie that I’ve seen before’), thrill- and adventure-seeking (‘I sometimes like to do things that are a little scary’), experience-seeking (‘I have tried marijuana or would like to’), and disinhibition (‘I like wild uninhibited parties’). A version for adolescents (SSS-C) has also been developed (Russo et al., 1993). Total scores are computed by summing all items.

**NEO Five-Factor Inventory.** We administered the 60-item scale, which measures the personality traits of neuroticism, extraversion, openness to experience, agreeableness, and conscientiousness (Costa & McCrae, 1997). We analyzed the extraversion and conscientiousness subscales, as high extraversion and low conscientiousness were a priori hypothesized to be most relevant to the construct of impulsivity under study here. Sample items from the extraversion scale include ‘I like to have a lot of people around me’ and ‘I like to be where the action is’. Sample items from the conscientiousness scale include ‘I’m pretty good about pacing myself so as to get things done on time’; ‘I am not a very methodical person’ (reverse coded).

**Analyses**

Because the prospective sample spans early adolescence through young adulthood and significant developmental changes are known to occur across this period, we conducted all analyses separately for the adolescent sample (ages 12–17; N = 1,192, mean = 14.48, SD = 1.76) and adult sample (ages 18–26; N = 936, mean = 19.7, SD = 1.46), as described above. This also avoided potential analytic issues associated with method variance, since some of the scales had similar but distinct versions for adolescents and young adults. The exact N available for analysis differed slightly across the measures, as the measures were completed at different points in the assessment (e.g., some in person, others through the mail); accordingly, not all participants completed the full assessment battery. All individuals with data available for a given measure were used in that particular analysis. The N values available for each analysis are indicated in the tables.

All analyses were conducted using the Statistical Analysis System (SAS). Association analyses were run using an additive model with sex, age, and race incorporated as covariates. In addition, the correlated nature of some observations (e.g., children from the same family) was taken into account using the survey option in SAS. We report p-values uncorrected for multiple testing, since the analyses are intended to be an exploratory examination of patterns of association across the impulsivity variables. The GABRA2 SNPs are in fairly high linkage disequilibrium (LD), and applying a Nyholt correction (Nyholt, 2004) to the data suggests just under two independent signals, which suggests a significance threshold of 0.026. We conducted tests on nine primary phenotypes, and therefore the final Bonferroni-corrected p-value for any single association would be .003, although this is conservative, as it does not take into account the correlated nature of the phenotypes. We regard it as suggestive evidence for association when multiple SNPs yield p < .05, and there is a generally consistent pattern across the SNPs. We present all results in order that the reader can evaluate the consistency of evidence across SNPs, phenotypes, and samples.

**Results**

Table 1 shows the correlations across the variables, with the adult sample shown below the diagonal and the adolescent sample above the diagonal. The pattern of correlations is similar in the adolescent and adult samples; however, the Achenbach Externalizing scale is more strongly correlated with conduct disorder symptoms in adolescents as compared with young adults and less so with alcohol-dependence symptoms in adolescents. The BIS is more strongly correlated with SSS in young adulthood than in adolescence. Extraversion scores showed the lowest correlations with the other measures in both samples.

Table 2 presents p-values from the association tests with the externalizing behavior measures: clinical symptom counts and the Achenbach Externalizing scale. Table 3 presents p-values from the association tests with the various personality scales measuring domains of impulsivity. The pattern of results is striking: there is no association between GABRA2 and clinical symptoms of any of the externalizing disorders in either the young adult or adolescent sample (there is one p < .05 with alcohol-dependence symptoms in the adult sample, but this is not replicated in the other correlated SNPs). There is, however, association with the Achenbach Externalizing scale scores across both samples. A joint analysis of externalizing across both samples yields a minimum p = .0003. Furthermore, in the adolescent sample, an association is observed across all SNPs with SSS and NEO Extraversion (Table 3). Two SNPs also yield p < .05 in
TABLE 1

