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Clustering of trauma and associations with single and co-occurring depression and panic attack over twenty years

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Individuals who experience one type of trauma often experience other types, yet few studies have examined the clustering of trauma. This study examines the clustering of traumatic events and associations of trauma with risk for single and co-occurring major depressive disorder (MDD) and panic attack for 20 years after first trauma. Lifetime histories of MDD, panic attack, and traumatic events were obtained from participants in an Australian twin sample. Latent class analysis was used to derive trauma classes based on each respondent’s trauma history. Associations of the resulting classes and of parental alcohol problems and familial effects with risk for a first onset of single and co-occurring lifetime MDD and panic attack were examined from the year of first trauma to 20 years later. Traumatic events clustered into three distinct classes characterized by endorsement of little or no trauma, primarily nonassaultive, and primarily assaultive events. Individuals in the assaultive class were characterized by a younger age at first trauma, a greater number of traumatic events, and high rates of parental alcohol problems. Members of the assaultive trauma class had the strongest and most enduring risk for single and co-occurring lifetime MDD and panic attack. Assaultive trauma outweighed associations of familial effects and nonassaultive trauma with risk for 10 years following first trauma.

Keywords: trauma, depression, panic, latent class analysis

Experience of traumatic events is not uncommon in the population, and individuals who experience one event often experience several types of trauma (Breslau et al., 1998). Individuals with histories of childhood abuse, in particular, are likely to report additional traumatic events (Edwards et al., 2003; Kessler et al., 1997) and other markers of early adversity, such as parental alcohol problems, inter-parental violence and parental divorce (Dong et al., 2004; Anda et al., 2002; Dube et al., 2001). Childhood trauma is associated with increased risk for mood and anxiety disorders in cross-sectional (Dinwiddie et al., 2000; Edwards et al., 2003; Kessler et al., 1997; McCutcheon et al., 2009; Nelson et al., 2002) and longitudinal studies (Copeland et al., 2007; Fergusson et al., 2008). Associations of single events, such as childhood sexual or physical abuse, with the onset of mood and anxiety disorders is diminished when controlling for other early adversities such as parental separation and parental alcohol use (Kessler et al., 1997). This attenuation suggests that the clustering of different types of adversity, rather than the unique effects of individual events, accounts for the increased risk for mood and anxiety disorders among individuals with histories of childhood trauma (Kessler et al., 1997).

Anxiety symptoms and disorders often occur with depression (Kessler et al., 1994; Robins & Regier, 1991). Depression-panic disorder comorbidity is a frequent mood-anxiety disorder diagnosis in both treatment and community samples (Kessler et al., 1998; Merikangas et al., 1996; Merikangas et al., 1998). Panic attacks, the requisite symptom for panic disorder, also occur in the context of mood disorders (American Psychiatric Association, 2000), and have been conceptualized as severity markers for major depressive disorder (MDD; Kessler et al., 1998). Twenty-two per cent of individuals with lifetime MDD have experienced a panic attack and 10% meet criteria for panic disorder; rates of lifetime MDD among individuals with histories of panic attack or disorder are also high, 56% and 51%, respectively (Kessler et al., 1998). Individuals with lifetime histories of both MDD and panic attacks experience an earlier onset and more severe course of disorder than individuals with a history of either disorder alone (Kessler et al., 1998; Andrade et al., 1996; Roy-Byrne et al., 2000).

Traumatic events are associated with increased risk for MDD (Anda et al., 2002; Dinwiddie et al., 2000; Kendler et al., 2000; Kessler et al., 1997) and panic
attacks (Dinwiddie et al., 2000; Kendler et al., 2000; Kessler et al., 1997), and the association between MDD and panic attack is reduced when controlling for events such as rape, life-threatening accidents or natural disasters, and early adversities like parental depression or alcoholism (Kessler et al., 1998). These findings, in combination with evidence that early trauma can induce physiological changes that increase vulnerability to stress and subsequent risk for psychopathology (Heim & Nemeroff, 2001; Gutman & Nemeroff, 2003) suggest that early trauma may contribute to a general risk for co-occurring disorders.