<table>
<thead>
<tr>
<th></th>
<th>AD symptoms</th>
<th>CD symptoms</th>
<th>ASP symptoms</th>
<th>DD symptoms</th>
<th>Ach_externalizing</th>
<th>NEO_E</th>
<th>NEO_C</th>
<th>SSS</th>
<th>BIS</th>
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<tr>
<td>AD symptoms</td>
<td>1.00</td>
<td>0.12</td>
<td>–</td>
<td>0.48</td>
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<td>0.03</td>
<td>-0.09</td>
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<td>1.00</td>
<td>–</td>
<td>0.19</td>
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<td>-0.20</td>
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<td>0.35</td>
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<td>0.16</td>
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<td>-0.66</td>
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</table>

Note: All correlations significant at p < .05, unless indicated by italics; most correlations significant at p < .0001. AD = alcohol dependence; CD = conduct disorder; ASP = Antisocial Personality; DD = illicit drug dependence; Ach = Achenbach scales; NEO_E = Extraversion; NEO_C = Conscientiousness; SSS = Zuckerman’s sensation-seeking scale.

TABLE 2

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<tr>
<th>SNP</th>
<th>AD SX</th>
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Note: p values ≤.05 are shown in bold.

TABLE 3

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<th>SNP</th>
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<td>0.006</td>
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<td>0.157</td>
<td>0.019</td>
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</tr>
</tbody>
</table>

Note: p values ≤.05 are shown in bold.

The adolescent sample with conscientiousness, but no single SNP is less than the Nyholt-corrected p-value of 0.026, suggesting this association should be viewed more tentatively. The association with these personality measures is not observed in the young adult sample. Combining the samples decreases the evidence for association, reinforcing that the association is limited to the adolescent sample.

**Discussion**

This paper used data from a large sample of adolescents and young adults to explore the association of various behavioral and personality measures of externalizing and impulsivity with GABRA2, a gene previously associated with externalizing disorders. Our results illustrate the critical importance of the precision of phenotypic definition for genetic association studies. There are three particularly notable findings that emerge: (1) the association between GABRA2 and externalizing behavior is limited to subclinical self-reports of externalizing behavior and is not found with diagnostic level DSM symptom counts for any externalizing disorder in either the adolescent or young adult samples; (2) the association between GABRA2 and personality measures of impulsivity is observed only with Zuckerman's SSS and NEO Extraversion, not the BIS or NEO Conscientiousness; (3) the association with SSS and extraversion is limited to the adolescent sample, illustrating the developmental shifts that can occur in gene–behavior associations. We discuss each of these primary findings further below.

It is striking that there is no evidence of genetic association with any of the DSM clinical disorder symptom counts for externalizing disorders despite association with these outcomes in the older adult COGA participants. However, we do find evidence of association with nondiagnostic externalizing behavior as measured by the Achenbach self-reports. This is the measure for which association was previously reported with GABRA2 in an independent, community-based sample of adolescents and young adults spanning the same age range (Dick et al., 2009), but for whom clinical outcomes were not available for comparison. These findings underscore the importance of using developmentally appropriate assessments for genetic studies in individuals of different ages. If only externalizing diagnoses and not subclinical levels of externalizing behavior...
had been assessed, one might have erroneously concluded that there was no association with externalizing behavior in this younger COGA sample. Unlike older adult samples previously studied in COGA, there is no association at the level of diagnostic clinical symptoms in this younger sample. Instead, the association is manifest at this stage of development with subclinical levels of externalizing behavior that do not reach the level of diagnostic symptoms until later adulthood. This is in contrast to a previous COGA publication analyzing a smaller sample (N = 850) of children/adolescents, in whom we found evidence of association with conduct disorder symptoms (Dick et al., 2006b). This sample differs in two important ways that may have contributed to the discrepant findings with respect to an association between GABRA2 and clinical level conduct disorder symptoms. In the sample in which an association was found, 77% of children were part of the most densely affected families in COGA; this prospective sample follows all children from both COGA proband and comparison families. That sample also combined information about conduct disorder across multiple assessments and used the maximal symptom count score from any assessment; it is possible that this led to a more reliable and/or more severe conduct disorder symptom count.