The aims of the current study were to (1) examine the clustering of trauma in a population-based twin sample using latent class analysis, and (2) test associations of trauma classes with risk for MDD and panic attack for 20 years following first trauma, and whether these associations varied as a function of single versus co-occurring lifetime disorder and as a function of time since the occurrence of first trauma, while controlling for parental alcohol problems and familial effects on risk.

**Methods**

**Subjects**

Subjects were from twin pairs born between 1964 and 1971 in Australia and recruited into the Australian Twin Register via mass media and school system appeals to their parents between 1980–1982. Participants completed a structured diagnostic interview (Bucholz et al., 1994) administered via telephone during 1996–2000 from which data for the current study were derived. Informed consent was obtained from all participants prior to the interview. The institutional review boards of Washington University School of Medicine, St. Louis, MO, USA, and of the Queensland Institute of Medical Research, Brisbane, Queensland, Australia, approved the informed consent procedure.

Of 4262 twin pairs enrolled in the young adult cohort of the twin panel, 6% could not be located for participation despite efforts to locate family members. Of the remaining 4010 twin pairs that were located and solicited for telephone interviews, both members of 2765 pairs (69% pair-wise response rate) and one member only of 735 pairs completed interviews, an overall response rate of 78% (Lynskey et al., 2003; Lynskey et al., 2002; Nelson et al., 2002). Data from 2590 twin pairs with complete data on depression, panic attack, and traumatic event items were included in these analyses, comprising 1118 monozygotic (MZ) pairs (659 female and 459 male pairs) and 1472 dizygotic (DZ) pairs (486 female, 370 male, and 616 opposite-sex pairs). The median age at time of interview was 30, with a range of 24 to 36. Twins excluded from the analysis due to missing data had significantly higher rates of childhood neglect (4% vs. 1%, OR = 3.2, 95% CI = 2.1–4.8), childhood physical abuse (6% vs. 3%, OR = 2.3, 95% CI = 1.6–3.1), severe physical assault (11% vs. 9%, OR = 1.3, 95% CI = 1.0–1.6), being threatened with a weapon or kidnapped (11% vs. 7%, OR = 1.6, 95% CI = 1.3–2.0), and MDD (32% vs. 28%, OR = 1.3, 95% CI = 1.1–1.5), compared to the analysis sample.

**Assessment**

The Semi-Structured Assessment for the Genetics of Alcoholism (SSAGA) (Bucholz et al., 1994; Hesselbrock et al., 1999) was adapted for telephone use in Australia and administered by trained lay interviewers. Lifetime MDD and panic disorder were retrospectively assessed during the interview and were defined according to DSM-IV criteria (American Psychiatric Association, 1994). Because the prevalence of panic disorder in the sample was too low to provide reliable estimates of risk (1.8%), we used history of panic attack as our dependent variable. Panic attack was defined as at least 2 attacks with 4 or more panic symptoms which began suddenly and reached a peak within 10 minutes (American Psychiatric Association, 1994). We created a 4-level variable representing lifetime history of MDD only, panic attack only, MDD + panic attack, and neither. Age at onset of MDD and panic attack were defined as age of first major depressive episode before panic attack, respectively. For individuals with lifetime histories of both MDD and panic attack, age at onset was defined as age at first major depressive episode or panic attack, whichever occurred first.

Lifetime experience of traumatic events was assessed using items from the NCS (Kessler et al., 1995) which qualified as traumatic events according to DSM-III-R (American Psychiatric Association, 1987) criteria for posttraumatic stress disorder. Ages at first and last experience of each endorsed traumatic event were obtained. Childhood sexual abuse was coded positive if the respondent reported rape or sexual molestation before age 18 during the traumatic event section or endorsed an item from the home environment section of the interview about forced sexual contact inside or outside of family before age 16 (Nelson et al., 2006; Nelson et al., 2002). The minimum age at onset from these items was used as the age at onset for CSA. Rape and molestation that occurred at age 18 and older were coded as adult events.

Alcohol problems in the respondent’s biological mother or father were assessed with two questions: ‘Did drinking ever cause your mother/father to have problems with health, family, job, police, or other problems?’ and ‘Did you ever feel that your mother/father was an excessive drinker?’ This method of measuring alcohol problems in respondent’s parents has been found to have good interrater reliability with no evidence of bias due to respondent’s own alcohol use or history of anxious or depressed states (Slutske et al., 1996).

**Trauma Classes**

Latent class analysis (LCA, McCutcheon, 1987; Neuman et al., 1999) was used to estimate trauma
classes based on each individual’s reported traumatic experiences. Latent class analysis is a categorical
variant of factor analysis that helps to determine whether the observed correlation between measured
items (e.g., traumatic events) is the effect of an unmeasured
variable or set of variables. Classes resulting
from LCA are mutually exclusive and individuals
within each class are assumed to share some underly-
ing, unobserved factor which explains their class
assignment. In the current analysis, individuals were
assigned to classes based on the types and numbers of
traumatic events endorsed. Since respondents were
instructed to report each traumatic event only once
(e.g., childhood physical abuse could not also be
reported as physical assault), any clustering of trau-
matic events would imply that more individuals were
experiencing multiple traumatic events than would be
predicted by chance alone. The Bayesian Information
Criterion was used to assess the fit of models estimat-
ing from 2 to 12 classes.

For purposes of discussion, the terms ‘assaultive’
and ‘nonassaultive’ events, following previous work
on posttraumatic stress disorder (Breslau et al., 1998),
were used in the results and discussion sections.
Assaultive events comprised CSA and physical abuse
and neglect, adult rape and sexual molestation, severe
physical assault, and being threatened with a weapon
or kidnapped. Although childhood neglect is not by
definition assaultive, its frequent co-occurrence with
early assaultive events warrants its inclusion in this
category (Dong et al., 2004). Nonassaultive events
comprised life-threatening accident, witnessing injury
or killing, and natural disaster.

Statistical Methods
The 4-level variable representing lifetime history of
MDD and panic attack served as the dependent vari-
able in multinomial logistic regressions, with the
no-MDD, no-panic attack category as the reference
group. We tested the association of trauma class mem-
bership with risk for single and co-occuring MDD
and panic attack during the year of first reported
trauma and 1, 5, 10, 15, and 20 years following first
trauma occurrence. The beginning of the risk period
for individuals who endorsed trauma was age at first
reported event; for individuals who endorsed no
trauma, the risk period was not restricted by age. At
each point in time, the risk set for a first onset of depres-
sion or panic attack included only individuals with
no prior onset by that point in time. Wald chi-square tests
were used to test differences in associations of trauma
classes with MDD-only versus panic attack-only
versus MDD + panic attack. Survival analysis was
used to compute Kaplan-Meier failure curves to visu-
ally represent the cumulative risk for onset of MDD or
panic attack in years since first traumatic event, using
age at first reported trauma as the beginning of the
risk period. The assumption that the risks of the
classes were proportional over time was tested using
Schoenfeld residuals (Grambsch & Therneau, 1994),
while controlling for gender. The assumption was not
violated ($\chi^2_{12} = 91, p = .82$).

Familial effects, a broad estimate of genetic influ-
ences on risk, were modeled as the interaction
between twin pair zygosity and co-twin lifetime status
on MDD or panic attack. A single variable represen-
ting co-twin history of MDD or panic attack (coded ‘1’
if history of either) was created to model familial
effects on respondent risk because data on panic
attack were too sparse to permit estimation of sepa-
rate interaction terms. A significant interaction of
co-twin history of MDD or panic attack with zygosity
reflects greater familial risk among MZ than DZ
twins. Since MZ twins share 100% of their genetic
material while DZ twins share on average 50%, this
suggests a genetic effect on risk.