Failure to be explicit and comprehensive about the standardized measures under study could have led to very different conclusions about whether GABRA2 is associated with ‘impulsivity’ in this sample. If only the Barratt Impulsivity scales had been included, one might have concluded that there was no evidence of association with impulsivity. Yet, we do find association with sensation-seeking and extraversion in the adolescent samples. Previous investigators have also noted the importance of distinguishing between various constructs related to impulsivity. A systematic empirical investigation of many existing measures of impulsivity found five different factors describing dispositions to rash action that were only moderately related to each other (Cyders & Smith, 2007; Cyders et al., 2007). Two of the five dispositions were emotion-based: positive urgency is the tendency to act rashly when experiencing extremely positive mood and negative urgency is the tendency to act rashly when experiencing extremely negative mood. Two were based on deficits in conscientiousness: lack of planning is the tendency to act without forethought and lack of perseverance reflects a failure to tolerate boredom or to remain focused despite distraction. The fifth was sensation seeking, or the tendency to seek out novel or thrilling stimulation (Cyders & Smith, 2007; Smith et al., 2007; Whiteside & Lyam, 2001). Our results support the distinction between these factors and broadly agree with the structure found in that study. Scales that are part of NEO Extraversion and Zuckerman’s Sensation-Seeking scale, the two measures associated with GABRA2 in this study, both loaded largely onto their empirically derived sensation-seeking factor. In contrast, the other, non-significant measures in the current investigation (neuroticism, BIS, conscientiousness) loaded primarily onto the urgency and lack of planning factors. There are likely several pathways of risk for the eventual manifestation of alcohol problems (Schuckit et al., 2008; Zucker, 1986); these results suggest that the pathway by which GABRA2 initially confers risk for eventual alcohol problems begins with a predisposition to sensation-seeking early in adolescence.

It is also striking that the association between GABRA2 and sensation-seeking scores and extraversion is found in the adolescent sample, but not in the young adult sample. Although personality was once thought to reflect stable trait-like characteristics, recent research has demonstrated personality changes across development, with emerging adulthood being a particularly important period (Littlefield & Sher, 2010; Littlefield et al., 2009). Impulsivity generally decreases across this period, as more individuals take on adult roles and responsibilities (Littlefield et al., 2010). Accordingly, sensation-seeking behavior in emerging adulthood may reflect a different process than sensation-seeking behavior in adolescence. Optimal levels of sensation-seeking likely vary by developmental stage. Some degree of exploratory behavior is an important component of human development, particularly for a developing adolescent. Accordingly, the fact that GABRA2 is most strongly associated with sensation-seeking behavior in adolescence is an important reminder that this is not a gene for alcohol dependence. Rather, our findings suggest that GABRA2 may alter eventual risk for alcohol-related problems through developmental processes that start early in development with elevated levels of sensation-seeking. These findings also have implications for prevention and intervention efforts, as some degree of exploratory behavior is likely to be adaptive. Channeling that behavior so as to not result in risky behavior down the line may be an important target for prevention.

In conclusion, we replicate the association between GABRA2 and externalizing behavior (Dick et al., 2009) in a large sample of adolescents and young adults. However, we find that association is only detected with subclinical levels of externalizing behavior, as indexed by Achenbach’s self-report scales. There was no evidence of association with diagnostic level symptoms of externalizing disorders — alcohol dependence, illicit drug dependence, conduct disorder, or antisocial personality disorder — in these young adult and adolescent samples. Furthermore, we extend this association by exploring whether ‘impulsivity’ may be involved in the association between GABRA2 and externalizing behavior, and what specific aspects of impulsivity are most relevant. We find evidence for association with sensation-seeking and extraversion in the adolescent sample only. There was not robust association with the BIS or low conscientiousness and GABRA2. These results help us understand the pathways by which GABRA2 conveys risk (and conversely, does not convey risk) to externalizing behavior.
behavior. This information will be critical to identifying those individuals most at risk for the eventual development of problems and to intervene and disrupt gene-disorder relationships. Additionally, the findings caution against applying a ‘one-size-fits-all’ approach to replication of genetic association results; rather, careful attention needs to be paid to the theory surrounding how a particular gene is likely to be involved in clinical outcome, what is known about the developmental progression associated with the clinical disorder under investigation, and how the characteristics of the sample under study fit into that broader picture.

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