Variables and datasets were created using SAS
regression analyses were performed using the Stata
Statistics and Data Analysis Package (Stata Corpora-
tion, 2004) with adjustment for the non-independence
of observations in twin data. Wald chi-square tests
were used to test differences between trauma classes,
diagnostic categories, and genders. Statistical signifi-
cance was designated as a p-value of .05 or less.

Results

Trauma Class Characteristics
Fifty-three per cent of the sample as a whole reported
at least one traumatic event. A three class solution
provided the best fit to the traumatic event data based on
evaluation of Bayesian Information Criterion (BIC) for
2 through 12 classes, with the 3-class solution having
the lowest BIC value (2 classes: 27590.6, 3 classes:
27483.7, 4 classes: 27510.6). Eighty-two per cent of
the sample was assigned to a class in which fewer than
half (43%) of individuals endorsed a traumatic event.
All individuals who reported no history of trauma
were assigned to this class. Women comprised the
majority of this class (60%) in which nonassaultive
events and CSA were the most frequently endorsed
events (Table 1). This ‘Control’ class was the compari-
sion group for the other two classes. The next most
populous class (13% of the sample) was 74% male
and characterized by high endorsement of nonas-
aultive events. Eighty-seven per cent of individuals
assigned to this ‘Witness’ class endorsed having wit-
nessed an injury or killing.

The remaining 5% of the sample was assigned to
a predominantly female (74%) ‘Assault’ class charac-
terized by a young age at first trauma and high
endorsement of assaultive events, particularly CSA,
childhood physical abuse and serious physical assault.
Seventy-eight percent of the members of this class
experienced their first trauma before age 13. Alcohol
dependence, symptoms of antisocial personality, and
parental alcohol problems were common in this class.
Eleven percent of individuals in the Assault class
reported both parents had alcohol problems, versus

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2.2% in the Witness and 1.5% in the Control classes. Fewer individuals in the Assault class than in the Control and Witness classes reported being raised by both biological parents (68% vs. 85% and 84%, respectively), and more reported being financially worse off than other families in their childhood neighborhoods (31% vs. 9% and 11%, respectively).

A majority (66%) of individuals in the Assault class met criteria for MDD, panic attack, or both, with particularly high rates of co-occurring MDD + panic attack; 19% of co-occurring cases were in this class which comprised just 5% of the sample. Ages at onset of MDD and panic were younger in the Assault class than in the Control and Witness classes. By age 12, 14% of individuals in the Assault class were younger in the Control and Witness classes. By age 12, 14% of individuals in the Assault class had experienced their first major depressive episode or panic attack, versus 4% and 2% in the Witness and Control classes, respectively. By age 18, these numbers were 35% (Assault), 13% (Witness), and 8% (Control). First panic attack occurred earlier than first major depressive episode in each of the classes (M [sd] for panic attack, MDD: Control: 19.9 [6.5], 22.8 [5.2]; Witness: 18.2 [7.9], 22.4 [5.5]; Assault: 17.1 [6.8], 19.8 [6.2]).

Displayed in Figure 1 are Kaplan-Meier curves representing the cumulative risk for a first onset of MDD or panic attack by trauma class membership, using age at first trauma as the beginning of the risk period. Time is thus measured in number of years since first traumatic event, rather than age at onset of MDD or panic. Individuals in the Control class who endorsed no trauma were excluded. The accumulation of risk in the Assault class was greater than accumulation in the Control or Witness classes ($\chi^2(2) = 104.61, p < .0001$), and the difference in risk persisted until 20 years after first reported trauma. There was no significant difference in risk between the Control and Witness classes ($\chi^2(1) = 0.4, p = .51$).

### Associations With Risk for MDD and Panic Attack

Global Wald tests (Long & Freese, 2003) comparing all coefficients in the MDD-only and panic attack-only categories indicated that they could be collapsed from the year of first trauma ($\chi^2(9) = 10.3, p = .32$; equations included familial effects, trauma classes and control variables). The following results up to fifteen years after first trauma are thus based on multinomial regressions with a 3-level dependent variable (no MDD or panic attack [referent], MDD or panic attack only, MDD + panic attack).
Presented in Table 2 are results showing associations of trauma classes, parental alcohol problems, and familial effects with MDD or panic attack only and with MDD + panic attack from year of first trauma until 20 years following first traumatic event. Assault class membership was associated with risk for single and co-occurring MDD and panic attack for 20 years following first reported trauma. The association of the Assault class with risk for co-occurring MDD and panic attack was stronger than its association with either disorder alone from the year of first trauma ($\chi^2(1) = 11.52, p < .0001$) until 5 years later ($\chi^2(1) = 5.7, p < .05$), and its association with risk for co-occurring MDD + panic attack outweighed Witness class membership, familial effects, and parental alcohol problems from year of first trauma ($\chi^2(3) = 29.3, p < .0001$) until 10 years later ($\chi^2(3) = 9.3, p < .05$). By 20 years after first trauma the data were too sparse to estimate single and co-occurring MDD and panic attack separately, so the categories were collapsed. Twenty years after first reported trauma, Assault class membership still outweighed the influence of familial effects, parental alcohol problems, and Witness class membership on risk for MDD or panic attack ($\chi^2(3) = 15.5, p = .0014$).

**Discussion**

In the current study, childhood and assaultive trauma clustered into one class comprising five percent of the sample in which lifetime MDD, MDD-panic attack co-occurrence and parental alcohol problems were over-represented. Individuals in this assaultive trauma class had increased risk for a first onset of MDD or panic attack that persisted for 20 years after the first reported traumatic event, and were at especially high risk for co-occurring MDD and panic attack.

The clustering of traumatic events into three distinct classes is consistent with evidence that traumatic events are not randomly distributed in the population (Breslau et al., 1998; Kessler et al., 1997; Kessler et al., 1995). The differing trauma profiles of the classes suggest underlying contextual differences between them that are captured by the types of trauma endorsed by their respective members. For example, individuals assigned to the Witness class, with its high prevalence of life-threatening accidents and witnessing injury, may have in common a temperamental predisposition to thrill-seeking (Scarr & McCartney, 1983) and reckless driving, which puts them at risk for car accidents. Family dysfunction might be the underlying factor common to individuals assigned to the Assault class, with its high rates of childhood abuse and neglect. Parental alcohol problems, most prevalent in this class, are associated with increased risk for early trauma (Anda et al., 2002; Dinwiddie et al., 2000; Fergusson et al., 1996), and thus may contribute to the contextual risk associated with class membership.

Another study in which a latent class analysis of trauma was performed included an array of early risk factors in the classes in addition to childhood sexual,
physical and emotional abuse (Menard et al., 2004). In that study, the class with the highest probability of childhood trauma also had the highest probabilities of low parental warmth, parental suicidal behavior and mental illness, substance abuse by a household member, and presence of non-nuclear family members in the household (Menard et al., 2004). In the current study, parental alcohol problems, especially in both parents, were over-represented in the Assault class, and a majority of individuals in this class experienced their first trauma before age 13, consistent with an interpretation of heightened childhood risk due to the family environment.

The persistent association of Assault class membership with risk for MDD or panic attack and their co-occurrence is in accord with evidence that trauma may increase vulnerability to a range of disorders. A recent example of the association of childhood adversity with long-term risk for psychiatric disorder came from a study using data the National Comorbidity Survey (NCS; Kessler et al., 2008). Childhood physical and sexual abuse and neglect and parental substance disorder reported during the first NCS were associated in the follow-up survey, approximately ten years later, with first-onset of generalized anxiety disorder into adolescence and with first-onset of major depressive disorder into adulthood; childhood abuse

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<td>Multinomial Regressions Showing Associations of Trauma Classes, Parental Alcohol Problems and Familial Effects with Single and Co-Occurring Lifetime MDD and Panic Attack at 0, 1, 5, 10, 15, and 20 Years After First Traumatic Event</td>
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Note: 1 Ns decrease as individuals with a first onset of MDD or panic attack before the beginning of the next time interval are removed from the risk set; 2 Control class is the reference category; familial effect modeled as interaction of twin zygosity (monozygotic = 1, dizygotic = 0) with co-twin status on MDD or panic attack (‘1’ if either); MDD-only, panic attack-only, and MDD + panic attack categories were combined due to too few individuals left in risk sets for panic attack or MDD-only and MDD + panic attack to permit separate estimation. All regressions adjusted for respondent zyosity, gender, alcohol dependence, antisocial personality symptoms, and co-twin status on MDD and panic attack; * p < .05, ** p < .01
also predicted the persistence of both disorders (Kessler et al., 2008).

Because PTSD was not assessed in the current study we were unable to examine associations of trauma classes with risk for MDD-PTSD co-occurrence. However, recent prospective studies have found associations of early trauma with increased risk for childhood-onset PTSD symptoms, anxiety and depressive disorders (Copeland et al., 2007) and with increased risk for depression into adulthood (Maercker et al., 2004; Spatz Widom et al., 2007). A study of PTSD-MDD comorbidity found that trauma-exposed individuals had increased risk for MDD subsequent to trauma only if they had previous PTSD; those with no history of PTSD had no increased risk for MDD (Breslau et al., 2000). The authors suggest that common vulnerabilities may influence the development of PTSD and MDD in trauma victims and also may exacerbate pre-existing vulnerabilities which then increase risk for subsequent disorder (Breslau et al., 2000). In the same study, traumatic event data from the NCS were analyzed to examine whether different types of trauma had differential effects on risk for first-onset MDD following trauma among individuals with and without a history of PTSD. The authors found little evidence for differential effects by type of trauma (Breslau et al., 2000), but the analysis excluded childhood physical abuse and neglect, two events with strong associations with MDD risk (McCutcheon et al., 2009). The current study did find differential effects of trauma class membership with risk for first-onset MDD or panic attack for 10 years after first trauma, with the Assault class having a stronger association with risk than the Witness class. This difference is due in part to the use of latent class analysis as opposed to examining each traumatic event in isolation. The trauma classes, based on each individual's aggregate experience of trauma, also represent the underlying context in which trauma occurs. This context may contribute to an enhanced vulnerability, or may be a marker for an existing vulnerability that is not detected when examining events in isolation.

This study's limitations include its reliance on retrospective recall of traumatic events, depressive symptoms and panic attack and their ages at occurrence. However, the similarity of these findings with those from prospective studies (Copeland et al., 2007; Maercker et al., 2004) increases our confidence that recall bias has not inflated our results. The group excluded from analysis due to missing data endorsed higher rates of trauma and MDD, but strong effects of assaultive trauma were found despite the exclusion of this high-risk group. The convergence of findings from this study with those from other studies of trauma as it influences psychiatric risk (Anda et al., 2002; Dinwiddie et al., 2000; Kendler et al., 2000), along with evidence of physiological changes associated with early trauma (De Bellis & Kuchibhatla, 2006; Heim et al., 2001; Teicher et al., 2004) contributes to a growing body of evidence that early experience can have long-term effects on mental health.

The latent class analysis of trauma items in this study specified a minority of individuals at particularly high risk for early trauma and who have a persistent risk for MDD and panic attack. Future work will include greater specification of factors associated with trauma classes at different time points which may provide clues to preventive interventions to reduce the risk of trauma exposure or to reduce the risk of developing illness following trauma exposure.

Acknowledgments
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